

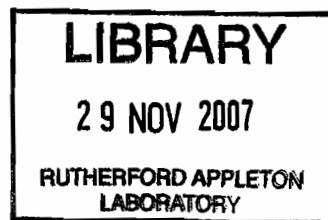


C4054076

RAL-TR-2006-028

RAL-TR-2006-028  
3 PDS

# Vibrational Spectroscopy at Central Facilities



**Stewart F. Parker, Gianfelice Cinque, Paul Dumas,  
Peter Gardner, Anthony W. Parker, Keith Refson,  
Elaine Seddon, Kevin Smith, John V. Wood**

31st October 2006

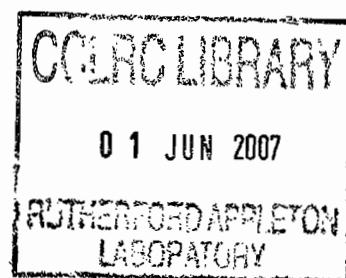


# Vibrational Spectroscopy at Central Facilities

On the 31<sup>st</sup> August 2006, a joint meeting of the Royal Society of Chemistry Molecular Spectroscopy Group and the Infrared and Raman Discussion Group (IRDG) was held at the Rutherford Appleton Laboratory with the theme ‘Vibrational Spectroscopy at Central Facilities’. The meeting attracted around 35 people from a diverse range of backgrounds, both academic and industrial. The event provided a snapshot of the many activities in vibrational spectroscopy that are carried out at central facilities, particularly those of CCLRC. In addition, the meeting provided a forward look at the next generation infrared beamline at Diamond and an update on the progress and possibilities of 4GLS, a free-electron laser facility that will span the infrared to the X-ray regions.

This report contains the presentations of all the speakers and provides an overview of a field that is undoubtedly one of CCLRC’s strengths and emphasises the world-leading capabilities in both experimental and computational science.

Stewart F. Parker



**Vibrational Spectroscopy at Central Facilities:**  
*A joint meeting of the Royal Society of Chemistry  
Molecular Spectroscopy Group and the Infrared and  
Raman Discussion Group*

Thursday 31<sup>st</sup> August 2006

Venue: Conference Rooms 12 & 13, Rutherford Appleton Laboratory

- 10:00 Coffee and Registration
- 10:30 Introduction to CCLRC  
*Professor John Wood, CEO CCLRC*
- 10:45 High-Resolution Infrared Studies at the RAL Molecular Spectroscopy Facility.  
*Dr Kevin Smith, Atmospheric Science, CCLRC*
- 11:20 Time Resolved Vibrational Spectroscopy of DNA.  
*Professor Tony Parker, Central Laser Facility, CCLRC*
- 11:55 DFT Analysis of Vibrational Spectra  
*Dr Keith Refson, Computational Materials Science Group, CCLRC*
- 12:25 Vibrational Spectroscopy with Neutrons: Catalysts, Hydrides and Polyethylene  
*Dr Stewart Parker, ISIS Facility, CCLRC*
- 13:00 Lunch and photograph
- 14:00 Synchrotron Infrared Microspectroscopy  
*Dr Paul Dumas, Soleil Synchrotron, Paris*
- 14:50 Synchrotron Reflection Absorption Infrared Spectroscopy  
*Dr Peter Gardner, School of Chemical Engineering and Analytical Science, The University of Manchester*
- 15:25 The Infrared Beamline at the New Diamond Facility.  
*Dr Gianfelice Cinque, Diamond Light Source*
- 15:35 Update on 4GLS  
*Dr Elaine Seddon, Synchrotron Radiation Source, CCLRC*
- 16:00 Closing Remarks  
*Tours of facilities (ISIS, CLF, MSF) available by prior appointment*
-



Migration of the Earth's landmasses over geological time

Sea level change

Professor John Wood

CCLRC

August 2006



What is Bio-Earth science?



- Enabling research
- Building partnerships
- Getting it right for science
- Engaging with society
- Exploiting own potential
- Developing strategy

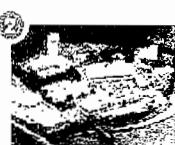
## **What is CCLRC?**

- One of eight UK research councils
- Formed by Royal Charter on 1 April 1995
- Funded by Department of Trade and Industry  
(Office of Science and Technology)
- 7,000 users annually
- Operating turnover £240m (2004-5)

Three world class research laboratories...



CCLRC Rutherford  
Appleton Laboratory  
Oxfordshire



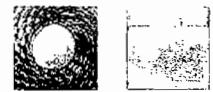
CCLRC Daresbury  
Laboratory  
Cheshire



CCLRC Chilbolton  
Observatory  
Hampshire



## UK Research Facilities



### Wholly owned facilities:

- **Laser – Vulcan, Astra**
- **Neutron - ISIS**
- **Synchrotron - SRS**
- **Computing – HPCx**

### Shareholder in:

- **Diamond (majority shareholder on behalf of the UK government)**
- **ILL/ESRF**



## UK Research Institutes



- Particle Physics
- Space Science and Technology
- Instrumentation and sensors
- E-science and grid technology
- Micro and nano-fabrication
- Energy
- Radio Communications
- Engineering design
- Knowledge transfer

