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Assignment of the vibrational spectra of the parent polysilsesquioxane (POSS): octahydridosilasequioxane, $\text{H}_8\text{Si}_8\text{O}_{12}$.

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Abstract

Polysilsesquioxanes (POSS) are molecules with the empirical formula $(\text{RSiO}_{1.5})_n$ where R is a hydrogen atom or hydroxyl or an organic moiety such as an alkyl, alkene, acrylate or epoxide. The silicon atoms occupy the corners of a cube and oxygen atoms are located on the edges, the versatility of silsesquioxanes arises from the vacant fourth position of silicon. The choice of substituent enables a wide variety of properties to be engineered in a straightforward manner. The parent POSS is octasilsesquioxane, $\text{H}_8\text{Si}_8\text{O}_{12}$, with $\text{R} = \text{H}$ and $n = 8$. The present work employs periodic density functional theory calculations in conjunction with *all* the available vibrational (infrared, Raman and inelastic neutron scattering) spectra to generate a complete assignment of all the modes of the parent POSS octasilsesquioxane and some of its isotopomers for both the free, (O_h), molecule and the solid state material (C_{3i} site symmetry) including the forbidden and very weak modes. The latter are of interest because in less symmetrical silsesquioxanes, these modes will be activated.

1. INTRODUCTION

Polysilsesquioxanes (POSS) are molecules with the empirical formula $(\text{RSiO}_{1.5})_n$ where R is a hydrogen atom or hydroxyl or an organic moiety such as an alkyl, alkene, acrylate or epoxide [1], see Fig. 1. It can be seen that silicon atoms occupy the corners of a cube and oxygen atoms are located on the edges, the versatility of silsesquioxanes arises from the vacant fourth position of silicon. The choice of substituent enables a wide variety of properties to be engineered in a straightforward manner [2]. These include solubility in water or organic solvents, the ability to be blended into many polymers and, unlike most silicones or fillers, POSS molecules contain organic substituents on their outer surfaces, making them compatible or miscible with most polymers. The range of properties can be extended by choosing a reactive R substituent that can be polymerised to make true organic-inorganic hybrid polymers or eliminated to form mesoporous silicates with a well-defined cage structure. POSS molecules can thus be viewed as very small, perfectly monodisperse silica nanoparticles, with typical diameters on the order of 1–3 nm.

The parent POSS is octasilsesquioxane, $\text{H}_8\text{Si}_8\text{O}_{12}$, see Fig. 1, with $\text{R} = \text{H}$ and $n = 8$, and was first synthesised in very low yield in 1959 [3], more efficient routes are now available and higher POSS with $n = 12, 14, 16$ and 18 have also been synthesised and characterised [4]. The reactivity of $\text{H}_8\text{Si}_8\text{O}_{12}$ can be understood as occurring *via* a pentacoordinate Si intermediate and this helps explain why substitution in compounds with $\text{R} = \text{alkyl}$ or aryl is difficult or impossible because of steric hindrance in the intermediate [5]. The solid state structure of $\text{H}_8\text{Si}_8\text{O}_{12}$ has been determined several times, the most relevant is the neutron diffraction study by Törnroos [6]. The space group is trigonal $R\bar{3}$ (no. 148), there is one molecule in the primitive unit cell that occupies a site of C_{3i} symmetry. The ideal molecular symmetry is octahedral, O_h , and the distortion from this is small.

The vibrational spectroscopy of octasilsesquioxane has been investigated several times over the years [7-12]. The near-octahedral symmetry of the molecule means that there are many modes that are either forbidden or very weak in both the infrared and Raman spectra. To observe these modes the inelastic neutron scattering (INS) spectrum was recorded [10]. INS spectroscopy [13] is highly complementary to infrared and Raman spectroscopy, for octasilsesquioxane the attribute that there are no selection rules and all modes are allowed was the main motivation. The vibrational structure of octahedral $\text{H}_8\text{Si}_8\text{O}_{12}$ was investigated in detail, and a harmonic force field in terms of internal force constants was determined, based on infrared, FT-Raman and INS data and on a normal coordinate analysis of $\text{H}_8\text{Si}_8\text{O}_{12}$ and $\text{D}_8\text{Si}_8\text{O}_{12}$. Group frequencies were assigned according to a potential energy analysis and relations to group frequencies of comparable silicon compounds were discussed. Based on the force field, the lowest internal torsional frequency was estimated to be 41 cm^{-1} . [7-10].

