

# 1 No evidence for Evans' holes in the A, B, C vibrational structure 2 of potassium dihydrogen arsenate

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9 We have used inelastic neutron scattering (INS) spectroscopy to study the “A, B, C” region of the  
10 hydrogen bond in potassium dihydrogen arsenate. The broad spectral feature observed in infrared  
11 spectroscopy is shown to be associated with a ridge of constant intensity in the INS, which follows  
12 the recoil line for a unit-mass particle. The onset energy of the ridge is unclear but, we believe, is  
13 associated with the optical “C” feature at 1610 cm<sup>-1</sup>, and which we assign to  $\nu(\text{O-H})$ . The “B” and  
14 “A” optical bands were both demonstrated to be two-quantum events and are, thus, not associated  
15 with the fundamental  $\nu(\text{O-H})$ . They are readily assigned to the harmonic overtone  $\delta(\text{OH})(0-2)$  and  
16 the combination  $\gamma(\text{OH})(0-1) + \delta(\text{OH})(0-1)$ , which both sit astride the ridge and there is no evidence  
17 for Evans' holes. The other overtone,  $\gamma(\text{OH})(0-2)$ , has been assigned to a very weak feature,  
18 observed for the first time at 1900 cm<sup>-1</sup>. A simple model was used to describe the intensity  
19 distributions. © 2010 American Institute of Physics. [doi:10.1063/1.3457788]  
20

## 21 I. INTRODUCTION

22 In the infrared vibrational spectroscopy of hydrogen  
23 bonds, here O-H...O, the great strength and width of the  
24 high frequency stretching vibration,  $\nu(\text{O-H})$ , has always  
25 been of interest. Its intense infrared response serves not only  
26 as a clear indicator of the presence of a H-bond but the  
27 energy of the transition is closely linked to the strength of the  
28 H-bond.<sup>1</sup> The infrared  $\nu(\text{O-H})$  band is often heavily struc-  
29 tured and the causes of this have been a rich topic of research  
30 for several decades, although recent work now favors one  
31 particular model.<sup>2</sup> In one category of H-bond, where the cen-  
32 ter of the broad  $\nu(\text{O-H})$  band is about 2000 cm<sup>-1</sup>, the vi-  
33 brational structure is especially simple; the band is split into  
34 three subbands, “A,” “B,” and “C” (named from high to low  
35 energies). It was soon realized that this structure could be  
36 explained by two models, either an enhancement of intensity  
37 in the regions of the subbands, or of intensity loss in the two  
38 gaps between the bands, the A-to-B and B-to-C “windows.”<sup>3</sup>  
39 The latter model could be explained in terms of narrow, usu-  
40 ally, optically inactive modes interacting with the broad re-  
41 sponse of the  $\nu(\text{O-H})$  band and creating the so-called  
42 Evans' holes.<sup>4</sup> Indeed, the harmonic overtones of the defor-  
43 mation modes of the hydrogen bond (the out-of-plane  
44  $\gamma(\text{O-H})(0-2)$  and in-plane  $\delta(\text{O-H})(0-2)$  deformations, re-  
45 spectively) could fall at positions suitably close to the ob-  
46 served windows. Demonstrating which of the two models

applies to a given spectrum has not proved straightforward 47  
because the problem is obscured by the presence of other 48  
effects, *inter alia* electrical anharmonicity. 49

Furthermore, the vibrational spectra of heterogeneous 50  
catalysts, where the presence of surface hydroxyl groups can 51  
markedly influence catalytic performance, have been dis- 52  
cussed in terms of A, B, C bands. For example, the infrared 53  
spectra of H-surface complexes on deuterated H-ZSM5 and 54  
H-FeSil zeolites.<sup>5</sup> Similarly, the acidobasic properties of sur- 55  
face complexes of zeolites of various acidity have been 56  
probed using infrared spectroscopy interpreted through theo- 57  
retical models.<sup>6</sup> In particular, that work highlighted the im- 58  
portance of determining the fundamental  $\delta(\text{OH})$  and  $\gamma(\text{OH})$  59  
deformation energies of surface -OH groups from the infra- 60  
red spectra of the surface complexes. Unfortunately, the sub- 61  
strate modes of technical grade catalysts often limit the use 62  
of optical spectroscopy (in the case of alumina based cata- 63  
lysts bands below the “cut-off,” ~1100 cm<sup>-1</sup>, are inaccess- 64  
sible) and the  $\delta$  and  $\gamma$  modes are normally obscured in zeo- 65  
litic systems and metal oxide supported catalysts.<sup>7</sup> However, 66  
inelastic neutron scattering (INS) spectroscopy is ideally 67  
suited to the study of H-bonds, in general,<sup>8</sup> and the determi- 68  
nation of both  $\delta$  and  $\gamma$  in catalytic materials (at least for high 69  
surface area systems<sup>9</sup>). In this technique the hydrogen atom 70  
eigenvectors are observed directly and the enhancement of 71  
transition strengths by electrical anharmonicity plays no 72  
role.<sup>10</sup> Taken together there is a strong complementarity be- 73  
tween the infrared and INS techniques when used to charac- 74  
terize technical grade catalysts. It is, as well, then that the 75  
true nature of the A, B, C bands be fully understood so that 76  
they can be suitably exploited in other fields of study. 77

Therefore, we have undertaken the study of one particu- 78  
lar A, B, C system, potassium dihydrogen arsenate, 79  
KH<sub>2</sub>AsO<sub>4</sub> (KDAs). This system has already seen some pre- 80

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81 liminary INS work,<sup>11</sup> and was studied using optical spectro-  
 82 copy to draw the conclusion that the A-to-B gap is best de-  
 83 scribed as a window, as might also be the other gap.<sup>12</sup> Here  
 84 we shall follow the variation of the INS intensities of the A,  
 85 B, C bands as we vary the neutron momentum transferred.  
 86 The crystallographic details of KDAs are given in Sec. II, the  
 87 quantitative INS theory is outlined in Sec. III, the INS mea-  
 88 surements in Sec. IV, data treatment in Sec. V, and the results  
 89 are presented in Sec. VI and discussed in Sec. VII.