## *Future directions in Nanoscience*

Increased capacity

Increased accessibility

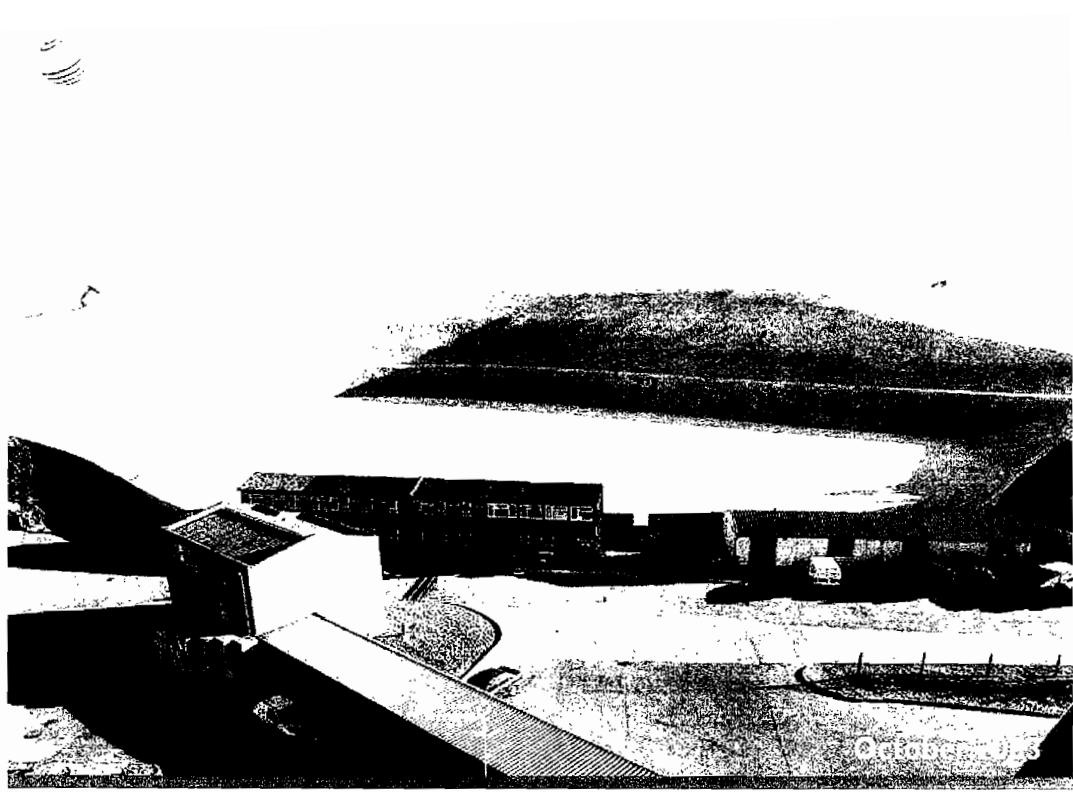
New opportunities for

- Soft Matter
- Advanced Materials
- Biomolecular Systems

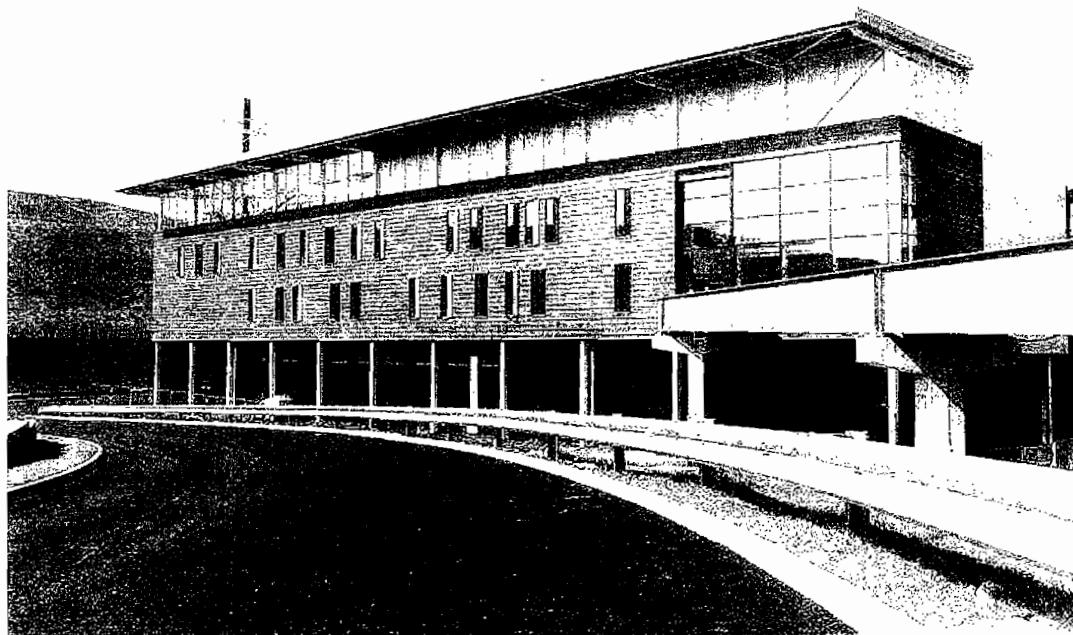
## **Nanoscience**

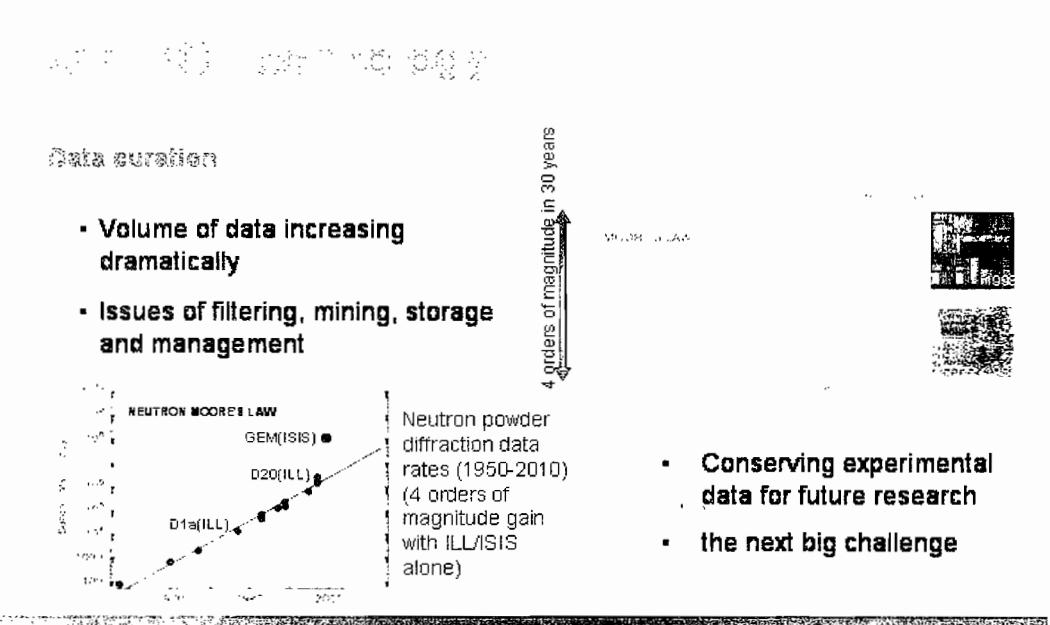
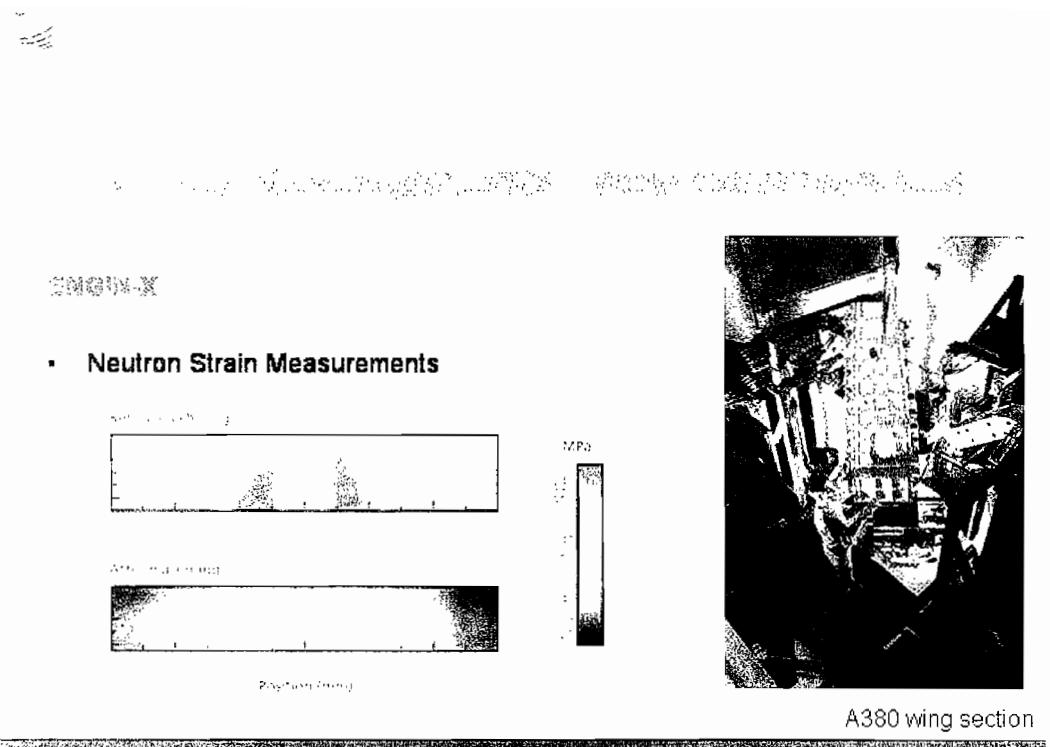
### **ISIS TS2**





TS<sup>14</sup>  
2ISIS





## Sources of mixed mode, high intensity X-rays and synchrotron light

- SRS
- ESRF
- Diamond
- 4GLS



4

## High power, state-of-the-art laser facilities

### Vulcan

- the world's highest intensity focused laser
- Recreating conditions on the sun in the lab

### Astra

- high power, ultra short pulse titanium-sapphire laser
- Following chemical and biological reactions

### Lasers for Science

- optical tweezers

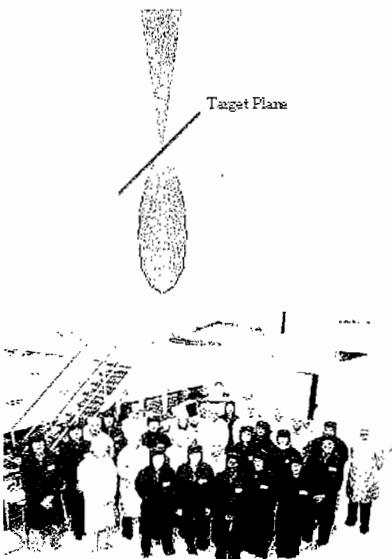


## • **Electron Acceleration Studies**

- Electron acceleration studies in gas jets
- EMP (electromagnetic pulse measurements) + buried layer heating studies
- Solid target interaction studies
- Studies of advanced fast ignitor concept for iCF (Inertial Confinement Fusion)
- Electron transport measurements in solids
- Ultra high density plasma investigations



## • **Gamma Ray Beam Production**



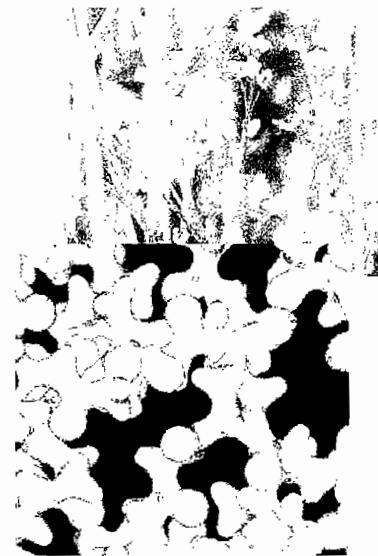
- Highest energy accelerating field in plasma
- Brightest Gamma ray beam ever produced
- Largest laser induced nuclear conversion
- Highest flux ever delivered from a laser plasma interaction
- Capable of producing a beam with an intensity of  $10^{21} \text{ w/cm}^2$



## *Figure 3. A protein structure*

### HPCx

- Europe's most powerful academic computer
- Quantum mechanics of proteins – calculations of unprecedented scale
- Electronic structure of Crambin (extracted from Abyssinian Cabbage)
- Calculation was 10 times more challenging than any published before
- Similar techniques will be used to study proteins of greater scientific value e.g. the redox potentials of Rusticyanin, a blue copper protein involved in electron transport in plants and bacteria