The molecular vibrations have also been assigned by periodic density functional theory (DFT) [14]. While DFT usually provides very reliable vibrational assignments, particularly when combined with INS data (e.g. [15-19]) as was done for octamethylsilsesquioxane [20], Schutte and Pretorius [14] only considered infrared data. Furthermore, inspection of their phonon dispersion curves shows multiple imaginary modes with large energies (up to 7 THz

= 230 cm^{-1}) across most of the Brillouin zone. This is indicative of a major flaw in the computational work.

The purpose of the present work is to use periodic density functional theory calculations in conjunction with *all* the available vibrational (infrared, Raman and inelastic neutron scattering (INS)) spectra to generate a complete assignment of all the modes including the forbidden and very weak modes. The latter are of interest because in less symmetrical silsesquioxanes, these modes will be activated.

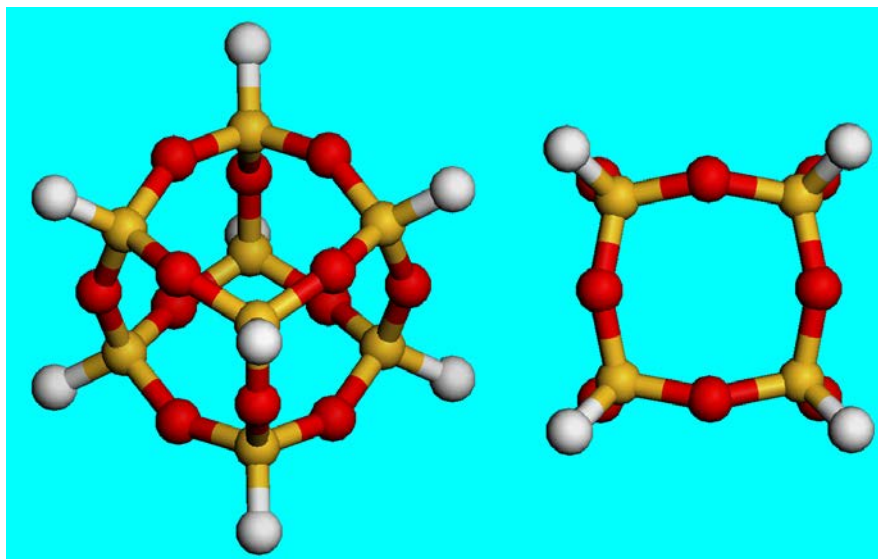


Fig. 1. Two views of the parent POSS, octasilsesquioxane $\text{H}_8\text{Si}_8\text{O}_{12}$, with O_h symmetry. Terminal atoms are hydrogen, twofold bridging are oxygen and fourfold coordinated are silicon.

2. COMPUTATIONAL STUDIES

The structure of octasilsesquioxane as determined by neutron diffraction [6] was downloaded from the Inorganic Chemical Structure Database (ICSD, datacode 75244). Periodic density functional theory (periodic-DFT) calculations were carried out using the plane wave pseudopotential method as implemented in the CASTEP code [21,22]. Exchange and correlation were approximated using the PBE functional [23]. The plane-wave cut-off energy was 770 eV. Brillouin zone sampling of electronic states was performed on $3 \times 3 \times 1$ Monkhorst-Pack grid. The equilibrium structure, an essential prerequisite for lattice dynamics calculations was obtained by BFGS geometry optimization after which the residual forces were converged to zero within $\pm 0.0055 \text{ eV } \text{\AA}^{-1}$. Phonon frequencies were obtained by diagonalisation of dynamical matrices computed using density-functional perturbation theory [24] and also to compute the dielectric response and the Born effective charges, and from these the mode oscillator strength tensor and infrared absorptivity were calculated. Raman activities were computed using a hybrid method combining density functional perturbation theory with finite displacements [24]. In addition to the calculation of transition energies and intensities at zero wavevector, phonon dispersion was also calculated along high symmetry directions throughout the Brillouin zone. For this purpose, dynamical matrices were computed on a regular grid of wavevectors throughout the Brillouin zone and Fourier interpolation was used to extend the computed grid to the desired fine set of points along the

high-symmetry paths [25]. Transition energies for isotopic species were calculated from the dynamical matrix that is stored in the CASTEP checkpoint file using the PHONONS utility [26]. The atomic displacements in each mode that are part of the CASTEP output (.phonon files) are also all that is required to generate the INS spectrum using the program ACLIMAX [27]. Visualization of the modes to aid assignments was carried out with Jmol [28]. The .phonon files and a description of how to use Jmol to visualise the modes are included in the Supporting Information. The INS spectrum of octasilsesquioxane was that reported previously [10] and is available from the INS database at: <http://www.wisis2.isis.rl.ac.uk/INSdatabase/>.