## 90 II. CRYSTALLOGRAPHIC DETAILS

91 The room temperature crystal structure of KDAs,  
 92  $I\bar{4}2d(D_{2d}^{12})$   $Z=1$ ,<sup>13</sup> implies the equal sharing of the hydrogen  
 93 atoms across two sites. Below its critical temperature, at  $T_c$   
 94 =97 K, the hydrogen atoms are randomly associated with  
 95 specific oxygen atoms and the system becomes locally or-  
 96 dered into ferroelectric domains,  $Fdd2(D_{2v}^{19})$ . The arsenate  
 97 tetrahedra are held together by hydrogen bonds that link their  
 98 corners in sheets, in the  $a, b$  plane, and the short tetragonal  
 99 axis lies along the unique direction  $c$ . Unfortunately, no de-  
 100 tailed neutron diffraction work is reported on this arsenate,  
 101 so the atomic displacement parameters (ADPs) (Ref. 14) of  
 102 the hydrogen atom are unknown. However, ADP values are  
 103 available for the hydrogen atom in the structurally isomor-  
 104 phous (and spectroscopically similar) potassium dihydrogen  
 105 phosphate.<sup>15</sup> We can compare the ADP values of the oxygen  
 106 in this phosphate,  ${}^{\text{Tr}}\mathbf{U}(\text{O})$ , to those of the oxygen in KDAs  
 107 (both at  $T_c+5$  K); then  ${}^{\text{Tr}}\mathbf{U}(\text{O})_{\text{P}}: {}^{\text{Tr}}\mathbf{U}(\text{O})_{\text{As}}::0.026\ 13:$   
 108  $0.0282$ . They are in reasonable agreement and, so, we use the  
 109 KDP value of  ${}^{\text{Tr}}\mathbf{U}(\text{H})$ ,  $0.054\ 42\ \text{\AA}^2$  (at  $T_c-20$  K) as an es-  
 110 timate for that of the KDA hydrogen atom below its  $T_c$ .  
 111 Some correction should be made for the differences of tem-  
 112 perature, 77 K for the diffraction value and 20 K in this  
 113 work, but we can accept  ${}^{\text{Tr}}\mathbf{U}(\text{H})=0.054\ 42\ \text{\AA}^2$  as the maxi-  
 114 mal value for purposes of broad comparison.

## 115 III. THEORY

116 The intensity observed,  $S$  (arbitrary units), at momentum  
 117 transfer,  $Q(\text{\AA}^{-1})$  and energy transfer,  $\omega(\text{cm}^{-1})$ , is given by

$$118 \quad S(Q, \omega)^\nu = I\Gamma, \quad (1)$$

119 where the observed intensity as a function of  $Q$  (the  
 120  $Q$ -profile) is  $I(Q)$  and  $\Gamma$  is the full width at half height  
 121 (FWHH) in  $\omega$ . This intensity is related to the theoretical  
 122 expressions,<sup>10</sup>

$$123 \quad S(Q, \omega)^\nu |_{(0-1)} = X \frac{Q^2}{3} \text{Tr}(\nu\mathbf{B}) \exp(-Q^2\alpha^\nu),$$

$$124 \quad \alpha^\nu = \frac{1}{5} \{ \text{Tr}\mathbf{A} + 2 \nu\mathbf{B} \} \quad \alpha^\nu \approx \frac{\text{Tr}\mathbf{A}}{3}, \quad (2)$$

125 where  $\text{Tr}(\nu\mathbf{B})$  is the trace of the mean square displacement  
 126 tensor ( $\text{\AA}^2$ ) of the hydrogen bonded hydrogen atom in its  
 127 vibrational mode  $\nu$ , and the tensor  $\mathbf{A}$  is defined below. For a  
 128 harmonic vibration the components of its  $\mathbf{B}$  tensor, oriented  
 129 to its principle axes, are given by (for an oscillator mass,  $\mu$ )

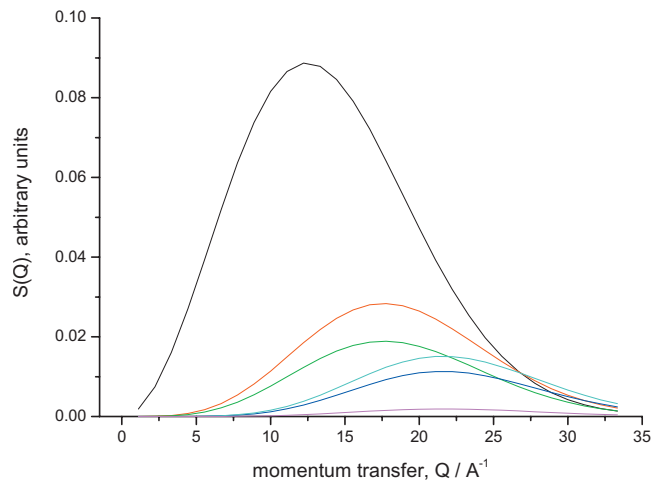


FIG. 1. The ideal shapes of the theoretical  $Q$ -profile curves according to Eq. (2) one-quantum transitions, (0-1) in black; Eqs. (4) and (5) two-quantum transitions, (0-2) in red, (0-1)(0-1') in green; and three-quantum transitions, (0-3) in dark blue, (0-2)(0-1) in light blue, (0-1)(0-1')(0-1') in mauve. Here  ${}^{\text{Tr}}\mathbf{B}=0.004\ 66\ \text{\AA}^2$  and  $\alpha=0.0044\ \text{\AA}^2$ .

$$\nu\mathbf{B} = \begin{bmatrix} u_\nu^2 & & \\ & 0 & \\ & & 0 \end{bmatrix} \quad \text{where} \quad u_\nu^2 = \frac{16.759}{\omega_\nu \mu_\nu}. \quad (3)$$

Only relative intensities have been measured, hence the ar-  
 131 bitrariness of the  $S$  units, and the need for a scaling factor  $X$   
 132 (determined experimentally). Equation (2) refers to funda-  
 133 mental transitions and similar forms are known for first over-  
 134 tones and combination transitions, Eqs. (4) and (5),  
 135 respectively,<sup>10</sup> 136

$$137 \quad S(Q, \omega)^\nu |_{(0-2)}$$

$$138 \quad = X \frac{Q^4}{30} \{ \text{Tr}(\nu\mathbf{B}) \text{Tr}(\nu'\mathbf{B}) + 2 \nu\mathbf{B}:\nu'\mathbf{B} \} \exp(-Q^2\beta^\nu)$$

$$139 \quad \beta^\nu = \frac{1}{7} \{ \text{Tr}\mathbf{A} + 4 \nu\mathbf{B} \}, \quad (4)$$

$$140 \quad S(Q, \omega)^\nu \left| \begin{array}{l} (0-1')(0-1) \\ (0-1)(0-1') \end{array} \right.$$

$$141 \quad = X \frac{Q^4}{15} \{ \text{Tr}(\nu\mathbf{B}) \text{Tr}(\nu'\mathbf{B}) + (\nu\mathbf{B}:\nu'\mathbf{B}) + (\nu'\mathbf{B}:\nu\mathbf{B}) \}$$

$$142 \quad \times \exp(-Q^2\beta^{\nu'\nu}) \quad (5)$$

$$143 \quad \beta^{\nu'\nu} = \frac{1}{7} \{ \text{Tr}\mathbf{A} + 2 \nu'\mathbf{B} + 2 \nu\mathbf{B} \}.$$