## *ATLAS Semiconductor Tracker*

### Experiments at

**CERN:** ATLAS, CMS, LHCb

**DESY:** H1, ZEUS

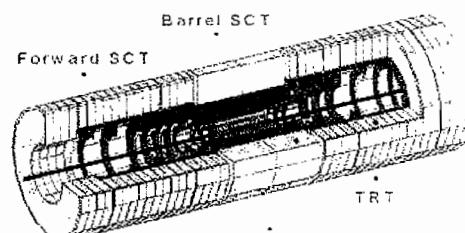
**FNAL:** MINOS

**SLAC:** BaBar

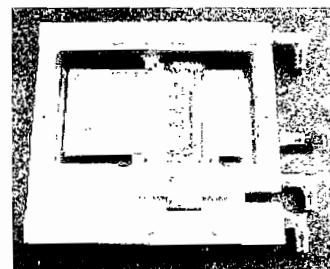
**ILL:** Neutron edm

**Boulby:** Dark matter

**R&D:** Linear Collider, Neutrino Factory



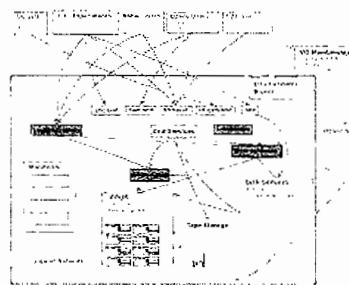
### ATLAS Tracker Modules



Grid

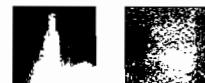
## Grid enabling CCLRC facilities

- Portals
- Data storage architecture
- Computing resources
- Visualisation



Space

## Space science and technology



Europe's largest space science and technology department

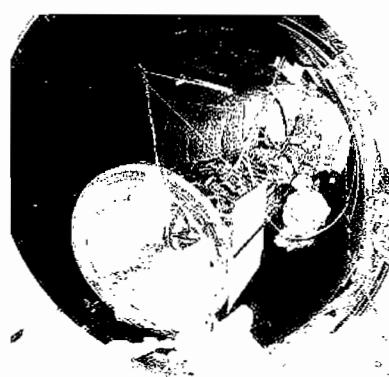
167 instruments in space

Collaborations with:

- NASA
- ESA
- PPARC, NERC

Quality assured:

- ISO 9001
- TickIT



## SMART-1 - Europe's first mission to the Moon

- CCDs and cameras
- Space Coolers
- Optical/Electronics design
- Thermal structural design
- Sensors and Detectors
- Millimetre wave components
- Orbit dynamics/mission analysis
- Smart technology
- Payload operations systems



## SMART-1 - Europe's first mission to the Moon

- SMART-1 - Europe's first mission to the Moon**
- testing innovative technologies for future deep space missions (low mass, low volume, low power)
  - D-CIXS - Demonstration Compact Imaging X-ray Spectrometer, designed and built at RAL
  - Producing the first X-ray map of the Moon



## Microelectronics design

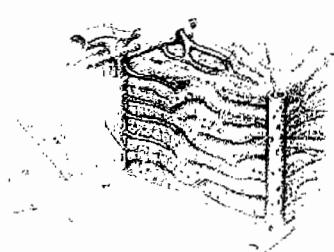
- **Microelectronics design**
- **Detector design**
- **Data acquisition electronics**
- **Control systems design**



## Underpinning CCLRC science

## Artificial liver - a complex system of tubes

### Nature's design



Powder blasted tissue scaffold to form sinusoid

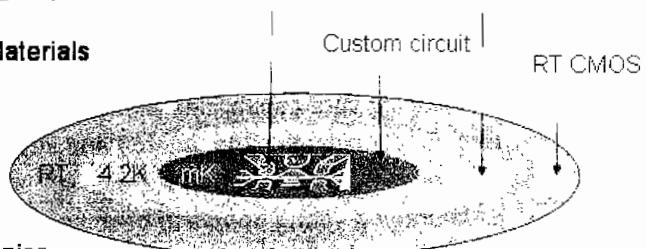
- **Project aims to simulate complex tubes which carry blood around the liver**
- **Constructing nanometre scaffolding in which to grow liver cells**
- **Artificial liver will be used for testing drugs in the laboratory**

School of Pharmaceutical Sciences, University of Nottingham  
Central Microstructure Facility, CCLRC & Mechanical Engineering, University of Leeds

## Quantum computing – the first steps

- CCLRC Microelectronics group
- Oxford – Department of Materials
- Hitachi Cambridge
- Oxford instruments
- Cambridge – Microelectronics Research Centre, Cavendish Lab

Qubit nanostructure Cryogenic CMOS



## Commercialisation and business spin-offs

### CLIK Ltd

- formed in March 2002
- to stimulate and nurture start up and licensing opportunities for CCLRC
- Rainbow Seed Corn Fund

#### 4 spin-out companies formed in the last 12 months:

- L3T – near patient cholesterol testing
- Microvisk – diagnostics for blood viscosity and clotting measurements
- Oxsensis – sensors for hellish environments such as jet engines
- Thruvision – terahertz imaging for security screening



- **Promoting the added value of bringing together core teams to deliver world class science and to exploit innovations for the UK**
- **Developing core capabilities with national and international partners (e.g. focal points in nano, energy and space)**
- **Encouraging young people to become actively involved in vibrant science and technology**

Digitized by srujanika@gmail.com

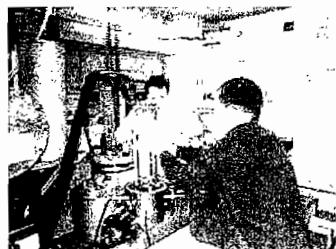
‘ପ୍ରକାଶକାରୀ ଏବଂ ସିଦ୍ଧାନ୍ତ ଏବଂ ମାତ୍ରାରେ  
କଥା ବ୍ୟାଖ୍ୟାତ ହେଉଥିଲା ଏବଂ ଏହାରେ

ଡଃ କୋଣାର୍କ ପାତ୍ର ହେବାରେ ଏହାରେ

ଏହାରେ କୁଳାଙ୍ଗାର ଅପରାଧ ହେବାରେ  
କଥା ବ୍ୟାଖ୍ୟାତ ହେବାରେ ଏହାରେ

1

ପାତ୍ରକାରୀ



‘ପାତ୍ରକାରୀ’

‘ପାତ୍ରକାରୀ’

2

Figure 2. FTIR spectra

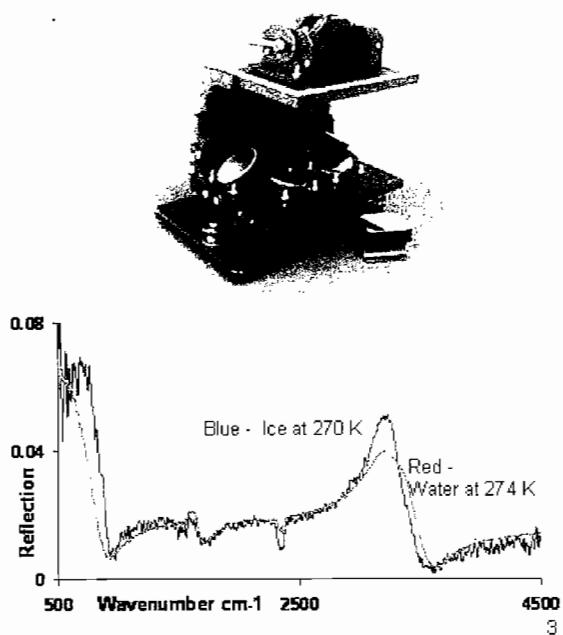
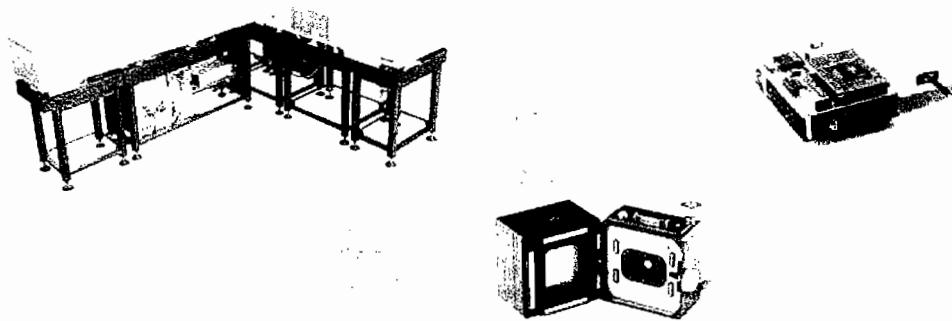
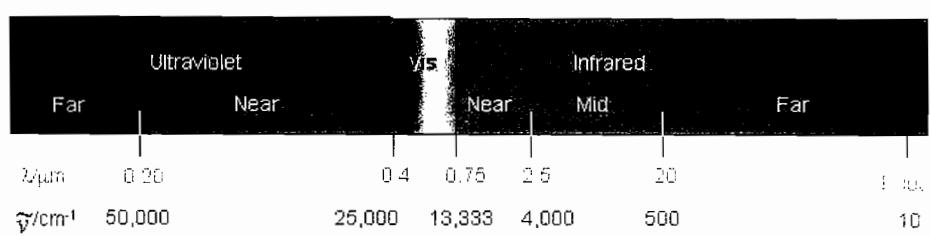