3. RESULTS AND DISCUSSION

The idealised structure of the gas phase molecule is O_h and this is observed experimentally by gas phase electron diffraction [29]. In the crystal the symmetry is reduced to C_{3i} , Table 1 shows the correlation from O_h to C_{3i} . It can be seen that there are a large number of modes that are inactive in the free molecule, whereas all the modes are allowed by either infrared or Raman spectroscopy in the solid state. However, a mode may be allowed but have vanishingly small intensity, this may be expected to be the case for the free-molecule forbidden modes that are activated in the solid state. Note that all the modes are allowed for INS spectroscopy

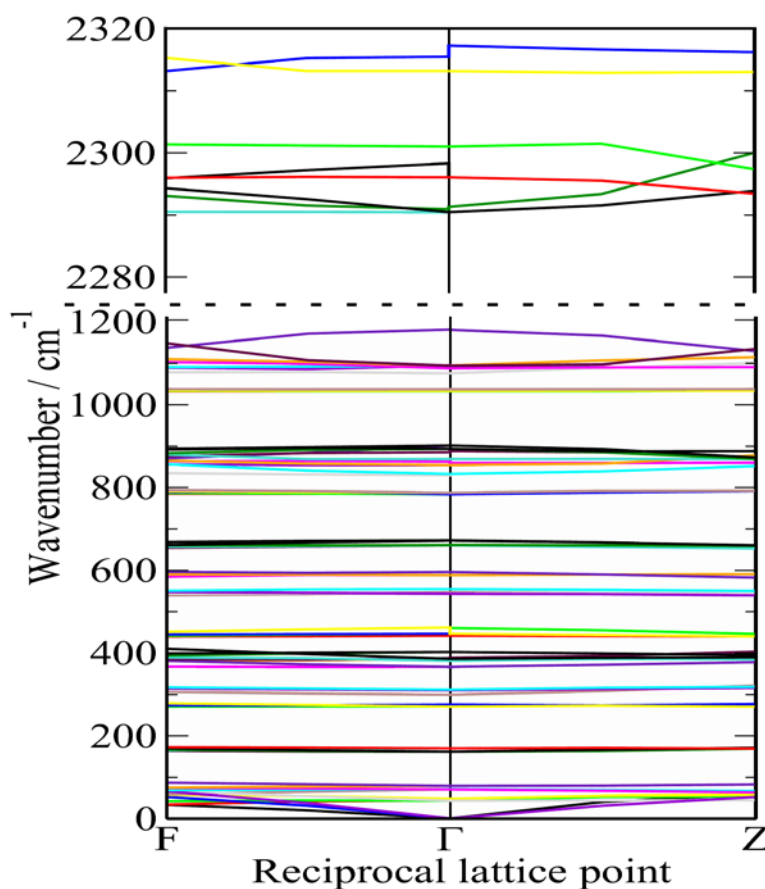


Fig. 2. Calculated dispersion curves for the primitive unit cell of octasilsesquioxane showing all real modes across the entire Brillouin zone. Note the change of scale at 1200 cm^{-1} . The data have not been scaled.

Fig. 2 shows the dispersion curves calculated for the solid state structure of octasilsesquioxane. In contrast to [14], the modes are all real across the entire Brillouin zone, as expected for a stable structure. (The discontinuities at the Γ -point are due to longitudinal optical transverse optical (LOTO) splitting).

Fig. 3 compares the experimental INS spectrum of octasilsesquioxane [10], 3a, with that generated from the calculation of the free molecule with O_h symmetry, 3b, and the full dispersion calculation of the solid state structure, 3c. (In both 3b and 3c, the region 0 – 2000 cm^{-1} has been scaled by 1.03). It can be seen that both structures fit the data very well, thus validating the calculations. As would be expected, the match of the experimental data to the solid state structure is marginally better, particularly in the lattice mode region.