The form of these curves is shown in Fig. 1. The observed  
 144 data taken for given transitions can identify them as funda-  
 145 mentals by fitting to Eq. (2) and a unique parametrization is  
 146 obtained. Unfortunately, it is very difficult to identify an  
 147 event as specifically from a two-quantum transition by fitting  
 148 alone. This is because the forms of higher order transitions  
 149 [e.g., (0-3) or (0-2)(0-1)] can be made to reasonably fit two-  
 150 quantum transitions but with different parameter values, see  
 151 Fig. 2. Note, however, that data from fundamentals will not  
 152 be well fitted to the forms of higher order transitions. 153

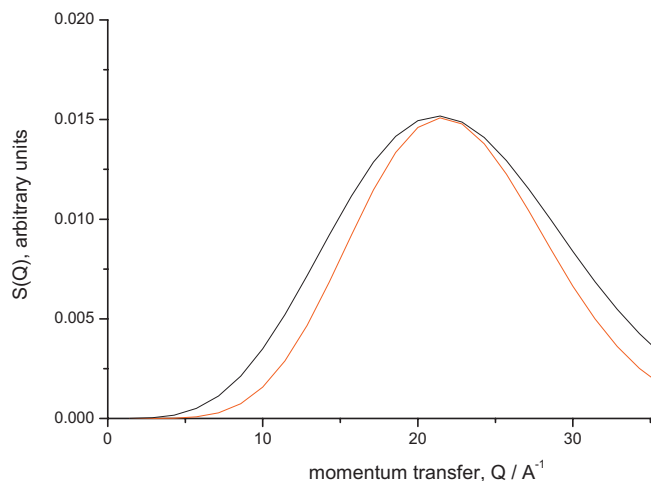


FIG. 2. The theoretical  $Q$ -profile curve of the two-quantum transition, (0-2), of Fig. 1 ( $\alpha=0.0044$ ), now in red, compared to a vertically scaled three-quantum transition (0-3),  $\alpha=0.0064 \text{ \AA}^2$ .

154 The tensor  $\mathbf{A}$  of the hydrogen atom is the sum of all its  
155 vibrational displacements and, in the absence of static disorder,  
156 is equivalent to its ADP,  $\text{Tr}\mathbf{A}=\text{Tr}\mathbf{U}(\text{H})$  (since they are  
157 usually referred to different axis systems only their traces are  
158 equal);  $\mathbf{A}$  is given by

$$\mathbf{A} = \sum_{\nu} \nu \mathbf{B} \quad \text{and} \quad \mathbf{A}_{\text{tot}} = \sum_{\nu'} \nu' \mathbf{B} + \sum_{\nu''} \nu'' \mathbf{B} \quad (6)$$

159

160 or

$$\mathbf{A}_{\text{tot}} = \mathbf{A}_{\text{external}} + \mathbf{A}_{\text{internal}}.$$

162 Here  $\mathbf{A}_{\text{tot}}$  has been separated into its conventional molecular  
163 components, internal (high energy) and external (low en-  
164 ergy). Where the  $\nu'$  are the low energy modes (say below  
165  $500 \text{ cm}^{-1}$ ) and  $\nu''$  are the high energy modes (say above  
166  $1000 \text{ cm}^{-1}$ ), we also have

$$\mathbf{A}_{\text{tot}} = \begin{bmatrix} \mathbf{A}_{11} & & \\ & \mathbf{A}_{22} & \\ & & \mathbf{A}_{33} \end{bmatrix}. \quad (7)$$

167

168 We shall find the logarithmic forms of Eqs. (2) and (4) use-  
169 ful,

$$\ln\left(\frac{S}{Q^2}\right) = \ln\left(\frac{X}{3}\text{Tr}(\nu\mathbf{B})\right) - Q^2\alpha^\nu, \quad (8)$$

170

$$\ln\left(\frac{S}{Q^4}\right) = \ln\left(\frac{X}{30}\{\text{Tr}(\nu\mathbf{B})\text{Tr}(\nu\mathbf{B}) + 2\nu\mathbf{B}:\nu\mathbf{B}\}\right) - Q^2\beta^\nu. \quad (9)$$

171

172 Or, generally, with  $n=2$  or  $4$  and  $\theta=\alpha$  or  $\beta$ ,

$$\ln\left(\frac{I(Q)\Gamma}{Q^n}\right) = \ln(\nu\varphi) - Q^2\theta^\nu, \quad (10)$$

173

174 where  $\alpha$ ,  $\beta$ , and  $\varphi$  are the parameters fitted to the experimen-  
175 tal results,  $I(Q)$  and  $\Gamma$  (except for the ridge intensity to which  
176 only  $I$  was fitted, see below).

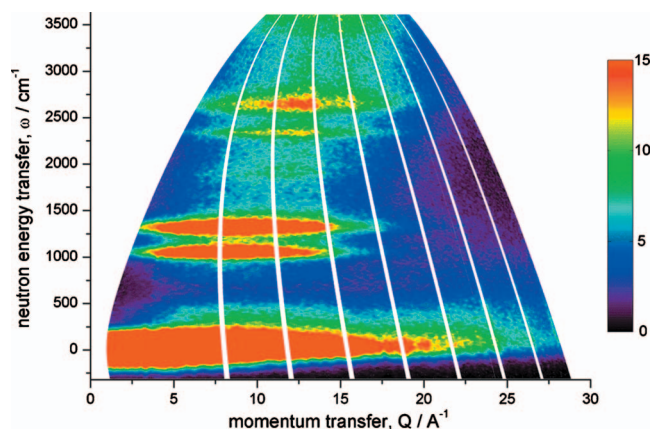


FIG. 3. The  $S(Q, \omega)$  map of  $\text{KH}_2\text{AsO}_4$  at 5 K, obtained on MARI, with an incident energy of  $4087 \text{ cm}^{-1}$ .