Figure 3. IR spectra



4

Wavelengths



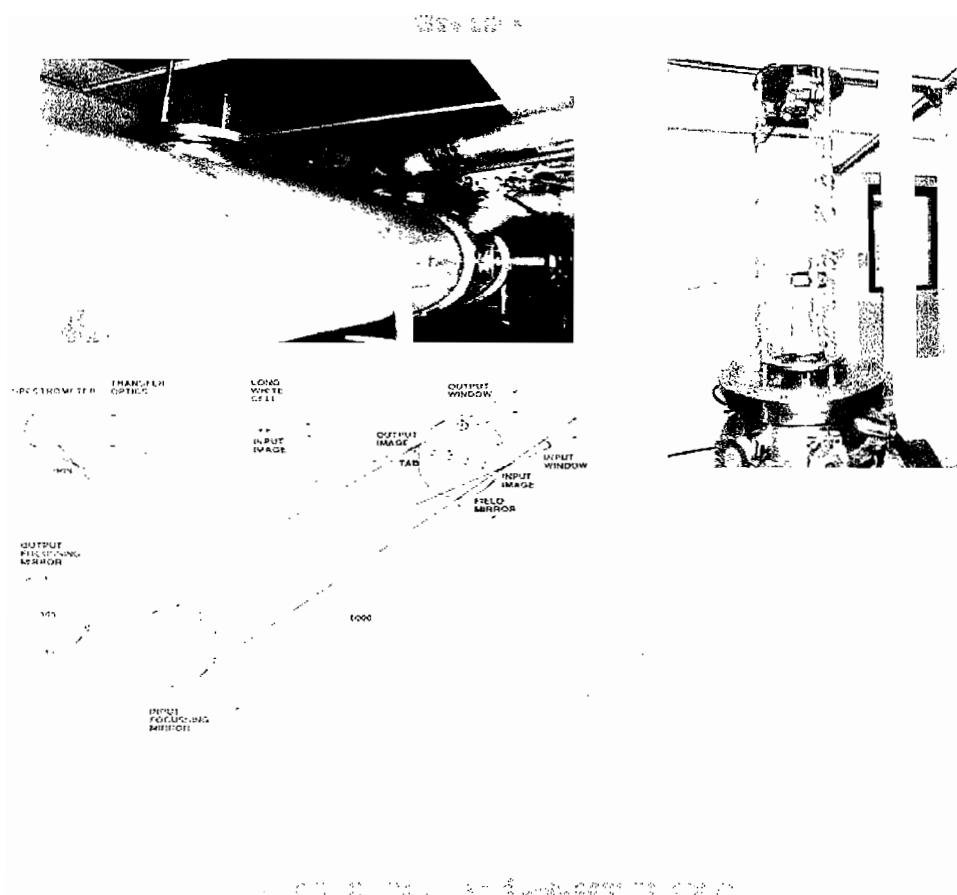
5

Observations



Figures 5 & 6. Observations

6



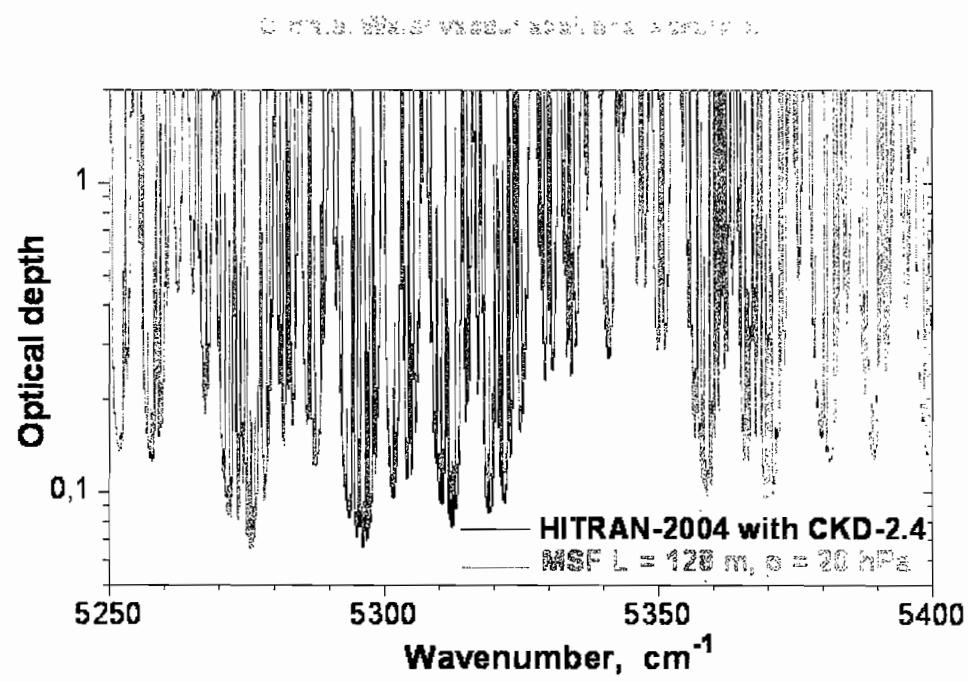
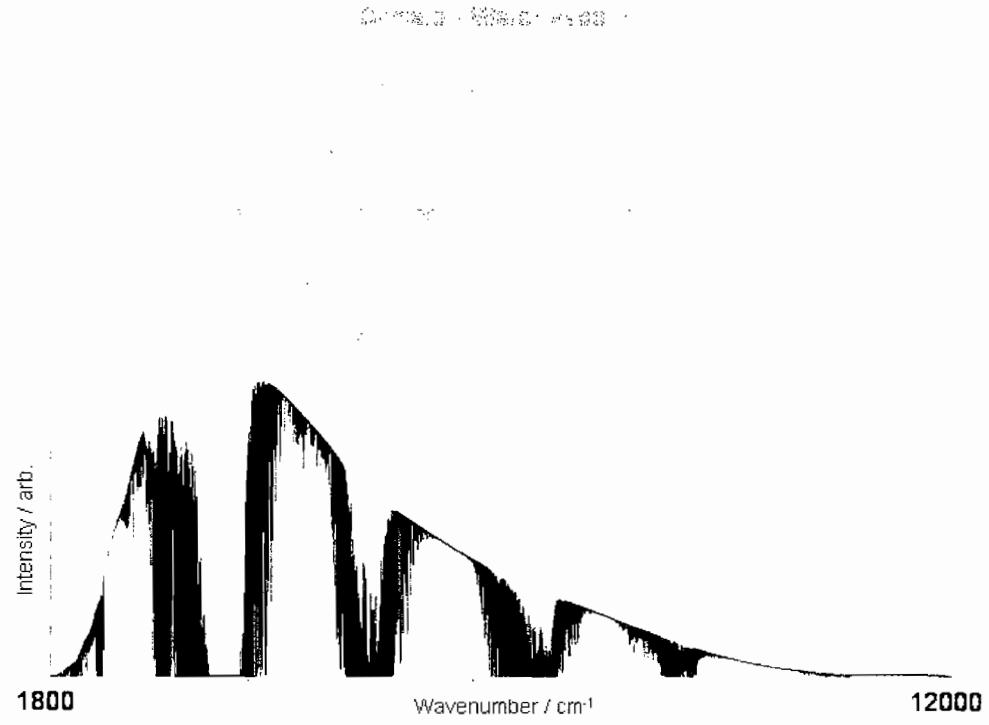
### **Water vapour (University of Reading)**

### **Methane (University of Oxford)**

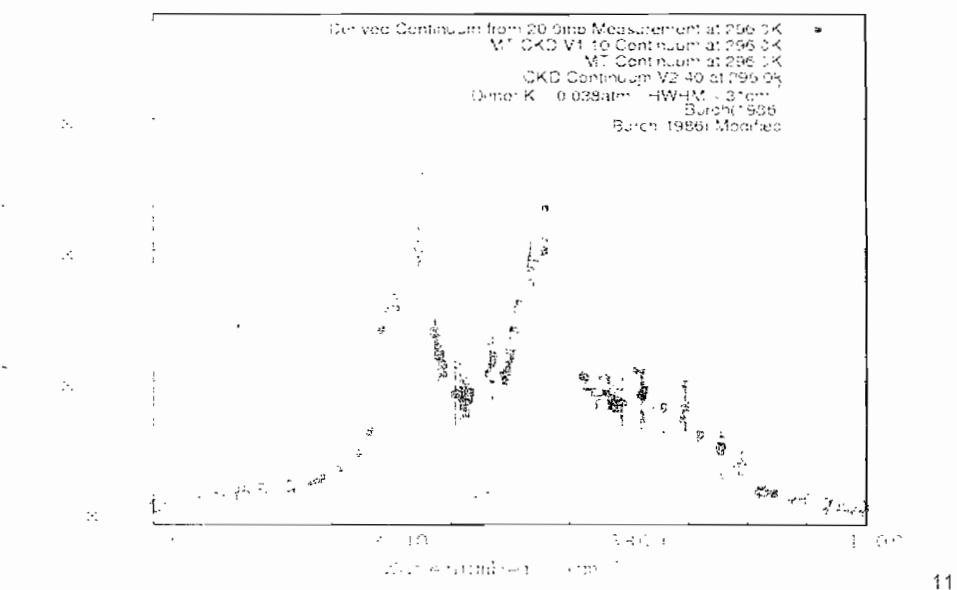
### **PSCs, mineral dust (University of Oxford, The Open University)**

