

A Staged, Multi-User X-Ray Free Electron Laser & Nuclear Physics Facility based on a Multi-Pass Recirculating Superconducting CW Linac

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Outline

- 1. Challenge to the MHz Hard XFEL Community
- 2. Recirculation as a Cost Mitigation Strategy
- 3. Opportunity to extend science reach through Energy Recovery
- 4. Candidate Topologies
- 5. Slice Energy Spread & Peak Current Limitations from ISR
- 6. An Example Cost Mitigation
- 7. R&D to Establish Feasibility
- 8. Conclusions



So... You Want to Build A CW MHz Repetition Rate Hard X-Ray FEL?



European XFEL CW Upgrade: 7 GeV electrons, 15 keV photons, 250 kHz



LCLS-II-HE: 8 GeV electrons, 13 keV photons, 1 MHz



SINAP SCLF: 8 GeV electrons, 25 keV photons, 1 MHz



So... You Want to Build A CW MHz Repetition Rate Hard X-Ray FEL?







Why do we build one singlepass linac? Are we as a community being too conservative and inefficient?

What additional capability could we enable with a more radical approach?

We should consider recirculation



Consideration of Recirculation as Cost Mitigation for a Possible UK-XFEL

- There is an ambition to build an XFEL in the UK in the coming decade (see Neil Thompson's talk on Tuesday). Specifications are still evolving, however many are **not compatible** with normal conducting linac technology e.g. user requests have included **high repetition rate** (>100kHz) ~10 keV pulses, laser/XFEL synchronisation < 1fs
- We therefore explore superconducting linac technology as an additional capability option. The facility should:
 - 1. Satisfy as many specifications as possible on Day 1
 - 2. Maximise opportunity for further exploitation (facility lifespan ~ 30 years)
- This should be done with minimal total cost of ownership and lowest risk of:
 - 1. The "Day 1" facility
 - 2. The "Maximum Exploitation" facility
- This motivates use to consider **TWO** stages of accelerator development
 - 1. N-Pass*
 - 2. N-Pass with Energy Recovery
 - * Where N = 1 (straight linac, no recirculation), 2, 3, 4 (recirculating linac)
- User request also 25 keV with 1 kHz rep rate and high pulse energy (3 mJ) how to include this?

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In Addition to Cost Mitigation: A Recirculating Linac Opens the Door to Extend Science Reach through Energy Recovery

CW Rep. Rate	1 MHz	10 MHz	100 MHz
Bunch spacing	1 us	100 ns	10 ns
Gun Current (100pC bunches)	100 uA	1 mA	10 mA
(Virtual) beam power at 8 GeV	800 kW	8 MW	80 MW

- Additional capability stems from the high average virtual beam power available (even 100 MHz would not be source limited), we should expect future user demand for such capability for the following:
- 1. Enabling transform-limited pulses at ~10 keV through deployment of XFELO & RAFEL
- 2. Industrial & scientific uses for longer wavelength high average power sources enabled by ease of access to lower energy recirculation passes: **100 eV 1 keV** e.g. chip lithography
- 3. Harmonics of fundamental 10 keV MHz sources due to the high spectral brightness wrt SASE **100 1000 keV**, applications in materials science
- 4. Inverse Compton Scattering (ICS) narrowband (10⁻⁴ 10⁻⁵) gamma sources in two regimes ~10 MeV & multi-GeV
- 5. Internal target electron beam experiments e.g. dark matter searches, isotope production



High Average Power Application 1: XFELO / RAFEL

Enabling transform-limited pulses at 10 keV through deployment of XFELO (Low gain / high Q) cavity
also enables high power in harmonics of 10 keV MHz sources – 100 – 1000 keV

An FELO for hard X-Rays; XFELO XFELO was first proposed by R. Collela and A. Luccio at 1983 BNL workshop by using Bragg reflectors as high reflectivity normal incidence mirrors The same WS where BNP proposed SASE Taking into account of the advances in accelerator (ERL)and x-ray optics, it was "resurrected" in 2008 by KJK, Y. Shvyd'ko, and S. Reiche Tuning is possible with the four-crystal, zigzag cavity R. M.J. Cotterill (1968, ANL); KJK and Y. Shvyd'ko (2009) Electron beam with a constant, ~ MHz rep rate will be ideal

An X-Ray FEL Oscillator is fully coherent and stable

- Full transverse and longitudinal coherence
- Transform limited BW: $\Delta\hbar\omega$ = (3-10) meV for (0.3-1) ps pulse length
- 108-109 γ's /pulse, or 1014-1015 γ's /second
- Complete polarization control with crossed U
- →100-fold higher spectral flux, 10,000-fold higher brightness than USR