## IV. EXPERIMENTAL

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The  $\text{KH}_2\text{AsO}_4$  was obtained from Apollo Scientific, Ltd.,  
U.K., and used without further treatment. Two aluminum foil  
sachets of KHAs, one thick (7.35 g) and one thin (1.43 g, an  
approximately 3% scatterer), were held at  $\sim 5 \text{ K}$  in the  
MARI spectrometer, The Science and Technology Facilities  
Council, The ISIS Facility, The Rutherford Appleton Labo-  
ratory, The Harwell Campus, OX11 0QX U.K. An incident  
neutron energy of  $4087 \text{ cm}^{-1}$  was used. The samples were in  
transmission geometry with the flat-plate at  $45^\circ$  to the beam.  
The detected time-of-flight neutron spectra were converted to  
the scattering law,  $S(Q, \omega)$ , by standard programs. This spec-  
trometer and its data treatment programs are described in  
detail elsewhere.<sup>16</sup> The spectrum of the thin sample scaled  
linearly to match the spectrum of the thick sample, demon-  
strating that no significant artifacts were introduced into the  
spectrum of the thick sample by multiple scattering events.  
The same thick sample was also run on the TOSCA spec-  
trometer, also at The ISIS Facility (see address above), at  
 $\sim 20 \text{ K}$ , and again standard programs transformed the data  
to  $S(Q, \omega)$ . This spectrometer and its data treatment pro-  
grams are described in detail elsewhere.<sup>17</sup>

## V. DATA ANALYSIS

199

All of the analysis described below was undertaken on  
data obtained through the MSLICE suite of programs.<sup>16</sup> The  
results from MARI appear as a  $S(Q, \omega)$  map, Fig. 3, and the  
energy transfer spectrum  $S(\omega)$  is the sum of  $S(Q, \omega)$  over all  
observed  $Q$ , Fig. 4. Cuts across the  $S(Q, \omega)$  map, taken at  
constant  $\omega$ , enable the  $Q$ -profile of the spectrum, at that  $\omega$ , to  
be obtained. All of the cuts reported here were obtained by  
integrating the data in an  $80 \text{ cm}^{-1}$  wide strip, taken sym-  
metrically about the central energy transfer of the cut. The  
detector coverage on MARI is incomplete and some scatter-  
ing angles are unoccupied, therefore, the  $(Q, \omega)$  map is dis-  
continuous. Unfortunately these discontinuities introduce the  
appearance of structure into some extracted  $Q$ -profiles, usu-  
ally on the high  $Q$  edge of the profiles. No attempt was made  
to correct for these effects, which were ignored. The  
 $Q$ -profiles were exported for further analysis in a commercial  
graphics package, "ORIGIN."<sup>18</sup>

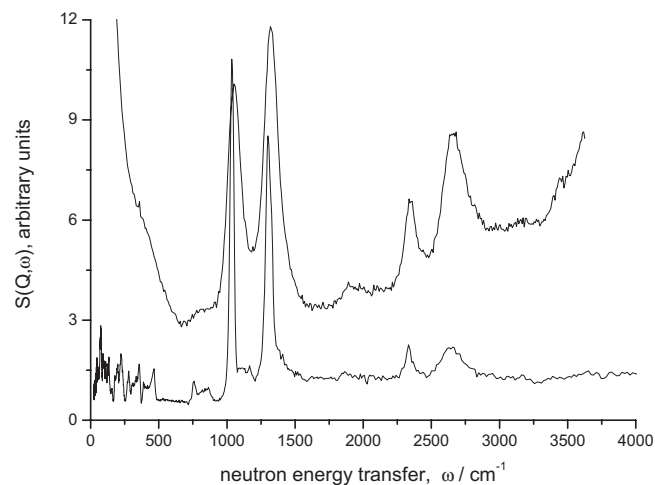


FIG. 4. The spectra of  $\text{KH}_2\text{AsO}_4$ . The upper trace, as derived from the  $S(Q, \omega)$  data of Fig. 3 by summing across  $Q$ . The lower trace, as obtained from the TOSCA spectrometer, 20 K.

contain data obtained at lower and higher  $Q$  than that obtained on TOSCA.<sup>10</sup> Here, it is the low  $Q$  data that allow us to distinguish the band at  $1900 \text{ cm}^{-1}$ . This is the first observation of this feature in the INS spectrum of KHAs. At the highest energy transfers the TOSCA spectrum is flat and unstructured but significant extra intensity is observed in this region on MARI. This extra intensity is an experimental artifact and comes from those neutrons that underwent several scattering events and almost stopped in the sample. This intensity was ignored.

### A. The main features

The spectra consist of five clear transitions above  $1000 \text{ cm}^{-1}$  at  $1036, 1307, 1900, 2335,$  and  $2650 \text{ cm}^{-1}$ . These can be compared to the assignments of the optical spectrum of KHAs (30 K):  $\gamma(\text{OH})(0-1)$ ,  $\delta(\text{OH})(0-1)$  and the C, B, A bands at  $1036, 1304, 1610, 2370,$  and  $2700 \text{ cm}^{-1}$ . The lower energy bands are clearly in register and the higher energy bands are nearly so, but the  $1900$  and  $1610$  pair is not.

### B. The ridge intensity

Following the theory outlined above, we have developed the intensity profiles, as a function of  $Q$ , at several energy transfers not associated with the transitions, see Fig. 5. We see from Fig. 3 that a ridge of intensity stretches from at least  $1600$  to  $4000 \text{ cm}^{-1}$  and all of the higher frequency INS features sit astride this ridge.  $Q$ -profiles of the ridge fitted to Eq. (10) as two-quantum events (at least in the limit that we cannot distinguish two-quantum from higher-quantum events, see above). Values of the  $\alpha$  and  $\varphi$  parameters were extracted from  $I$  values alone and showed that  $\varphi$  is constant at  $0.0025$ .

The  $\alpha$  values, however, followed a specific trend. The positions in  $Q$  where the ridge reaches its maximum intensity move to higher values as the energy transfers, at which the profiles are taken,  $\omega_p$  increase, such that

Using ORIGIN, flat backgrounds, estimated in the highest  $Q$  region, were subtracted from the  $Q$ -profiles. The corrected data gave good straight lines when fitted to either Eq. (8) (for one-quantum events), see Fig. 6(b), or Eq. (9) (for two-quantum events). However, if a straight line was obtained with Eq. (8), then the same data could not be well fitted to Eq. (9), and vice versa. The slopes of these lines are the best fitting  $\alpha$  values and the  $y$ -intercepts are the best fitting  $\varphi$  factors; these values are reported in Table I.