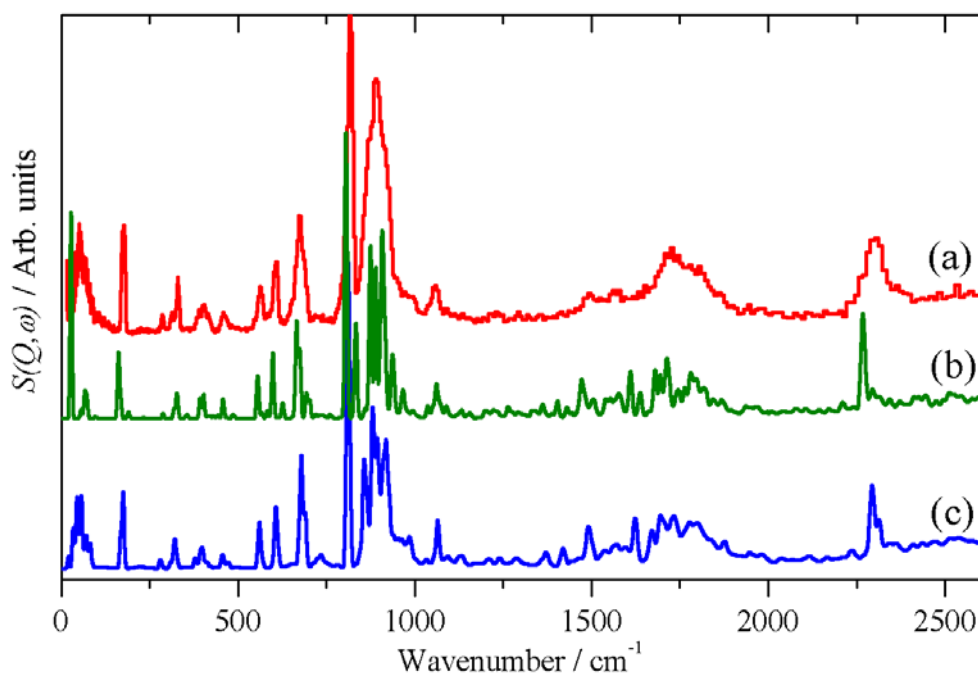


Fig. 3. Comparison of: (a) the experimental INS spectrum of octasilsesquioxane [10] and that generated from the CASTEP calculation of (b) the free molecule with O_h symmetry and (c) the solid state with C_{3i} site symmetry. In (b) and (c) the 0 – 2000 cm^{-1} region has been scaled by 1.03.

Table 2 lists the observed and calculated transition energies and intensities for the free molecule and the solid state. The splitting of the T modes into A and E components by the reduction in the molecular symmetry from O_h to C_{3i} is visible for most of the modes in the infrared spectrum, *e.g.* 875 (T_{1u}) \rightarrow 882 (E_u) and 861 (A_u) cm^{-1} . It is noted that the calculated infrared intensity of the E_u modes is approximately twice that of the A_u modes, as suggested in [11], validating their assignments of the E_u and A_u components.

It was proposed [11] that the infrared active modes fall into two classes that depend on whether the motion is largely tangential or radial. The correlation crucially depends on whether modes 27 and 29 are described as radial and tangential respectively, as suggested in [11], or tangential and radial respectively as assigned previously [7-10]. (The mode

numbering is that used previously [7-11] and is given in Table S1 of the Supplementary Information). As may be seen from Fig. 4, neither description is accurate, in both cases the silicon and hydrogen atoms on the threefold axis move radially, while the other atoms move tangentially. The difference in the modes is the relative phases of the motions. (Animations of the modes can be seen using Jmol [28], see the Supplementary Information for details).