Kwang-Je Kim

- Up to 1 keV sources could be RAFEL (high gain / low Q): E.g. Cavity using multilayer mirrors with low reflectivity: undulator length should be ~half the length of a SASE undulator so cavity perimeter ~ 60m, so round trip frequency = 5 MHz
- Such oscillators benefit greatly from **MULTI-MHz repetition rate** bunches i.e. 1 MHz should be seen as a lower limit

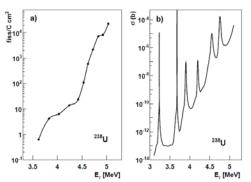
High Average Power Application 2: Narrowband Gamma Production for Nuclear Physics / Industrial Research

Inverse Compton Scattering (ICS) gamma sources in two regimes:

i. ~10 MeV: Motivated by upcoming ELI-NP demonstrations of the utility of 10^{-3} energy spread γ 's – a CW ERL could go well beyond, with 10,000 x average flux and 100 x narrower bandwidth. Precise photonuclear / photofission studies would become possible. Real industrial applications such as nuclear resonance fluorescence, non-proliferation enforcement, radioactive waste stewardship (and even mitigation), economic production of novel medical isotopes, moderator-free thermal neutron source, narrow bandwidth positron / muon source, ...

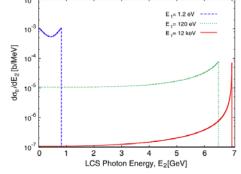
E.g. "hidden" resonances in photofission cross section as all gamma sources thus far are broadband – implications for waste management

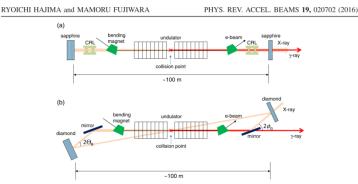
"Perspectives for photofission studies with highly brilliant, monochromatic γ -ray beams" P. G. Thirolf et. al., EPJ Web of Conferences **38**, 08001 (2012)



ii. Multi-GeV: narrowband would enable precise hadron spectroscopy through electron recoil-dominated ICS self-

interaction in an XFELO / RAFEL.





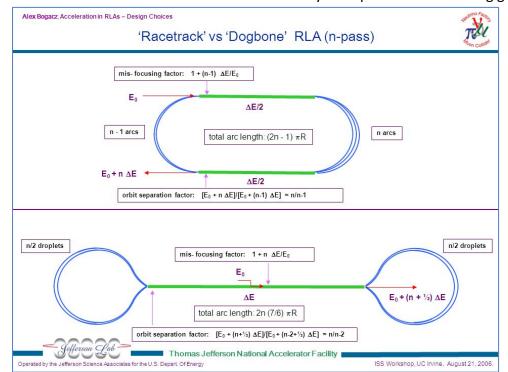


Option 1: "Dogbone" Types:

- These have been extensively considered by Alex Bogacz (JLab) in context of LHeC, Neutrino Factory and Muon Collider
- They are advantageous in the 100's GeV, low current regime as they are more efficient in utilising RF

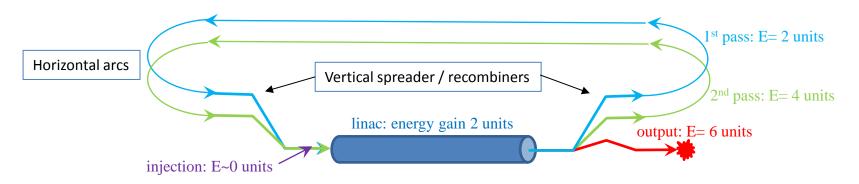
We reject these in the context of few GeV scale with 10's mA current as there is no way to implement ion clearing gaps in

such configurations

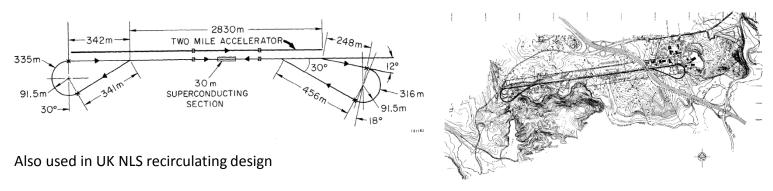




Option 2: Monolith: One linac with long bypasses (3-pass shown here)



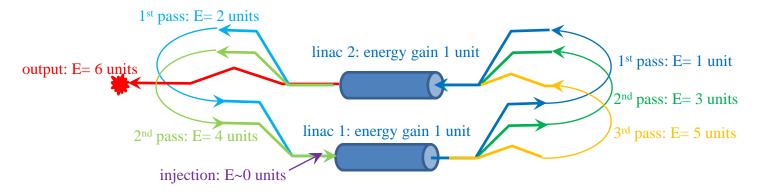
• First considered originally for SLC in 1968! (before discovery of RF pulse compression) W. B. Herrmannsfeldt et. al. SLAC-TN-71-004, SLAC-R-139