### **PAN (University of Leicester)**

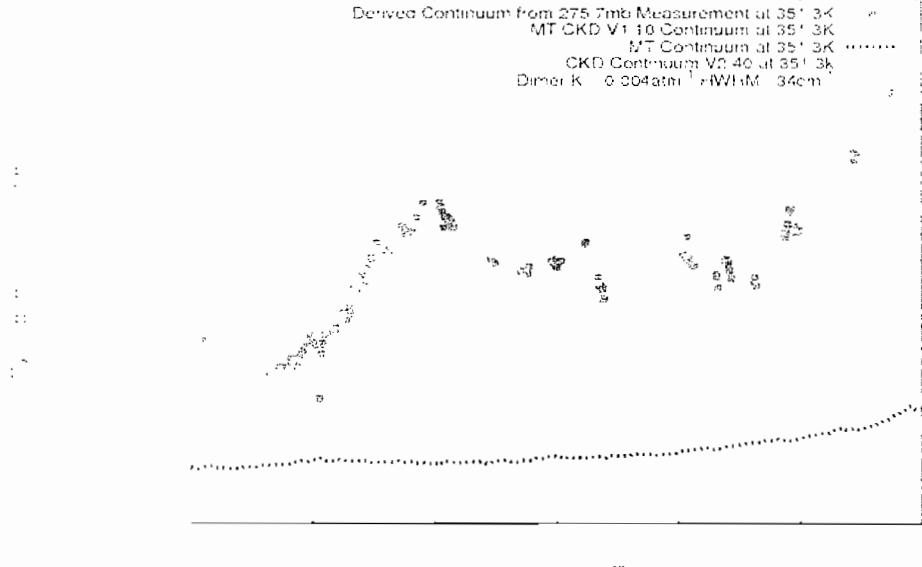




10

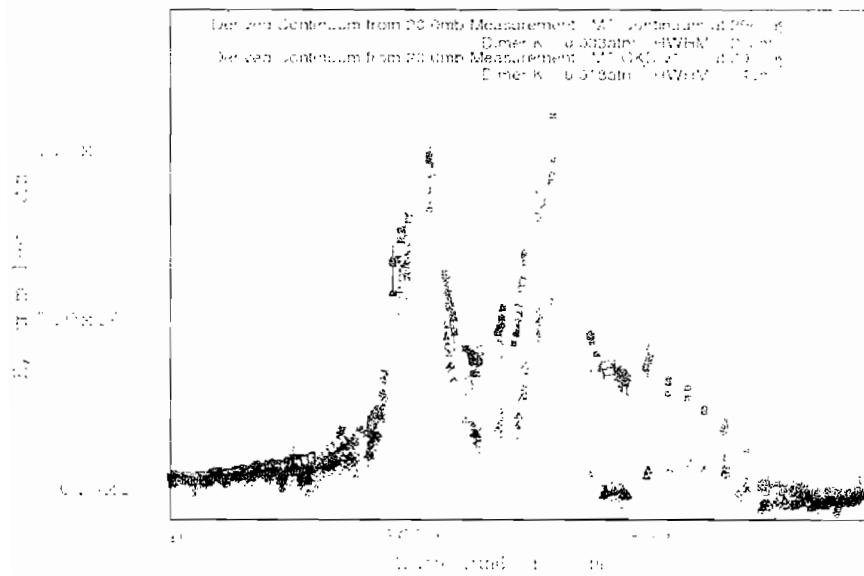


11

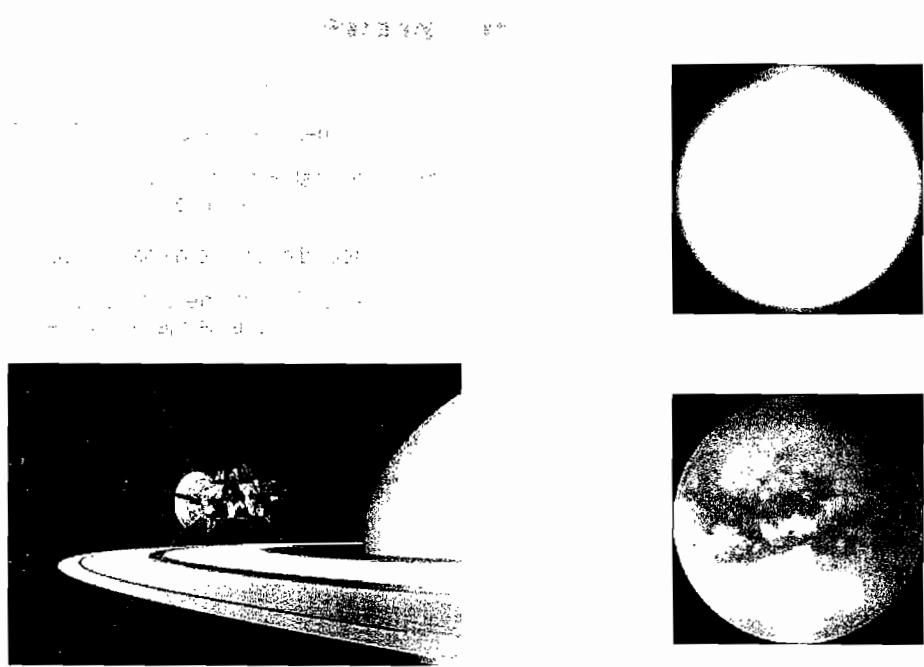


22

### Laser Diode Spectrograph



13



14

23

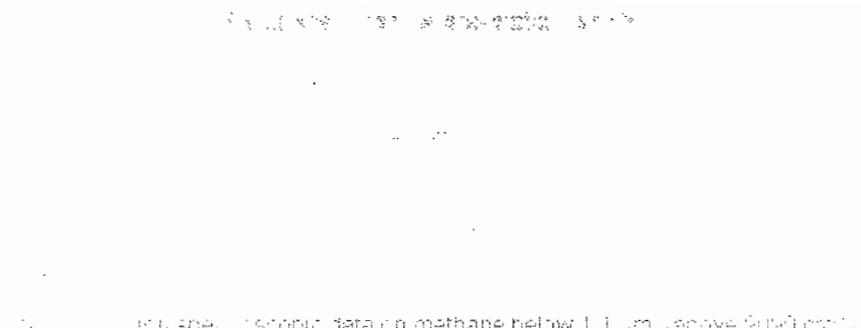
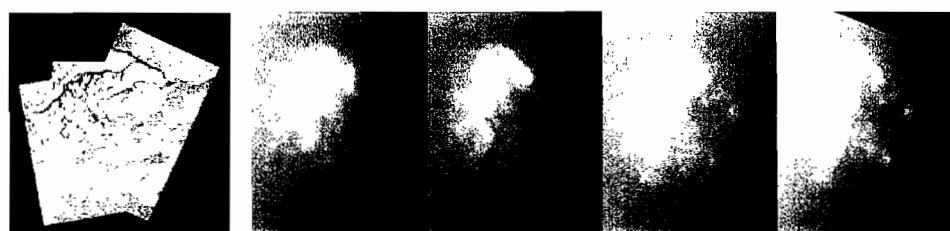
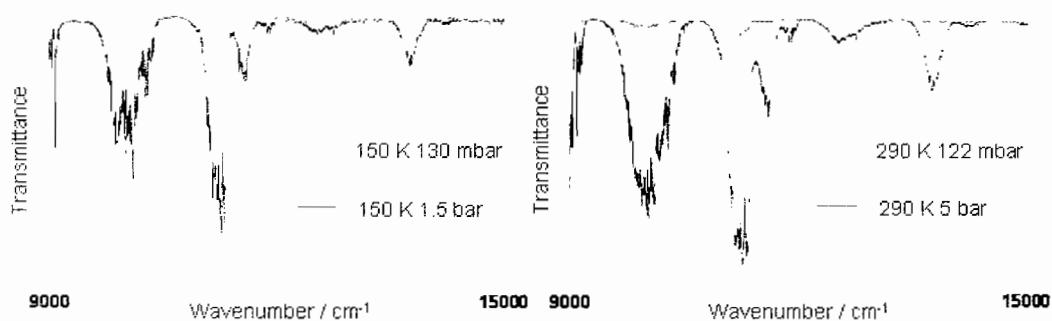
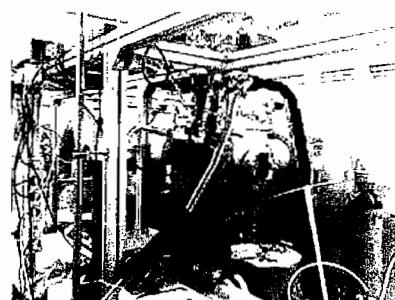


Fig. 1. IR Spectroscopic data on methane below 1.1 μm (active region).