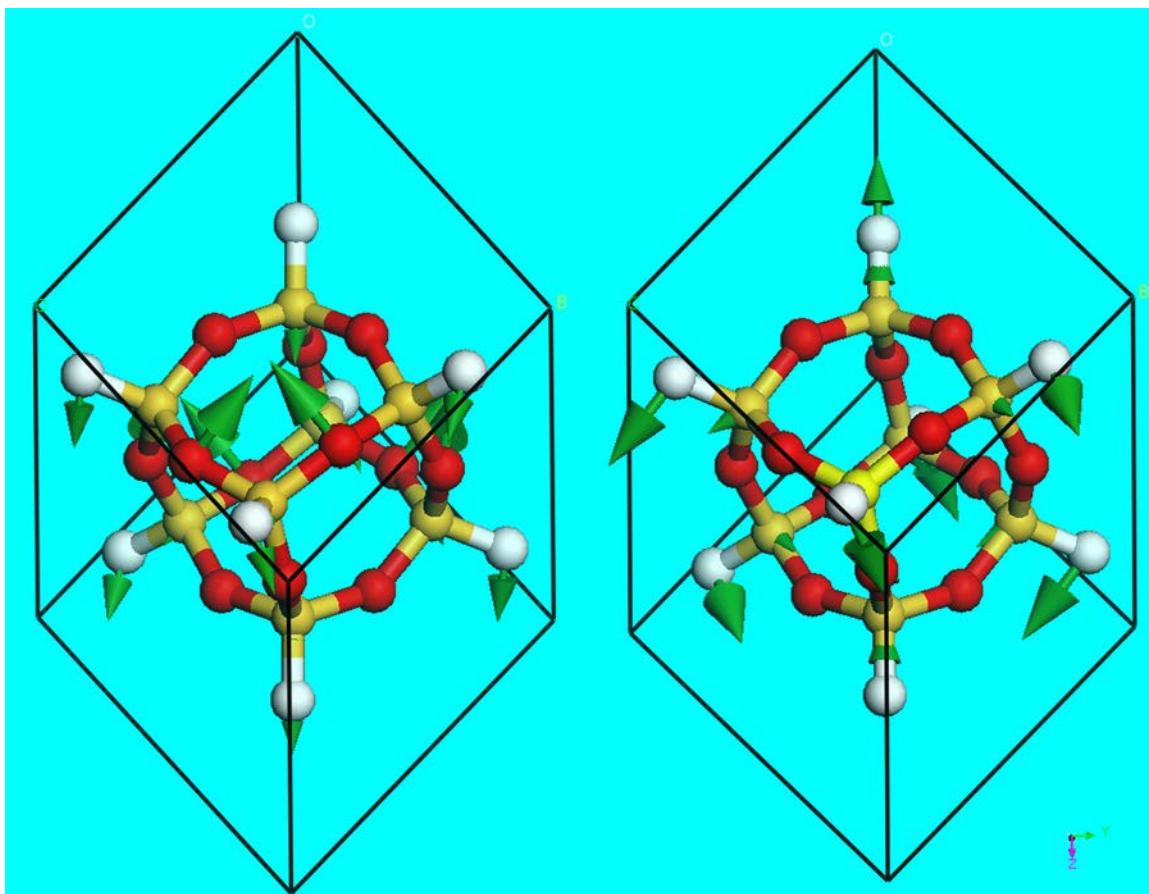


Fig. 4. Displacements of the atoms in the A_u component of modes 27 (left) and 29 (right). Terminal atoms are hydrogen, twofold bridging are oxygen and fourfold coordinated are silicon.

Experimental data is available for most of the infrared and Raman active modes of $D_8Si_8O_{12}$ and a few of the modes of $HD_7Si_8O_{12}$ (present as an impurity in $D_8Si_8O_{12}$) [8,9]. For $HD_7Si_8O_{12}$ as a free molecule (*i.e.* O_h symmetry in the H_8 or D_8 parent), there is only one isotopomer because all the sites are identical under O_h symmetry. This has C_{3v} symmetry and the correlation is given in Table 1. For the solid state C_{3i} species, there are two isotopomers depending on whether the Si–H is bond lies along the threefold axis or not, these have C_3 and C_1 site symmetry respectively. The correlation for the C_3 species is the same as for C_{3i} except that the g and u subscripts are omitted (since the centre of symmetry is destroyed by the isotopic substitution) and all modes are allowed in both the infrared and Raman spectrum, as is also the case for C_1 site symmetry. CASTEP allows the ready calculation of isotopomers *via* the PHONONS utility [26] and the results are given in Table 3. It can be seen that the agreement is generally excellent.

4. CONCLUSIONS

This study provides a complete assignment of the vibrational spectra of the parent POSS octasilsesquioxane and some of its isotopomers for both the free, (O_h), molecule and the solid state material (C_{3i} site symmetry). The combination of infrared, Raman and INS spectroscopic data from the literature has enabled most of the modes to be observed.

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Table 1: Correlation diagram and spectral activity for octasilsesquioxane from the free molecule, O_h , to the site group, C_{3i} , and also for the $HD_7Si_8O_{12}$ isotopomer. Note that *all* modes are allowed for INS spectroscopy.