Cryogenically simple, however tunnel packing fraction is low (or additional arc bending = no cost advantage over split types, so reject



Option 3: Symmetrically bisected: Split linac into two identical half-linacs on opposite sides of a racetrack

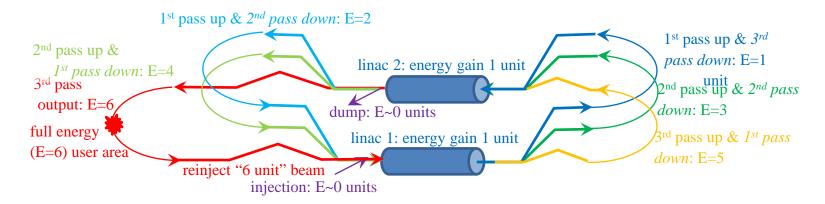


- CEBAF-like, also used in design for PERLE / LHeC
- With respect to the monolith, this increases the packing fraction of linac to tunnel
- When we implement energy recovery, we are faced with a choice...





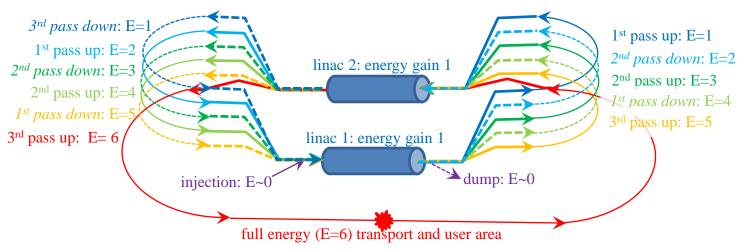
Option 3a: Symmetrically bisected: Split linac into two identical half-linacs on opposite sides of a racetrack



Re-inject the spent beam into L1

- Other than re-injection this involves no additional beamlines
- The recirculation transport **necessarily** carries both accelerated and recovered beams simultaneously as their energies are very similar (true even when lasing / interaction and SR losses included). Therefore there is **no independent control of optics and longitudinal phase space** on deceleration
- A lesser design complication is that the east and west **splitter / recombiners are optically different** (energy ratios 1:3:5 and 2:4:6 respectively)

Option 3b: Symmetrically bisected: Split linac into two identical half-linacs on opposite sides of a racetrack

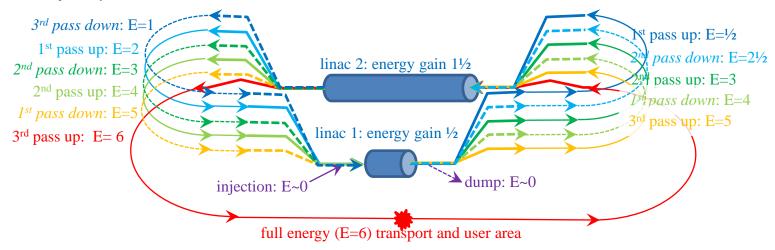


Re-inject the spent beam into L2

- The transport now carries both accelerated and recovered beams separately as their energies are distinct. This enables individual pass-to-pass optics and longitudinal phase space control (in fact this is a necessary condition to find global longitudinal phase space solution)
- However, L1 still has a very large mismatch of focusing strength to beam energy this limits the focusing we can apply to the top energy even with a "graded gradient" focusing technique. Beam envelops thus scale as (linac length)^2 = errors!
- The east and west splitter / recombiners are now identical



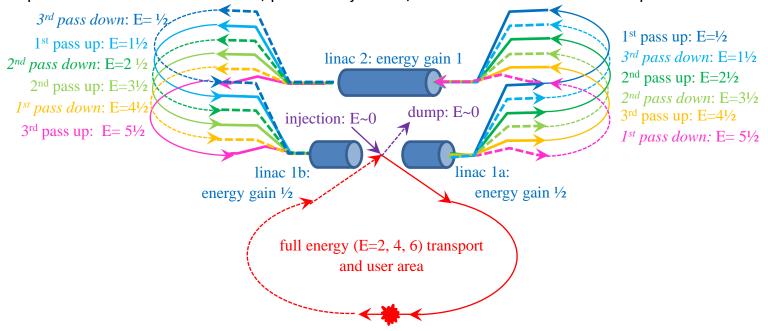
Option 4: Asymmetrically bisected (GERBAL): Split linac into two non-identical linacs on opposite sides of a racetrack, inject spent beam to **L2**



Re-inject the spent beam into L2

- Shortening the linac into which we inject / extract mitigates the low-beam-energy-constrained focusing at the expense of additional tunnel and beamline length
- Unfortunately, we again lose the symmetry in spreader / recombiners
- Despite above shortcomings use this topology to illustrate the method (but remember the non-optimal tunnel packing fraction)