## VI. RESULTS

The  $S(Q, \omega)$  map and the derived  $S(\omega)$  spectrum of KHAs obtained on MARI are shown in Figs. 3 and 4, respectively. The INS spectrum of KHAs, as obtained on TOSCA, is also shown in Fig. 4 and detailed in Table II. The TOSCA spectrum is entirely in agreement with that published previously.<sup>11</sup> The TOSCA and MARI spectra of Fig. 4 differ in the presence of an extra feature in the MARI data at about  $1900 \text{ cm}^{-1}$ . The TOSCA spectrum is determined by its fixed trajectory across  $(Q, \omega)$ , that of MARI is obtained by integrating across all  $Q$ . Results at any given  $\omega$  on MARI will

TABLE I. The fitting parameters obtained from fitting Eqs. (9) and (10) to the spectral features of  $\text{KH}_2\text{AsO}_4$ . The underlying intensity of the ridge has been subtracted, see text.

Band position $\text{cm}^{-1}$	Eq. (9)		Eq. (10)		FWHH <sup>a</sup>
	$\varphi$	$\alpha$ $\text{\AA}^2$	$\varphi$	$\beta$ $\text{\AA}^2$	$\Gamma$ $80 \text{ cm}^{-1}$
1036	1.15	0.0162			1.20
1307	1.37	0.0136			1.44
1897	...	...	...	...	...
2335					
Ridge corrected			0.0069	0.0141	1.94
2650			0.0018	0.0145	1.11
Ridge corrected			0.0172	0.0140	3.70
Average values		0.0149	0.0050	0.0152	2.09

<sup>a</sup>As expressed in units of  $80 \text{ cm}^{-1}$ , the energy width used in generating the  $Q$ -profiles.

TABLE II. Showing the band positions,  $\text{cm}^{-1}$ , in potassium dihydrogen arsenate; as observed in the infrared, Raman, and INS techniques.

IR <sup>a</sup> 30 K	Raman <sup>b</sup> 7 K				INS, this work			
					MARI	TOSCA	Assignment	
1050	1036	$A_1(x,x)$	$A_1(z,z)$	$A_2$	$B_1$	1050	1036 1180	$\gamma(\text{OH})(0-1)$
1300	1298					1315	1307	$\delta(\text{OH})(0-1)$
1610	1329							$\nu(\text{OH})_{\text{onset}}$
1780	1730	1660	1828	...		1900	...	$\gamma(\text{OH})(0-2)$
1930	1922	1928	1940	...				
2370	2310	2256	2393	2344	2355	2335		$\gamma(\text{OH})(0-1)+\delta(\text{OH})(0-1)$
2700	2655	2635	2660	2660	2645	2650		$\delta(\text{OH})(0-2)$

<sup>a</sup>Reference 11.<sup>b</sup>Reference 12.

$$Q^2\alpha = 1 \quad \text{and} \quad \omega_p/16.71 = Q^2. \quad (11)$$

The ridge, therefore, follows a trajectory in  $(Q, \omega)$  space that corresponds to the unit-mass oscillator line and is the same trajectory as that followed by TOSCA. That this ridge is of fixed intensity and effectively featureless, except for the two bands at 2335 and 2650  $\text{cm}^{-1}$ , is clearly shown in the TOSCA spectrum, see Fig. 4.

### C. $Q$ -profiles

The  $Q$ -profiles of each transition are shown in Figs. 6(a)–6(d). Also shown in Fig. 6(b) is the fit of the 1307  $\text{cm}^{-1}$   $Q$ -profile to Eq. (10). To extract the  $Q$ -profile of the bands at 2335 and 2650  $\text{cm}^{-1}$ , the intensity of the ridge profile was subtracted from the total profile. This is justified below. [The ridge intensity under the band was  $\varphi=0.0025$ , see above, and  $\alpha$  is from Eq. (11).] From Fig. 6 we see that, while the 1036 and 1307  $\text{cm}^{-1}$   $Q$ -profiles are well described as those of fundamentals, the  $Q$ -profiles of 2335 and 2650  $\text{cm}^{-1}$  are those for two-quantum events. The intensity remaining in the band at 1900  $\text{cm}^{-1}$ , after subtracting the ridge intensity, was too small to give meaningful fits.

## VII. DISCUSSION

As outlined in the Sec. I, the currently accepted interpretation of the optical features at about 2335 and 2650  $\text{cm}^{-1}$  is that they arise from a single broad fundamental transition with a missing window of intensity. In this interpretation the intensity at 2335 and 2650  $\text{cm}^{-1}$  comes from the  $\nu(\text{O-H})$  fundamental and the window is created by the  $\delta(\text{OH})(0-2)$  overtone; previous INS work has been interpreted in accordance with this model.<sup>11</sup> However, the  $Q$ -profiles of the bands at 2335 and 2650  $\text{cm}^{-1}$  do not correspond to that of fundamentals but rather to those of two-quantum events. Moreover, their positions are clearly in line with expectations for the overtones and combinations of  $\gamma(\text{OH})(0-1)$  and  $\delta(\text{OH})(0-1)$  oscillators with good mechanical harmonicity. We, therefore, assign the INS features at 2335 and 2650  $\text{cm}^{-1}$  as the overtone and combination bands of the

two fundamentals at 1036 and 1307  $\text{cm}^{-1}$ , respectively. The simplest assignment of the remaining band at 1900  $\text{cm}^{-1}$  would then be to an anharmonic overtone of the fundamental at 1036  $\text{cm}^{-1}$  (harmonically expected at 2072  $\text{cm}^{-1}$ , an anharmonicity constant  $x_e$  of 0.071 would bring it to 1900  $\text{cm}^{-1}$ ). These assignments are gathered together in Table II. From the work reported here, there is no evidence for Evans' holes in the region of 2200–2900  $\text{cm}^{-1}$ .