$H_8Si_8O_{12}$		$HD_7Si_8O_{12}$		Primitive cell of space group $R\bar{3}$	
O_h	Activity ^a	C_{3v}	Activity	Site group C_{3i}	Activity
Si–H stretches					
A_{1g}	R	A_1	IR/R	A_g	R
T_{2g}	R	$A_1 + E$	IR/R	$A_g + E_g$	R
A_{2u}	ia	A_1	IR/R	A_u	IR
T_{1u}	IR	$A_1 + E$	IR/R	$A_u + E_u$	IR
Framework + Si–H bending					
$2 A_{1g}$	R	$2 A_1$	IR/R	$2 A_g$	R
$1 A_{2g}$	ia	$1 A_2$	ia	$1 A_g$	IR
$4 E_g$	R	$4 E$	IR/R	$4 E_g$	R
$3 T_{1g}$	ia	$3 A_2 + 3 E$	ia & IR/R	$3 A_g + 3 E_g$	IR
$5 T_{2g}$	R	$5 A_1 + E$	IR/R	$5 A_g + 5 E_g$	R
$2 A_{2u}$	ia	$2 A_1$	IR/R	$2 A_u$	IR
$3 E_u$	ia	$3 E$	IR/R	$3 E_u$	IR
$5 T_{1u}$	IR	$5 A_1 + 5 E$	IR/R	$5 A_u + 5 E_u$	IR
$4 T_{2u}$	ia	$4 A_2 + 4 E$	ia & IR/R	$4 A_u + 4 E_u$	IR
Libration					
	ia		ia	$A_g + E_g$	R
Translation					
	ia		ia	$A_u + E_u$	IR

^aIR = infrared allowed, R = Raman allowed, IR/R infrared and Raman allowed, ia = inactive in both infrared and Raman.

Table 2: Observed and calculated vibrational transition energies and intensities of octasilsesquioxane for the free molecule with O_h symmetry and the solid state material with C_{3i} symmetry.

Free molecule, O_h						Solid state, C_{3i}						Description ^a	
CASTEP ^b			Expt			CASTEP ^b			Expt				
Sym	cm ⁻¹	IR / km mol ⁻¹	R / Å ⁴ amu ⁻¹ 1	IR [10] / cm ⁻¹	R [7] / cm ⁻¹	Sym	cm ⁻¹	IR / km mol ⁻¹	R / Å ⁴ amu ⁻¹	IR [11] / cm ⁻¹	R [10] / cm ⁻¹	INS [10] / cm ⁻¹	
A_{1g}	2274		1105		(2302)	A_g	2383		1606		2302		vs(Si-H)
T_{1u}	2269	852		2277 m		A_u	2382	315		2293		2300	vas(Si-H)
						E_u	2365	752		2274			
T_{2g}	2267		589		(2286)	A_g	2370		1948		2296		vas(Si-H)
						E_g	2359		295		2286		
A_{2u}	2265					A_u	2359	56					vas(Si-H)
T_{1u}	1156	5835		1141 vs		A_u	1129	2792		1120			vas(Si-O)
						E_u	1127	5892		1183			
T_{2g}	1147				(1118)	E_g	1127		8		1117		vas(Si-O)

					A_g	1121		6			
A_{2u}	1120				A_u	1108	41			vas(Si-O)	
T_{1g}	1061				A_g	1068		1	1058	vas(Si-O)	
					E_g	1064					
E_u	1060				E_u	1065	12			vas(Si-O)	
E_g	912	21		(932)	E_g	929		35	932	932	δ (Si-O-H)
T_{2u}	907				E_u	920	16			915	δ (Si-O-H)
					A_u	909	110				
				(897,					897		δ (Si-O-H)
T_{2g}	889	72		883)	A_g	895		35			
					E_g	880		21	893	889	
T_{1u}	875	1443		881 s	E_u	858	2514		882	868	δ (Si-O-H)
					A_u	852	1346		861		
E_u	806				E_u	811	3			817	δ (Si-O-H)
T_{1g}	805				A_g	811		1	811		δ (Si-O-H)
					E_g	807		1		817	