Option 5: Symmetrised asymmetrically bisected (SYBAL): Split linac into one half-linac on one side of a racetrack and two quarter-linacs on the other side, place all injection / extraction between the two quarters

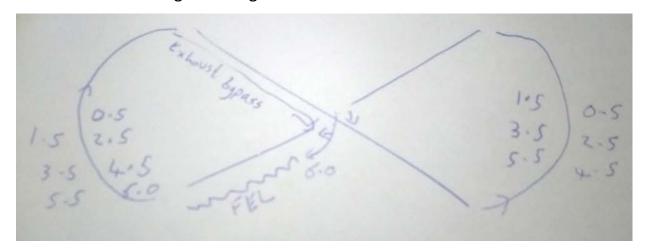


Although I use the GERBAL to illustrate – lets consider how it can be improved ...

- Mitigates the low beam energy constrained focusing, but retains tunnel packing fraction
- Symmetrizes all optics in spreader / recombiners
- Complexity in the crossover region where space is limited this can be solved by **building L1b slightly below L1a** and placing the FELs below L1a on the straight path from L1b, guide the spent beam in a bypass within the existing tunnel all the way around to L1a/L1b intersection for reinjection rather long bypass



Option 6: Symmetrized asymmetrically bisected figure-of-eight (SYBAL-8): Split linac into one half-linac on one side of a racetrack and two quarter-linacs on the other side, place all injection / extraction between the two quarters, twist the racetrack to a figure-of-eight



Apologies for poor drawing!

- As SYBAL, but allows spent beam to **only traverse half the machine in bypass** before a hard bend for reinjection (restrictions on emittance growth / SR loss less for spent beam)
- Disadvantage is it increases the total bend angles by twice the crossover angle

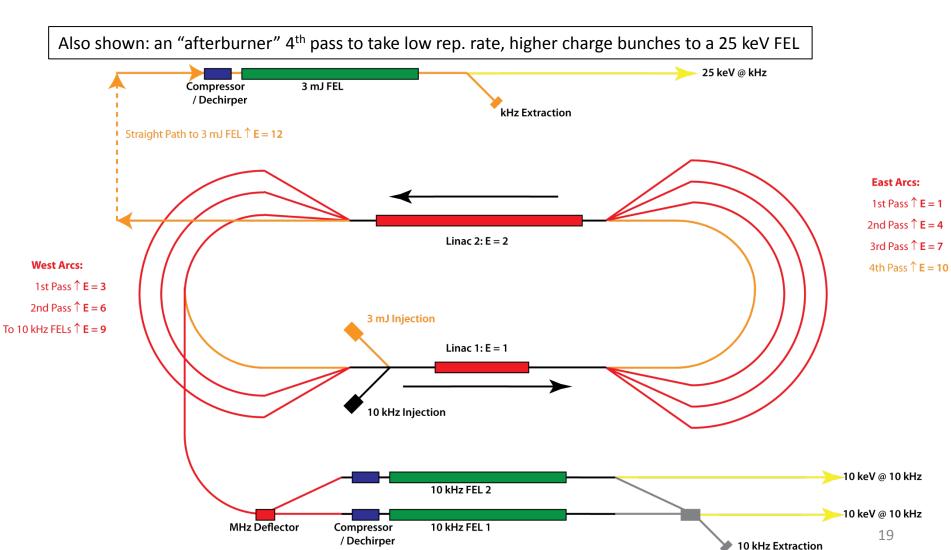


Choosing the Right Topology

- We need to understand cost scalings for each of these in:
 - 1. FEL Driver Beam Energies (note the plural)
 - 2. Beam Current
 - 3. RF Frequency
 - 4. RF Gradient
 - 5. Number of Acceleration Passes
 - 6. Recirculation Arc Radii
 - 7. Major Component Capital Expenditure (SRF, Tunnel, Beamline, Cryoplant)
 - 8. Major Component Operational Expenditure (SRF, Cryo, Activation)
- We can then assess the implications of introducing energy recovery on each topology as stage 2
- Construct longitudinal matches for stage 1 and stage 2
- Down-select through SWOT analysis and iterate including using analytic and semi-analytic indicators of beam properties
- This process is not complete! One example solution and a cost scaling for number of acceleration passes follows...