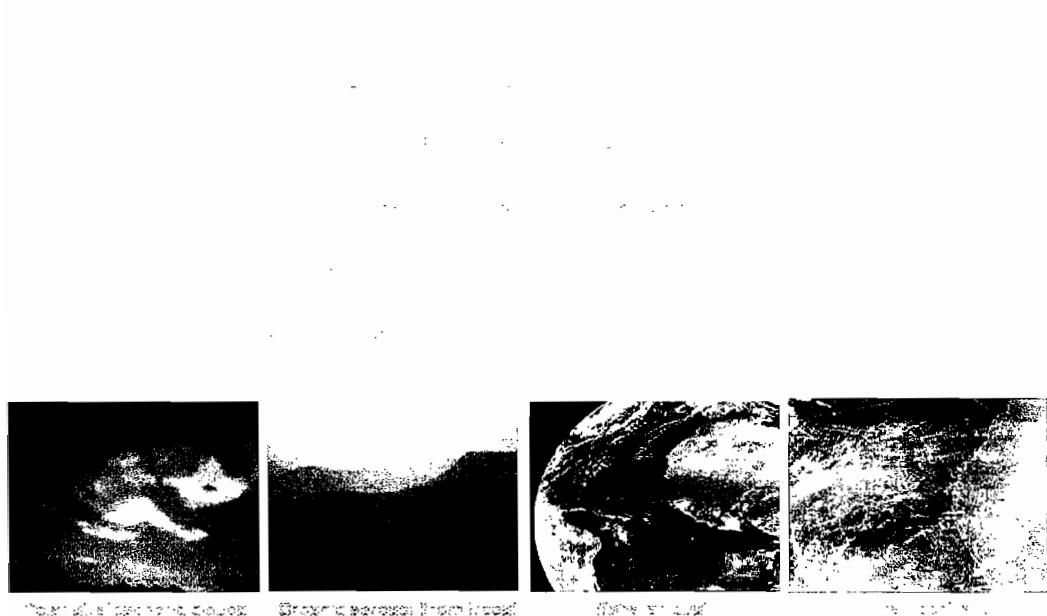


15

### Spectroscopic and microscopic observations

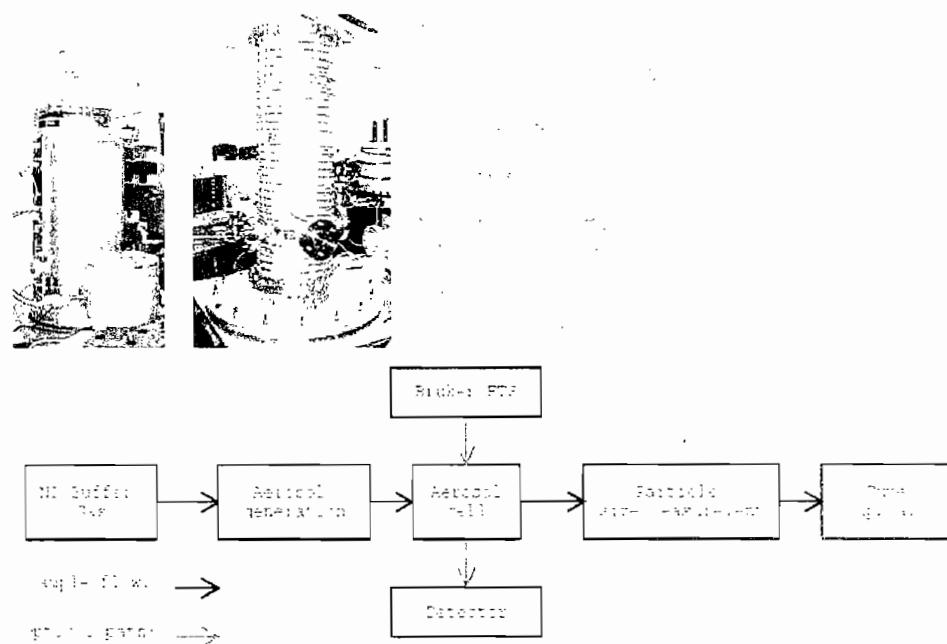


### FIGURE 8



17

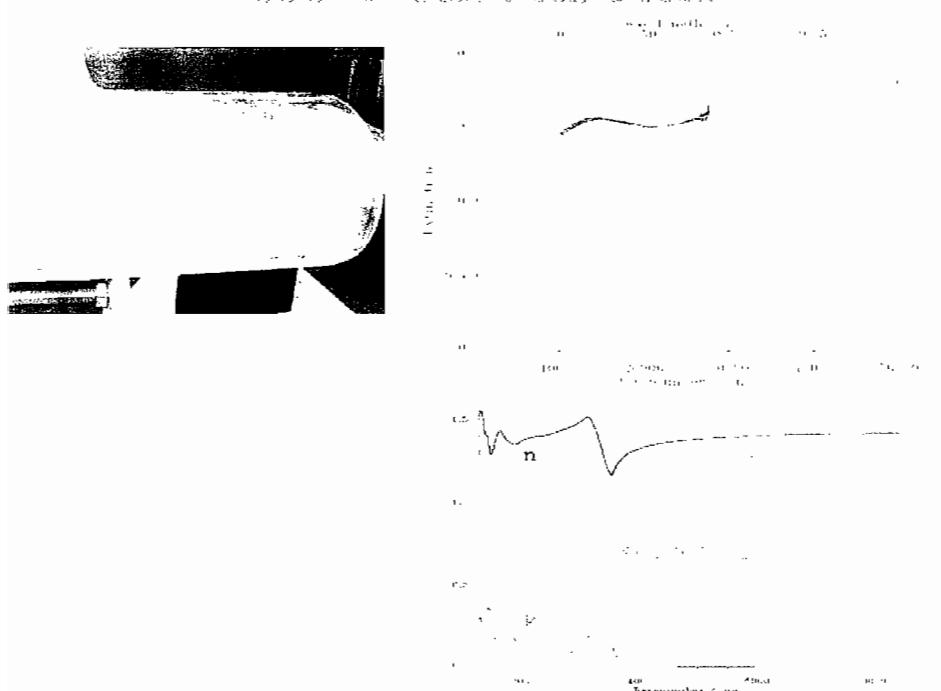
### FIGURE 9



18

25

ANALYSIS OF THE 2009 SIRS REPORTS & LISTS



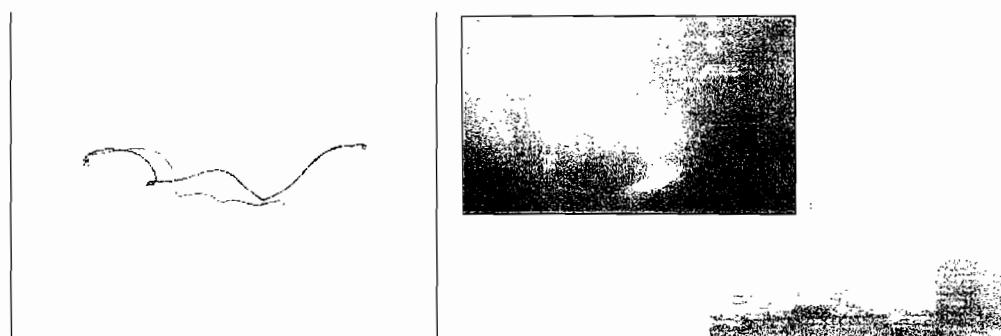
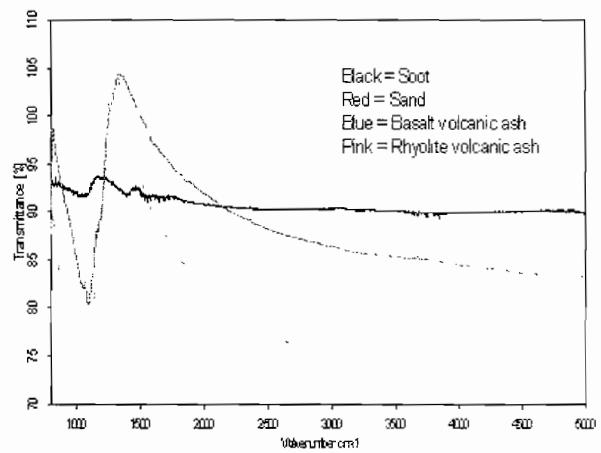
19