E_g	675	44		(697)	E_g	692		95	697	688	vs(Si-O)
T_{2u}	666				E_u	681	10			675	vs(Si-O)
					A_u	680	3				
T_{2g}	599				A_g	614		2	610		vs(Si-O)
					E_g	606		1		607	
A_{1g}	568	12		(579)	A_g	571		52	580		δ (O-Si-O)
T_{1u}	556	57	566 w		A_u	563	13	568			δ (O-Si-O)
					E_u	560	12	557		564	
T_{1u}	457	381	465 m		A_u	460	222	483			δ (O-Si-O)
					E_u	460	534	463		459	
A_{1g}	443	56		(456)	A_g	455		184	456		δ (O-Si-O)
E_g	413				E_g	415		2	423	418	δ (O-Si-O)
T_{2g}	403			(411)	E_g	398		2	414	403	δ (O-Si-O)
					A_g	393		3			
T_{1u}	393	435	399 s		A_u	378	448	400			δ (O-Si-O)

			E_u	378	814	389		387	
T_{1g}	328		A_g	321			352	329	$\delta(\text{O-Si-O})$
			E_g	319				312	
A_{2u}	319		A_u	309	10				$\delta(\text{O-Si-O})$
T_{2u}	287		A_u	284	9				$\delta(\text{O-Si-O})$
			E_u	279	1			286	
T_{2g}	167	(171)	A_g	167		4	171	175	$\delta(\text{O-Si-O})$
			E_g	167		4		171	
E_u	162		E_u	175					$\delta(\text{O-Si-O})$
E_g	73	(84)	E_g	82		1	84	87	$\delta(\text{O-Si-O})$
T_{2u}	67		A_u	74				65	$\delta(\text{O-Si-O})$
			E_u	73					
A_{2g}	57		A_g	50				55	$\tau(\text{O-Si-O})$
			A_g	46				53	Libration
			E_g	45					

E_u	0	Acoustic mode
A_u	0	

^a For both the O_h and C_{3i} structures visualisation of the modes is possible with the use of Jmol. See the Supporting Information for details.

^b The modes at $<2000\text{ cm}^{-1}$ have been scaled by 1.03

Table 3: Observed and calculated vibrational transition energies and intensities of isotopomers of octasilsesquioxane for the free molecule with O_h symmetry and the solid state material with C_{3i} symmetry.

Free molecule						Solid state						Description ^a	
CASTEP O_h		Expt	CASTEP C_{3v}		Expt	CASTEP C_{3i}		Expt	CASTEP C_3		C_1		Expt
Sym	cm ⁻¹	IR [7,8] / cm ⁻¹	Sym	cm ⁻¹	IR [8] / cm ⁻¹	Sym	cm ⁻¹	R [8] / cm ⁻¹	Sym	cm ⁻¹	cm ⁻¹	R [8] / cm ⁻¹	
A_{1g}	1693		A_1	2277		A_g	1759	1676	A	2374	2322	2301 2292	vs(Si–D/H)
T_{1u}	1688	1658	A_1	1693		A_u	1759		A	1759	1726		vas(Si–D)
			E	1688		E_u	1721		E	1721	1759 1759		
T_{2g}	1686		A_1	1687		A_g	1727	1668	A	1728	1721		vas(Si–D)
			E	1686		E_g	1717	1660	E	1717	1717 1717		
A_{2u}	1684		A_1	1685		A_u	1716		A	1717	1719		vas(Si–D)

T_{1u}	1155	1140	E	1154	E_u	1118	E	1123	1123 1123	vas(Si-O)
			A_1	1154	A_u	1116	A	1118	1119	
T_{2g}	1146		A_1	1146	E_g	1123	E	1119	1118 1118	vas(Si-O)
			E	1145	A_g	1117	1115 A	1116	1116	
A_{2u}	1119		A_1	1118	A_u	1101	A	1101	1101	vas(Si-O)
T_{1g}	1041		E	1048	A_g	1044	A	1044	1051	vas(Si-O)
			A_2	1041	E_g	1042	E	1050	1049 1043	
E_u	1040		E	1039	E_u	1042	E	1042	1042 1042	vas(Si-O)
E_g	801		E	878	E_g	817	E	872	884 876	885 δ (Si-O-D/H) 877
				881						
T_{2u}	789		E	797	E_u	802	E	811	812 809	δ (Si-O-D)
				839						
			A_2	789	A_u	795	A	795	800	
T_{2g}	724		E	765	A_g	729	729 A	730	716	764 δ (Si-O-D)
			A_1	724	E_g	711	713 E	769	774	