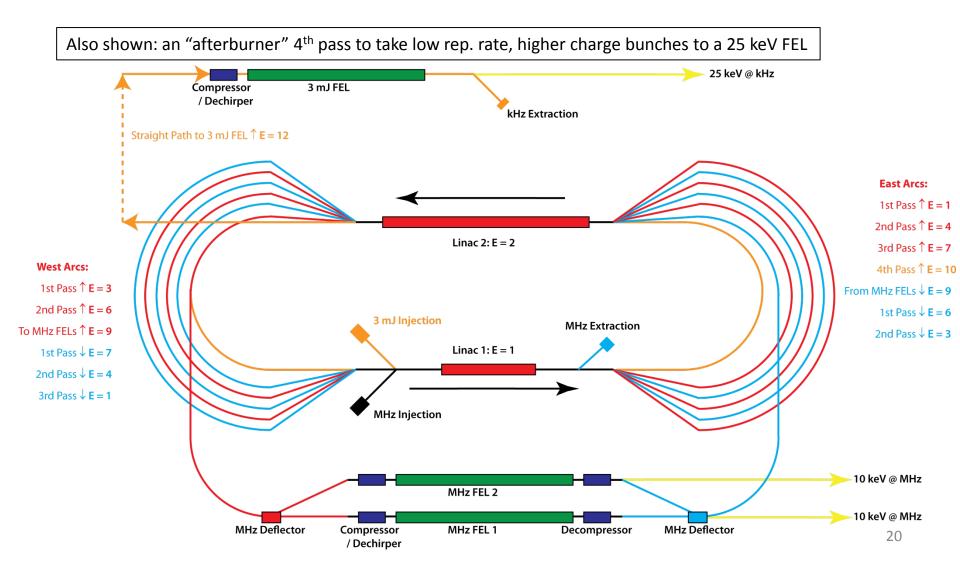


Strawman UK-XFEL Stage 1 (= 10's kHz rep rate) based on 3-Pass GERBAL





Strawman UK-XFEL Stage 2 (= 100 MHz rep rate) based on 3-Pass GERBAL



Set the Arc Size By Specifying Tolerable Slice Energy Spread AND Peak Current

 ISR usually considered in terms of quantum excitation of energy spread that leaks via dispersion into slice emittance growth, relevant formulae derivation from Matthew Sands (SLAC-121)

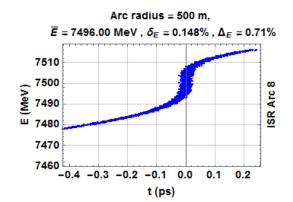
$$\sigma_{\it E}^2 = 1.18 \times 10^{-33}~{\rm GeV^2~m^2} \frac{\gamma^7}{\rho^2} \qquad \Delta \varepsilon = 7.19 \pi \times 10^{-28}~{\rm m^2~rad} \frac{\gamma^5}{\rho^2} \langle H \rangle \qquad {\rm Where~H~is~the~usual~term~in~the~5^{th}} \\ {\rm radiation~integral-i.e.~dispersion~dominated}$$

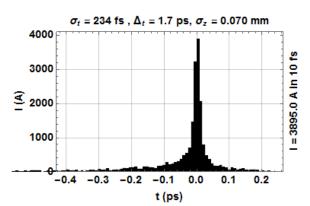
- In a ~10 GeV scale recirculated XFEL it turns out that the **longitudinal emittance degradation** is the limiting factor i.e. we are concerned with the slice energy spread increase itself. Transversely we remain source dominated and can mitigate ISR emittance growth with isochronous, locally-symmetric arcs, C.-Y. Tsai et. al. [Phys. Rev. Accel. Beams 20, 024401]
- We recast Sands formula to see the relevant scaling: arc radius required to avoid growth to a specified relative slice energy spread

$$\rho = 6.7253 \times 10^{-14} \text{m} \, \frac{\gamma^{2.5}}{(\sigma_E/E)}$$

For a fixed relative energy spread growth the arc radius scales as energy to the power 2.5

• In addition, through longitudinal phase space shearing used to compress the bunch this translates directly to a limit on the peak current achievable (exaggerate below by standing the bunch up in LPS and progressively reducing arc radii)

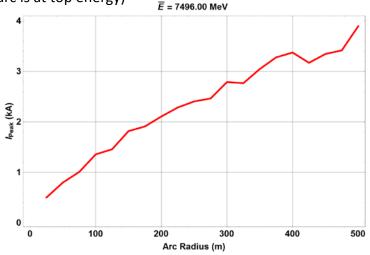






Set the Arc Size By Specifying Tolerable Slice Energy Spread AND Peak Current

• ISR peak current degradation derived from longitudinal phase space solution for the GERBAL at 7.5 GeV with 200 pC (assuming final arc is at top energy)