Having rejected the previously held model for the interpretation of the distribution of intensities at higher energies it behoves us to attempt to provide an alternative. The data clearly show that the 1307  $\text{cm}^{-1}$  band is very close to being a harmonic unit-mass oscillator and we shall follow a simple harmonic approach. From the data in Table I, confirmed by the intensity ratios found in the TOSCA spectrum, we know that  $\text{Tr}(^{1036}\mathbf{B})/\text{Tr}(^{1307}\mathbf{B})=0.84$ . Immediately, however, we encounter a problem when we compare this ratio with other results from Table I; from Eqs. (4) and (5),

$$\frac{X_3 \text{Tr}(^{1307}\mathbf{B})^2}{30} \frac{15}{X(0.84 \text{Tr}(^{1307}\mathbf{B}))\text{Tr}(^{1307}\mathbf{B})} = \frac{2650}{2335} \frac{\varphi}{\varphi} = 1.79, \quad (12)$$

but from observation,

$$\frac{2650}{2335} \frac{\varphi}{\varphi} = \frac{0.0050}{0.0018} = 2.77. \quad (13)$$

Clearly, if we use the data from Table I to extract  $\text{Tr}\mathbf{B}$  values directly they will be inconsistent. However, we can obtain the minimum value for  $\text{Tr}(^{1307}\mathbf{B})$ , 0.0121  $\text{\AA}^2$ . This is remarkably close to its harmonic estimate 0.0128  $\text{\AA}^2$  from Eq. (3), which is the maximum value that it can take. (Hence,  $\text{Tr}(^{1036}\mathbf{B})=0.0101=0.0121 \times 0.84 \text{\AA}^2$ .) So,

$$\mathbf{A}_{\text{internal}}|_{\text{min}} = \begin{bmatrix} 0.0101 & & \\ & 0.0121 & \\ & & \nu(\text{OH})_{\mathbf{B}} \end{bmatrix}. \quad (14)$$

With these values we calculate  $^{2335}\varphi$  and  $^{2650}\varphi$  to be 0.0028 and 0.0050, respectively. When these are compared to the observed values, Table I, some 35% of the intensity at

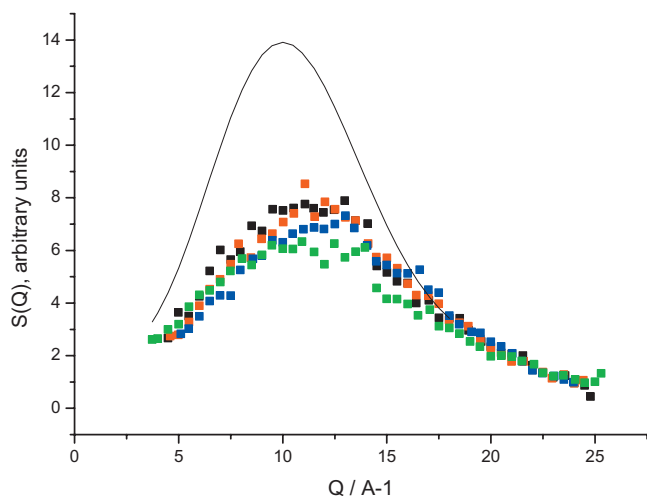


FIG. 5.  $Q$ -profiles of the ridge intensity at  $\omega=1690$   $\text{cm}^{-1}$ , in green;  $\omega=1906$   $\text{cm}^{-1}$ , in black;  $\omega=1968$   $\text{cm}^{-1}$ , in red;  $\omega=2200$   $\text{cm}^{-1}$ , in blue. Also shown, black line, is the  $Q$ -profile of the 2650  $\text{cm}^{-1}$  band.

conclude that the ridge is best regarded as an independent 374 spectral feature upon which sit the bands at 2335 and 375 2650  $\text{cm}^{-1}$ . 376

We interpret the ridge intensity as the pseudorecoil of the 377 hydrogen atom in the H-bond. Above a certain energy, the 378 onset, the shape of the  $\nu(\text{O-H})$  potential broadens, allowing 379 the hydrogen atom to explore a greater volume of space than 380 it would if confined in a harmonic well. All  $\nu(\text{O-H})$  excita- 381 tions above onset lead to a constant scattering intensity that 382 follows the hydrogen recoil line and has the  $Q$ -profile of a 383 multiquantum excitation.<sup>19</sup> Hydrogen recoil has been ob- 384 served previously on TOSCA and MARI.<sup>20</sup> Recoil scattering 385 should demonstrate a broadening with  $Q$  but our data were 386 taken over too narrow a range in  $Q$  to exploit this test 387 effectively.<sup>19</sup> 388

Above, we estimated the onset energy to be about 389 1750  $\text{cm}^{-1}$  and arbitrarily assigned this value to  $\nu(\text{O-H})$ . 390 This is unreasonable since it dissociates  $\nu(\text{O-H})$  from any 391 spectral feature. We believe that the broad optical C feature 392 about 1610  $\text{cm}^{-1}$  (Ref. 11) would make a good candidate for 393  $\nu(\text{O-H})$ . Moreover, this assignment would produce only a 394 slightly different harmonic value for  $\text{Tr}(\nu(\text{O-H})\mathbf{B})$ , now 395 0.0104  $\text{\AA}^2$ , and make no difference to the arguments we ad- 396 vance above. 397

We have explored the resonance interactions between 398  $\nu(\text{O-H})$  and the other vibrations; as expected the closer the 399 feature is to 1610  $\text{cm}^{-1}$  the greater the loss of spectral inten- 400 sity. Based on the harmonic model presented above, the band 401 at 1900  $\text{cm}^{-1}$  has probably lost some 95% of its intensity 402 (although the very weakness of the feature makes it difficult 403 to provide a better estimate) and the intensity loss in the 404 2335  $\text{cm}^{-1}$  band is 35%. In the Appendix we outline a 405 Fermi-resonance view of these losses; the results correspond 406 to coupling matrix-elements of about 145 and 360  $\text{cm}^{-1}$  for 407 the 1900 and 2335  $\text{cm}^{-1}$  bands, respectively [with some 408 modest variation in these values, if the  $\nu(\text{O-H})$  assignment 409 lies elsewhere than at 1610  $\text{cm}^{-1}$  but still in the region 410 1500–1800  $\text{cm}^{-1}$ ]. The changes to the band positions, im- 411 posed by Fermi-resonance, fall within the energy FWHH we 412 observe and have no impact on the assignment scheme we 413 propose. 414

We believe that the optical spectra are best regarded as 415 the result of strong electrical anharmonicity in the system. 416 The  $\nu(\text{O-H})$  ridge is a continuum from about 1610  $\text{cm}^{-1}$ , 417 which interacts strongly with those modes retaining signifi- 418 cant hydrogen atom displacement,  $\delta(\text{OH})(0-2)$  and  $\gamma(\text{OH})$  419  $\times(0-1) + \delta(\text{OH})(0-1)$ . The normally weak optical transitions 420 associated with these modes are thus strongly enhanced but 421 the  $\gamma(\text{OH})(0-2)$ , which retains very little hydrogen atom dis- 422 placement, remains weak both optically and in the INS. 423