									765			
T_{1u}	683	687	E	707	710	A_u	670	A	670	660	$\delta(\text{Si-O-D})$	
			A_1	684		E_u	659	E	696	702		
										694		
E_u	606		E	651		E_u	607	E	645	649	$\delta(\text{Si-O-D})$	
					658					640		
T_{1g}	605		E	606		A_g	607	A	607	604	$\delta(\text{Si-O-D})$	
			A_2	605		E_g	603	E	606	607		
										606		
E_g	548		E	570		E_g	563	562	E	581	585	$\text{vs}(\text{Si-O})$
										578		
T_{2u}	546		A_1	562		E_u	560		E	561	564	$\text{vs}(\text{Si-O})$
										562		
			E	547		A_u	566		A	567	560	
T_{2g}	528		A_2	546		A_g	558	541	A	559	559	$\text{vs}(\text{Si-O})$
			E	532		E_g	548		E	551	548	
										549		
A_{1g}	561		A_1	529		A_g	546	579	A	547	553	$\delta(\text{O-Si-O})$
T_{1u}	522	531	A_1	524		A_u	525		A	526	527	$\delta(\text{O-Si-O})$

		<i>E</i>	523	<i>E_u</i>	534	<i>E</i>	535	535 536	
<i>T_{1u}</i>	447	<i>E</i>	449	<i>A_u</i>	464	<i>A</i>	473	473	$\delta(\text{O-Si-O})$
		<i>A₁</i>	448	<i>E_u</i>	466	<i>E</i>	466	466 466	
<i>A_{1g}</i>	440	<i>A₁</i>	441	<i>A_g</i>	473	452 <i>A</i>	464	464	$\delta(\text{O-Si-O})$
<i>E_g</i>	413	<i>E</i>	412	<i>E_g</i>	416	<i>E</i>	417	417 417	$\delta(\text{O-Si-O})$
<i>T_{2g}</i>	395	<i>E</i>	397	<i>E_g</i>	405	<i>E</i>	405	405 405	$\delta(\text{O-Si-O})$
		<i>A₁</i>	396	<i>A_g</i>	401	405 <i>A</i>	402	401	
<i>T_{1u}</i>	386	391 <i>E</i>	385	<i>A_u</i>	379	<i>E</i>	384	384 383	$\delta(\text{O-Si-O})$
		<i>A₁</i>	384	<i>E_u</i>	383	<i>A</i>	379	380	
<i>T_{1g}</i>	322	<i>E</i>	321	<i>A_g</i>	313	351 <i>A</i>	314	315	$\delta(\text{O-Si-O})$
		<i>A₂</i>	320	<i>E_g</i>	305	<i>E</i>	306	306 305	
<i>A_{2u}</i>	314	<i>A₁</i>	314	<i>A_u</i>	302	<i>A</i>	302	302	$\delta(\text{O-Si-O})$
<i>T_{2u}</i>	287	<i>A₂</i>	286	<i>A_u</i>	279	<i>A</i>	279	280	$\delta(\text{O-Si-O})$

		<i>E</i>	286	<i>E_u</i>	271		<i>E</i>	271	271	
									271	
<i>T_{2g}</i>	166	<i>E</i>	166	<i>A_g</i>	166	170	<i>A</i>	166	166	$\delta(\text{O-Si-O})$
									166	
		<i>A₁</i>	166	<i>E_g</i>	166		<i>E</i>	166	166	
<i>E_u</i>	156	<i>E</i>	154	<i>E_u</i>	173		<i>E</i>	174	174	$\delta(\text{O-Si-O})$
									174	
<i>E_g</i>	73	<i>E</i>	75	<i>E_g</i>	101	84	<i>E</i>	101	101	$\delta(\text{O-Si-O})$
									101	
<i>T_{2u}</i>	66	<i>A₂</i>	64	<i>A_u</i>	104		<i>A</i>	104	103	$\delta(\text{O-Si-O})$
		<i>E</i>	63	<i>E_u</i>	101		<i>E</i>	101	101	
									101	
<i>A_{2g}</i>	57	<i>A₂</i>	39	<i>A_g</i>	101		<i>A</i>	101	101	$\tau(\text{O-Si-O})$
				<i>A_g</i>	52		<i>A</i>	52	52	Libration
				<i>E_g</i>	53		<i>E</i>	53	53	
				<i>E_u</i>						Acoustic mode
				<i>A_u</i>	0					

^a The modes at $<2000 \text{ cm}^{-1}$ have been scaled by 1.03