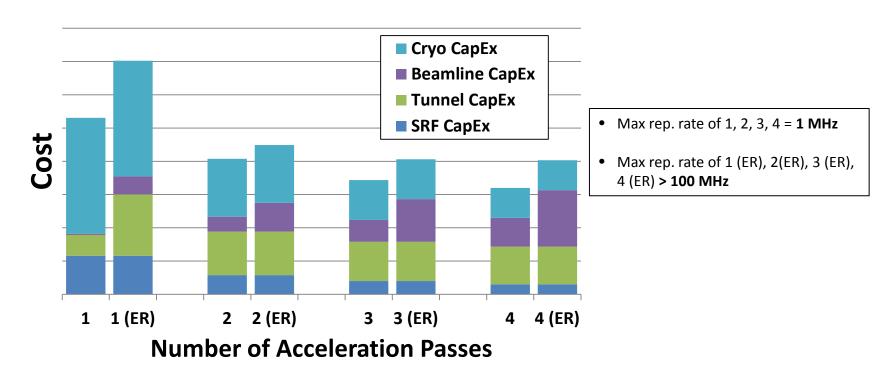
For this example **considering only the peak current** we should pick arc radius of 150 m to ensure ISR limit lies above 1.5 kA

(c.f. LCLS SASE 10 keV peak current of 2 kA for 200 pC)

- Upside is that microbunching instability will be suppressed the ISR in the arcs Landau damps it away (natural beam heater)
- What about CSR projected emittance growth? Mitigated by maintaining ps-scale bunch through recirculation and compressing after final arc
- Residual energy chirp then mitigated by **dechirping** (also required for single pass linacs e.g. LCLS-2) SYBAL and SYBAL-8 configurations allow further mitigation by compressing **before** the final pass as the **final arc is not at the top energy**
- Picking a topology, an energy and tolerable peak current and slice energy spread sets the arc size required and therefore
 the size of the facility and therefore its cost ...

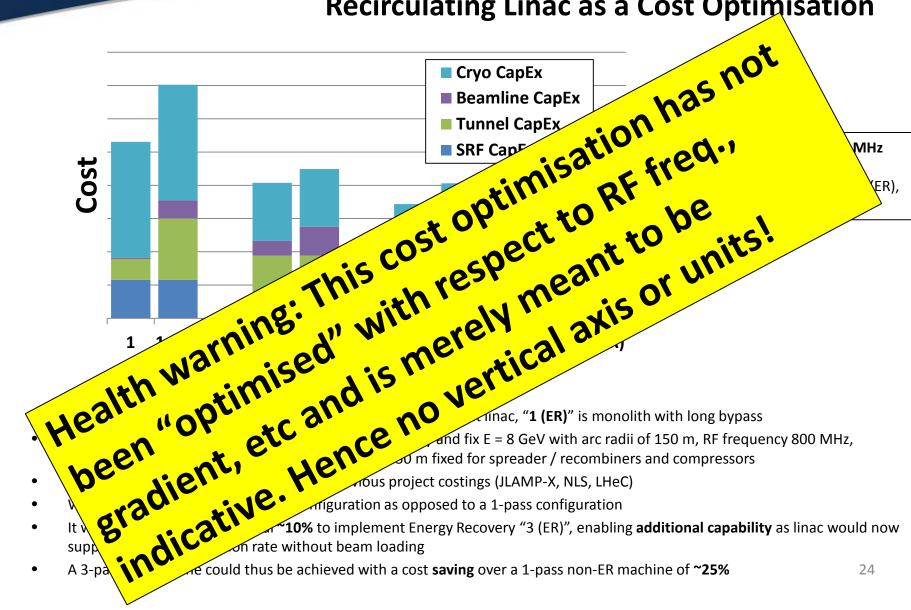


Recirculating Linac as a Cost Optimisation



- Example cost scaling as a function of N: The "1" line is a straight linac, "1 (ER)" is monolith with long bypass
- For all **N > 1**, we semi-arbitrarily chose GERBAL topology and fix E = 8 GeV with arc radii of 150 m, RF frequency 800 MHz, gradient 14 MV/m, switchyard fixed length 150 m, 50 m fixed for spreader / recombiners and compressors
- Indicative cost contributions taken from previous project costings (JLAMP-X, NLS, LHeC)
- We see a ~35% saving for a 3-pass configuration as opposed to a 1-pass configuration
- It would then cost an additional ~10% to implement Energy Recovery "3 (ER)", enabling additional capability as linac would now support 100 MHz repetition rate without beam loading
- A 3-pass ER machine could thus be achieved with a cost saving over a 1-pass non-ER machine of ~25%

Recirculating Linac as a Cost Optimisation

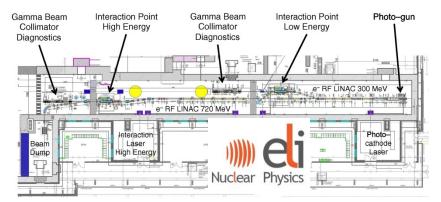


- 10% to implement Energy Recovery "3 (ER)", enabling additional capability as linac would now