Although this work has focused on the specific example 424 of potassium dihydrogen arsenate it has demonstrated how 425 INS can be used to further understand the spectral features 426 observed in molecular systems exhibiting significant hydro- 427 gen bond interactions. The INS data complement and enhance 428 spectral information obtained more routinely by opti- 429 cal techniques, especially infrared spectroscopy. It should 430 now be possible to extend this appreciation within molecular 431 systems to the more challenging domain of surface chemistry 432

339 2335  $\text{cm}^{-1}$  is seen to be missing. In the context of hydrogen 340 bonding it would not be unusual to invoke a resonance pro- 341 cess to account for this missing intensity and this would also 342 provide a ready explanation for the weakness of the feature 343 at 1900  $\text{cm}^{-1}$ ; it lies even closer in energy to the resonance. 344 We have extrapolated linearly back to estimate the position 345 of a putative transition at which all intensity would be lost; 346 that value was 1750  $\text{cm}^{-1}$ . We shall return to this topic be- 347 low; but for now we arbitrarily associate 1750  $\text{cm}^{-1}$  with 348  $\nu(\text{O-H})$  and so obtain a harmonic estimate for the final ele- 349 ment in  $\mathbf{A}_{\text{Int}}$ ,  $\text{Tr}(\nu(\text{O-H})\mathbf{B})=0.0096$   $\text{\AA}^2$ .

350 Recalling Eqs. (6) and (7) and using the observed  $\alpha$  351 values, Table I, we obtain an estimate of  $\mathbf{A}_{\text{tot}}$  by trial and 352 error,

$$353 \quad \mathbf{A}_{\text{tot}} = \begin{bmatrix} 0.0186 & & \\ & 0.0121 & \\ & & 0.0131 \end{bmatrix} \text{ yielding } \begin{aligned} \alpha^{1036} &= 0.0162 \\ \alpha^{1307} &= 0.0136 \end{aligned}$$

354 The average of the observed  $\alpha$  values, Table I, approximates 355 to  $\text{Tr}\mathbf{A}/3(=0.0146$   $\text{\AA}^2)$  as it should. Unfortunately, there are 356 no crystallographic  $\text{Tr}\mathbf{U}$  values for the hydrogen atom in 357 KHAs for us to compare directly with our  $\text{Tr}\mathbf{A}_{\text{tot}}$ , 0.0438  $\text{\AA}^2$ . 358 However, as presented above, we may use  $\text{Tr}\mathbf{A}=\text{Tr}\mathbf{U}(\text{H})$  359  $\approx 0.054$  42  $\text{\AA}^2$  for comparison. Considering the limitations 360 implicit in this comparison we may conclude that our esti- 361 mate of  $\mathbf{A}_{\text{tot}}$  would probably be confirmed by a neutron dif- 362 fraction experiment.

363 We have also attempted to extract the component values 364 for  $\mathbf{A}_{\text{tot}}$  under the assumption that the ridge is not an inde- 365 pendent spectral feature; here the ridge would be seen as 366 produced from a series of overlapping, more or less broad, 367 bands. Under these circumstances, values for the uncorrected 368  $\varphi$  parameters, see Table I, were obtained from the unsub- 369 tracted data. These unsubtracted data lead to very large val- 370 ues for  $\text{Tr}(\mathbf{1036}\mathbf{B})$  and  $\text{Tr}(\mathbf{1307}\mathbf{B})$  (0.042 and 0.049  $\text{\AA}^2$ , respec- 371 tively, and give a minimum value for  $\text{Tr}\mathbf{A}_{\text{tot}}=0.092$   $\text{\AA}^2$ ). 372 These values are thus inconsistent with both the 0.054 42  $\text{\AA}^2$  373 estimate and the approximate  $\text{Tr}\mathbf{A}/3$  value given above. We