ANALYSIS & LISTINGS



26

ANALYSIS OF MATERIALS IN THE VOLCANO



• 1987 by the American Physical Society

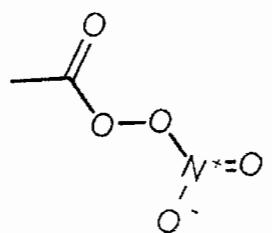


Figure 3

23

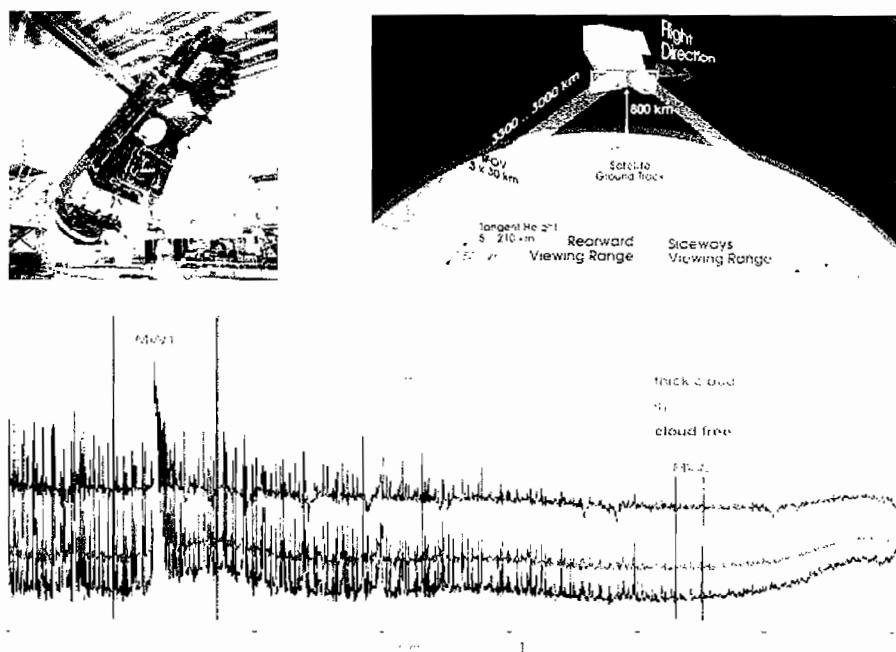
• 1987 by the American Physical Society



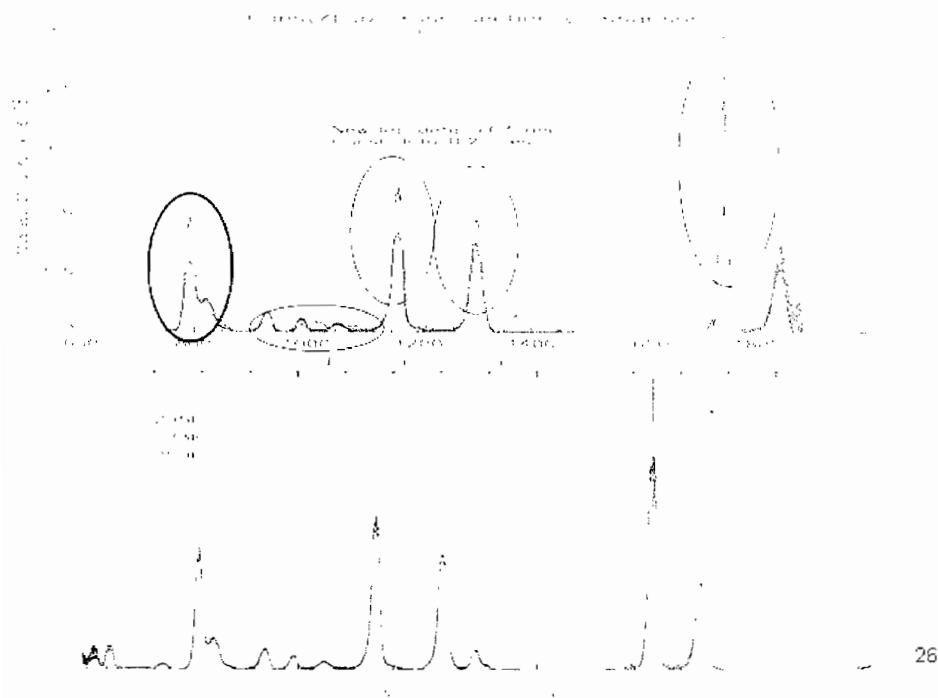
24

28

CHARTING W. PAF ON A MAP - 1970



CHARTING W. PAF ON A MAP - 1970



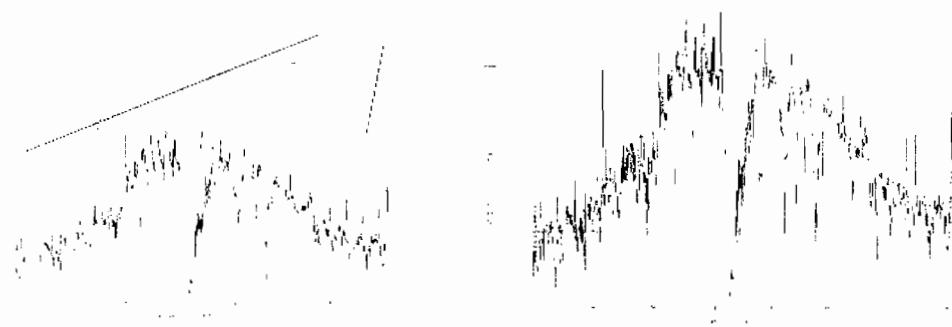
26

1.1.1. MIPAS atmospheric radiance spectra

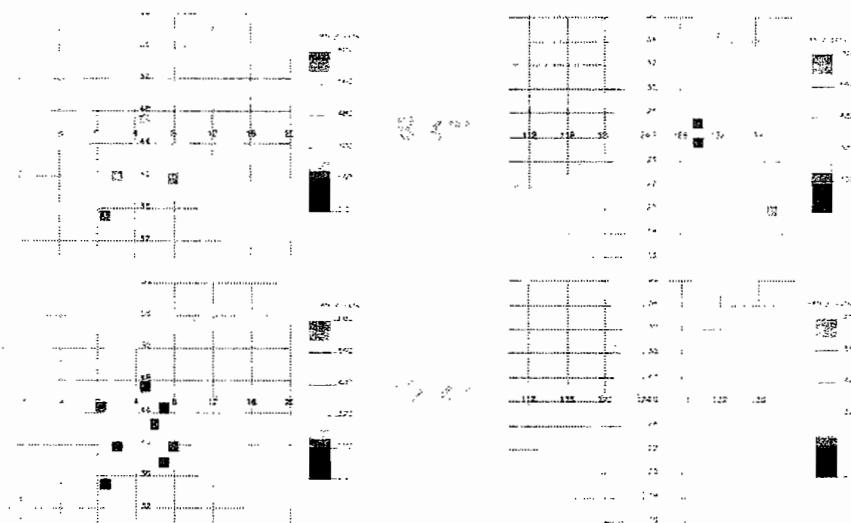
Figure 1.1.1 shows the atmospheric radiance spectra for the 1000–1100 nm range.

Fig. 1.1.1. Atmospheric radiance spectra

BLACK = MIPAS atmospheric radiance spectra



1.1.2. MIPAS atmospheric radiance spectra



28

THE WORLD AND THE POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

THE  
WORLD  
AND  
THE  
POLE

29

NATURAL  
ENVIRONMENT  
PRIVATE PROPERTY

THE WORLD AND THE POLE

30

31

# Time Resolved Vibrational Spectroscopy of DNA

Anthony W. Parker (a.w.parker@rl.ac.uk)

• PIRATE

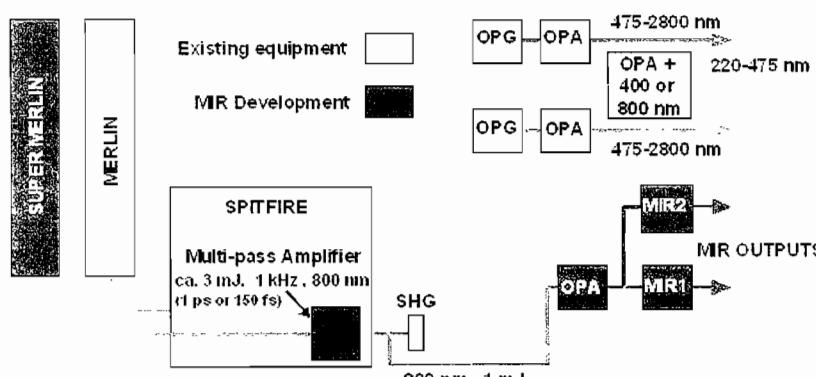
• Molecular Structure of Transient Intermediates