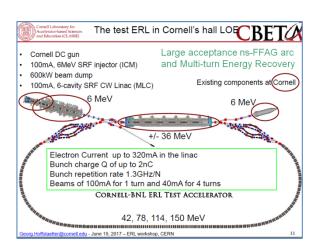


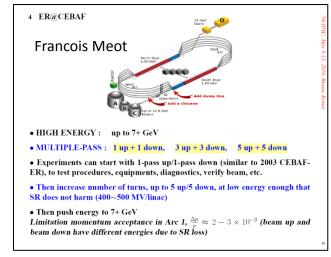
R&D to Show Feasibility

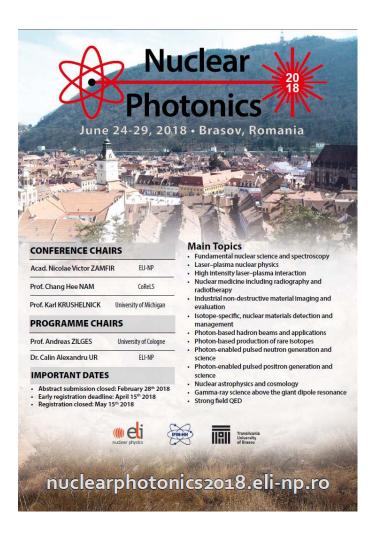
- Recirculation + ER: CBETA (Cornell / BNL): Will be the first multi-pass ERL (4-pass)
 (BINP is recuperator). Initial arc transport commissioning this month (March 2018)
- Recirculation + ER: ER@CEBAF (JLab): Proposal to insert two small modifications (path-length adjusted + low-energy dump) to CEBAF to produce worlds first multi-GeV, multi-pass ERL (5-pass). Arc optics can be set to transport large energy spread and therefore also demonstrate bunch compression in a multi-pass ERL (JLAB-TN-17-011)
- **Nuclear Applications:** ELI-NP (Magurele, Romania): In construction phase. Will demonstrate 2-19 MeV narrowband (10⁻³) gamma production via ICS to investigate NRF, BRIN, BRIP



• Is there a place for a **dedicated multi-pass CW ERL industrial user facility at ~900 MeV?** Would serve as an XFEL demonstrator, but also could support high average power (10 kW) **EUV-FEL** and high average flux **ICS narrowband (10**⁻⁵) γ **source** for NRF, medical isotope production etc. (unlike ELI-NP the laser interaction cavity would need to be Fabry-Perot as opposed to recirculator)







Conclusions

Question: Why did no-one build a 4th generation, MBA-type "ultimate" storage ring (of which the first is Max-IV) in the 1990's/2000's?

Answer from Richard Walker (Diamond) @ NOCE 2017: "Ultimately, because no-one had the guts to take the risk"

- My view is we are now in a similar situation with XFELs and we need to re-evaluate potential opportunities opened by more radical accelerator designs
- A recirculating linac should be seen firstly as a cost mitigation method vs a single-pass linac. I have showed that significant savings are plausible
- But it also opens up additional capability through energy recovery (which enables >100 MHz rep rate operation) and any implementation should consider that as its eventual aim
- Additionally my view is that the time is right to look beyond traditional SR users towards the newly emerging field of nuclear photonics and to consider industrial uses of high average power sources



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- Hywel Owen (U. Manchester; Cockcroft, UK)
- Brian McNeil (U. Strathclyde; Cockcroft, UK)



















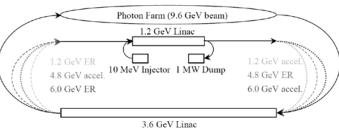


Extra Slides

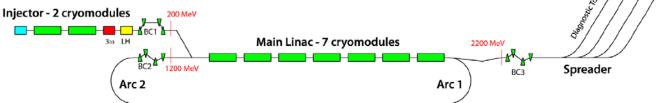


History of Recirculating XFEL Proposals*

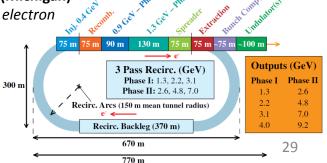
 2001: GERBAL considered an FEL among other spontaneous sources (Generic Energy-Recovering Bisected Asymmetric Linac, Douglas, ICFA-BD-NL-26, 2001)



2010: UK New Light Source design study considered 2-pass recirculation for a soft XFEL (1 keV) at 1 MHz, (Recirculating Linac Free-Electron Laser Driver, Williams et. al. PRAB 14, 050704, 2011)