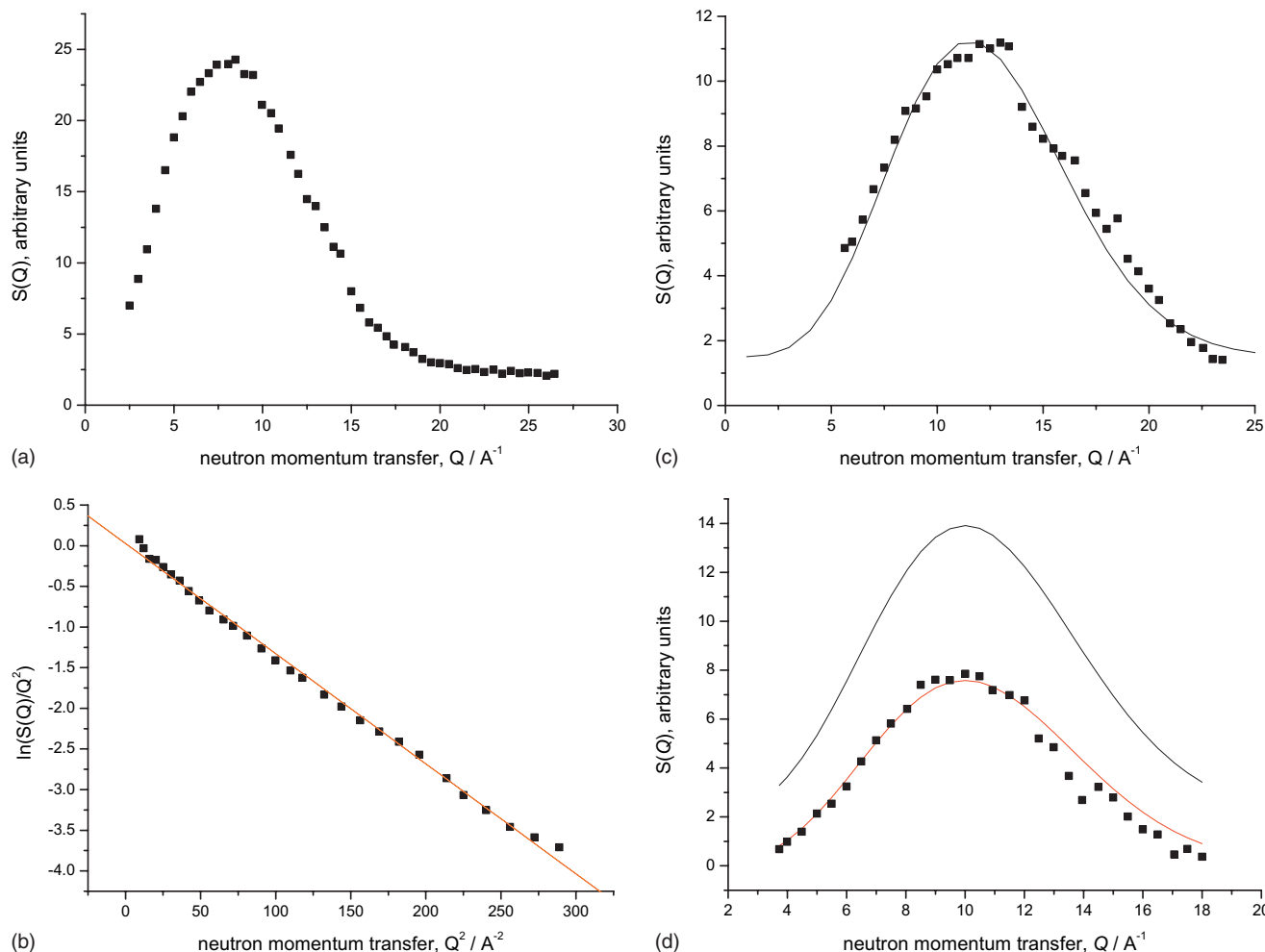


FIG. 6.  $Q$ -profiles of the transitions at (a)  $\omega=1036 \text{ cm}^{-1}$ ; (b)  $\omega=1307 \text{ cm}^{-1}$  [fitted to Eq. (10), red line]; (c)  $\omega=2335 \text{ cm}^{-1}$  [corrected intensity with best fitting two-quantum curve, Eq. (5)]; (d)  $\omega=2650 \text{ cm}^{-1}$  [uncorrected intensity, black line; corrected intensity, data points; best fitting two-quantum curve, red line, Eq. (4)]. See text.

433 and heterogeneous catalysis, with the role of surface hy-  
434 droxyl groups representing an ideal point of departure.

### 435 VIII. CONCLUSIONS

436 Using INS spectroscopy we have studied the  $\nu(\text{O-H})$   
437 region of a known “A, B, C” hydrogen bonded system, po-  
438 tassium dihydrogen arsenate. The broad spectral feature ob-  
439 served in infrared spectroscopy is shown to be associated  
440 with a ridge of constant intensity in the INS, which follows  
441 the recoil line for a unit-mass particle. The onset energy of  
442 the ridge is unclear but, we believe, is associated with the  
443 optical “C” feature at  $1610 \text{ cm}^{-1}$ , and which we assign to  
444  $\nu(\text{O-H})$ . The “B” and “A” optical bands were both demon-  
445 strated to be two-quantum events and are, thus, not associ-  
446 ated with the fundamental  $\nu(\text{O-H})$ . Rather they are the over-  
447 tone band  $\delta(\text{OH})(0-2)$  and the combination band  $\gamma(\text{OH})$   
448  $\times(0-1) + \delta(\text{OH})(0-1)$ , which both sit astride the ridge and  
449 there is no evidence for Evans’ holes. The other overtone,  
450  $\gamma(\text{OH})(0-2)$ , has been assigned to a very weak INS feature,  
451 observed for the first time in this work, at  $1900 \text{ cm}^{-1}$ . Start-  
452 ing from a simple harmonic model it was shown that inten-  
453 sity could be lost from the  $\gamma(\text{OH})$  overtone and combination

bands through Fermi-resonance. The elements of the **A** and **454**  
**B** tensors of the assumed harmonic hydrogen vibrations were **455**  
extracted. **456**

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### APPENDIX: FERMI-RESONANCE **461**

The effects of Fermi-resonance on neutron spectra have **462**  
been detailed previously and are best treated in the incoher- **463**  
ent approach.<sup>21</sup> Then, using the  $\gamma(\text{OH})(0-2)$  resonance as an **464**  
example, the intensity redistribution in the Fermi doublet **465**  
 $\nu(\text{O-H}) - \gamma(\text{OH})(0-2)$  at  $1610$  and  $1900 \text{ cm}^{-1}$  is according **466**  
to **467**

$$\begin{aligned}
 S(Q, \omega)_{1610} &= a^2 S(Q, \omega)_{\nu(\text{OH})} & \mathbf{468} \\
 &+ 2ab \sqrt{S(Q, \omega)_{\nu(\text{OH})}} \sqrt{S(Q, \omega)_{2\gamma(\text{OH})}} & \mathbf{469} \\
 &+ b^2 S(Q, \omega)_{2\gamma(\text{OH})}, & \mathbf{470}
 \end{aligned}$$

$$\begin{aligned}
 471 \quad S(Q, \omega)_{1900} &= b^2 S(Q, \omega)_{\nu(\text{OH})} \\
 472 \quad &\quad - 2ab \sqrt{S(Q, \omega)_{\nu(\text{OH})}} \sqrt{S(Q, \omega)_{2\gamma(\text{OH})}} \\
 473 \quad &\quad + a^2 S(Q, \omega)_{2\gamma(\text{OH})},
 \end{aligned}$$

474 where

$$475 \quad a = \sqrt{\left(\frac{\Delta + \delta}{2\Delta}\right)} \quad b = \sqrt{\left(\frac{\Delta - \delta}{2\Delta}\right)}$$

476 and

$$477 \quad \delta = (\omega_{2\gamma(\text{OH})}^{\text{unperturbed}} - \omega_{\nu(\text{OH})}^{\text{unperturbed}}),$$

478 with

$$479 \quad 1900 = \frac{(\omega_{\nu(\text{OH})}^{\text{unperturbed}} + \omega_{2\gamma(\text{OH})}^{\text{unperturbed}})}{2} + \frac{\Delta}{2},$$

$$480 \quad 1610 = \frac{(\omega_{\nu(\text{OH})}^{\text{unperturbed}} + \omega_{2\gamma(\text{OH})}^{\text{unperturbed}})}{2} - \frac{\Delta}{2}.$$

481 In the absence of Fermi-resonance the spectral features  
 482 would have appeared at their  $\omega^{\text{unperturbed}}$  values. Since the  
 483 observed intensity  $S(Q, \omega)_{1610}$  contains contributions from  
 484 both  $S(Q, \omega)_{2\gamma(\text{OH})}$  and  $S(Q, \omega)_{\nu(\text{OH})+\delta(\text{OH})}$ , the  $a$  and  $b$  values  
 485 for this doublet are best estimated from the  $S(Q, \omega)_{1900}$  in-  
 486 tensity.

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