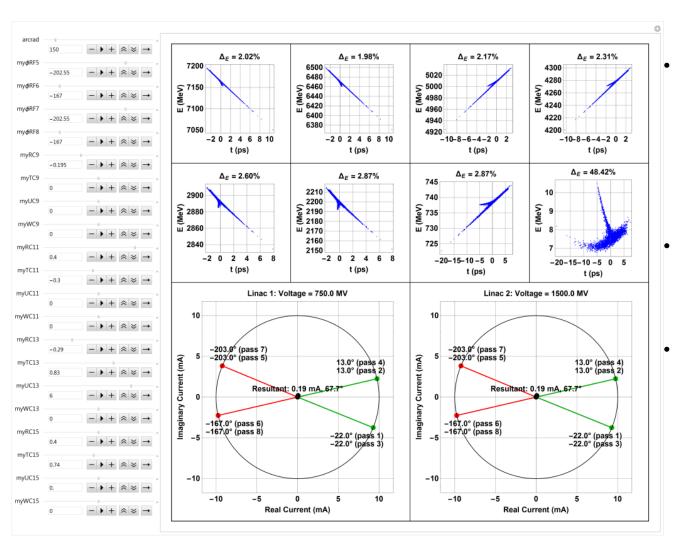
• 2014: CEBAF-X design study to add a soft XFEL to CEBAF lead to Richard York (Michigan) proposed 3-pass recirculation for hard XFEL, (5 keV upgradable to 25 keV free electron laser facility PRAB 17, 010705, 2014)



^{*} To my knowledge, all other considerations of recirculation addressed only spontaneous sources or longer wavelength FELs

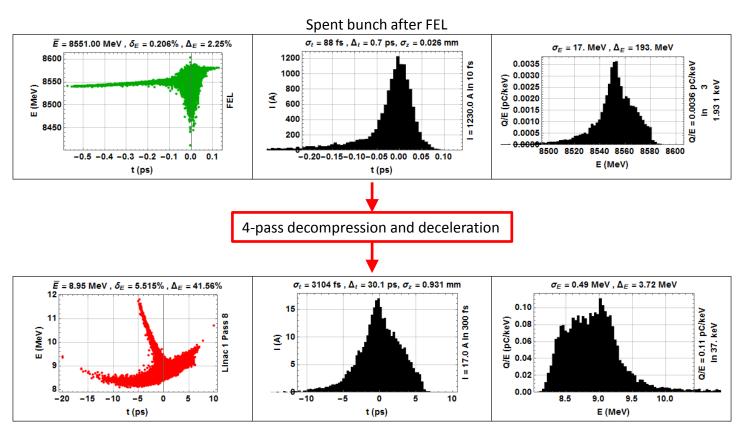


Successfully Recovering the Spent Bunch in a Multi-Pass ERL



- For > 1 MHz rep rates we must ensure full energy recovery, this requires self-consistent longitudinal phase space match with RF load balancing, accelerating bunch compression and decelerating bunch decompression (and energy spread compression)
- This match must also account for bunch disruption by FEL lasing (or internal target interaction) and ISR losses
- Global optimization of linear and higher order longitudinal transport terms in the arcs, together with pass-to-pass off crest phase achieves this (here we show a 4-pass GERBAL implementation as example)

Successfully Recovering the Spent Bunch in a Multi-Pass ERL



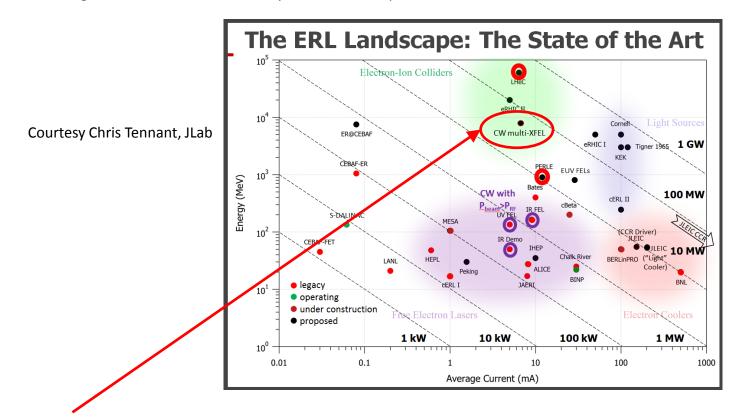
Spent bunch successfully transported to low energy dump – note the three tails, two from the injector (top and right), one from the lasing sheared from energy spread to arrival time (left) – this process is "catadioptric optics" in longitudinal phase space (we image the spent bunch onto the dump without aberration)

More sophisticated "Caustic" analysis being developed to systematically minimise parasitic crossings



Path to Show Feasibility

Given that our final goal is an ERL we should survey the ERL landscape



• A **100 MHz rep rate incarnation** would be two orders of magnitude larger in beam power from that proven at JLab FEL (only true CW with P_beam > P_RF), and one order of magnitude larger that to be demonstrated on CBETA / BERLinPro / PERLE, it would be one order of magnitude less than LHeC – we assert that this is therefore a reasonable next step given relevant R&D