Nucleic Acid Bases

UV Excitation

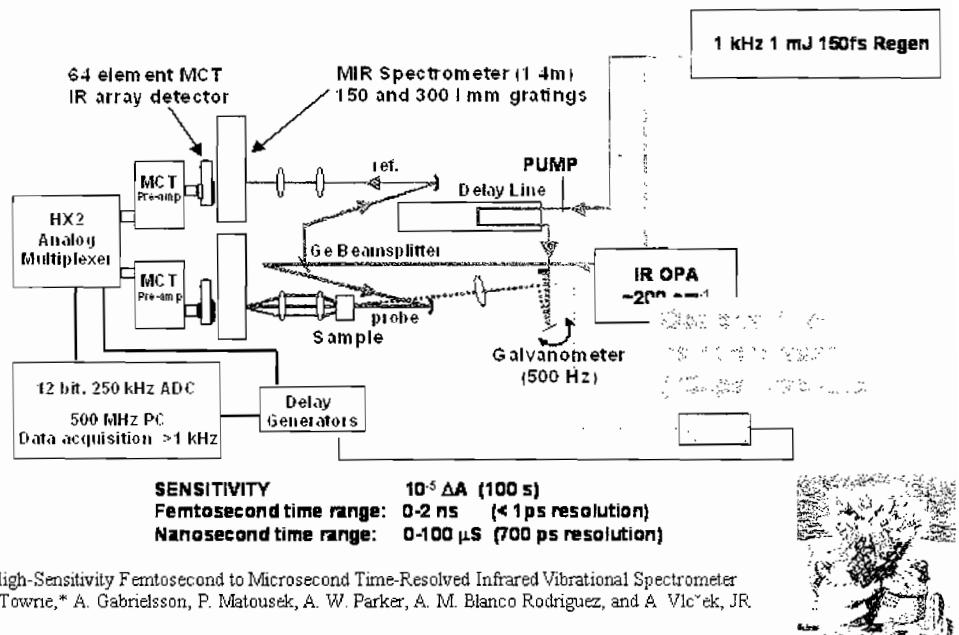
Photoionisation

## Schematic layout of the laser system and OPAs



Picosecond Infra Red Absorption Transient Excitation

## The Broadband Pump-Probe TRIR Spectrometer

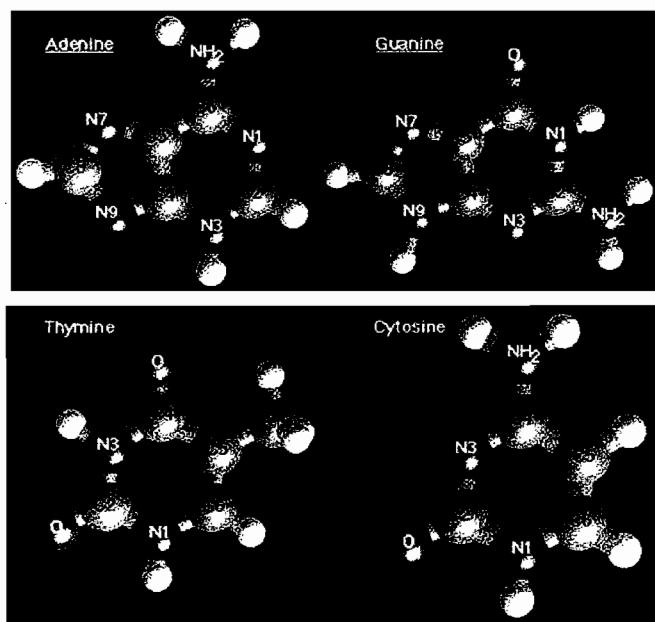


### Levels of "potential" DNA Damage & measurement<sup>†</sup>

- **Solar Radiation - Sunny Day**  
~ 200  $\text{W/m}^2$  gives potentially  $8 \times 10^{18}$  potentially harmful events per cell/day.
- **Background Radiation -**  
~ 100  $\text{nrem/year}$  inflicting c. 2 harmful events per cell/day
- **Physical carcinogens (chemical pollution)**  
say 1 ppm, air exchanged between average lung per day ~ 7000 l,  
equivalent to 0.01g toxin per day, assuming 0.1% activity, studies show  
pollutants generate  $10^{18}$  radicals per gram. With finite probability of  
these generating deleterious effects estimated c.  $3 \times 10^4$  potentially  
harmful effects per cell/day
- **Detectivity - DNA damage detection using immuno assay (apurine  
/apyrimidine) utilising anti-AP and fluorescence 100 units per DNA**

# Effects of electronic excitation on DNA bases, polynucleotides and DNA

## Nucleic Acid Bases & DNA



[http://www.bbc.arizona.edu/Molecular\\_Graphics/DNA\\_Structure/DNA\\_Tutorial.HTML#helixaxis](http://www.bbc.arizona.edu/Molecular_Graphics/DNA_Structure/DNA_Tutorial.HTML#helixaxis)

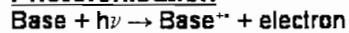
## UV Excitation of DNA & Components

- UV radiation gives

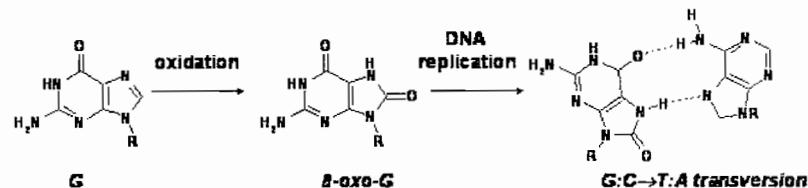
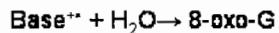
singlet <i>lifetimes &lt; 1ps</i> $\Phi_T \leq 0.01$ $\Phi_I \leq 10^{-4}$	triplets $E_T \sim 300 \text{ kJ mol}^{-1}$
---	--

- Chemical reactions  
cycllobutane pyrimidine dimers

- Photoionisation

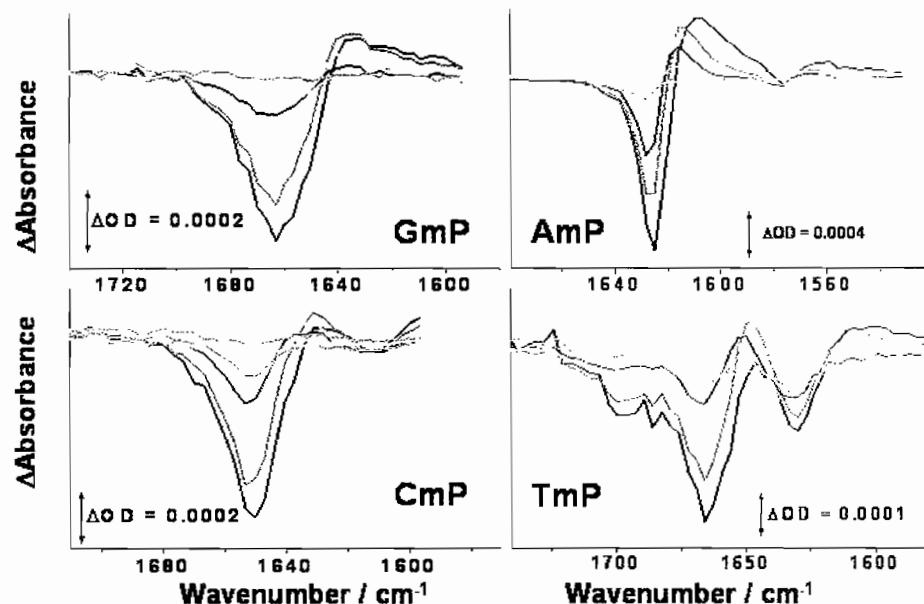


GG/C-C can give AA/C-C on replication

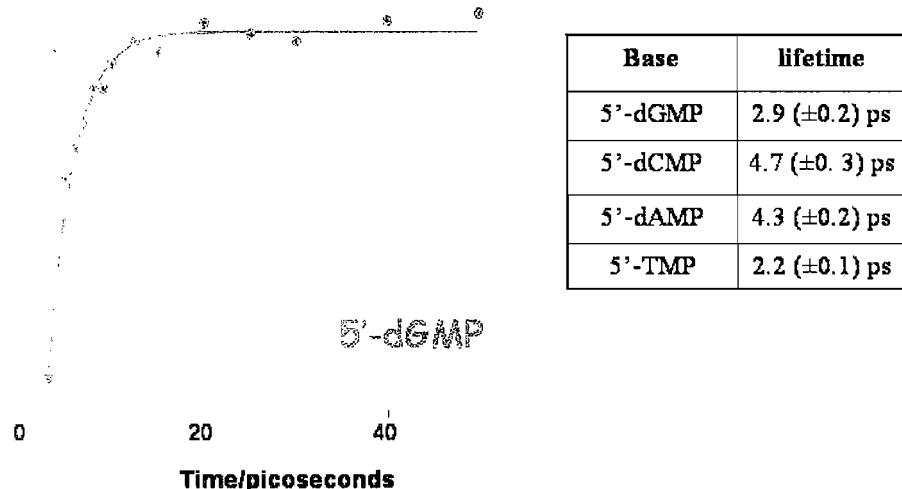


Orlov et al. - Tetra Lett 1976, vol 48, p4377; Fernando (LeBreton) PNAS 1998 vol 95 p5550; IP in solution: - 4.47/4.9 eV to 5.57/5.5 eV

### 267 nm pump IR probe (1-50 ps)



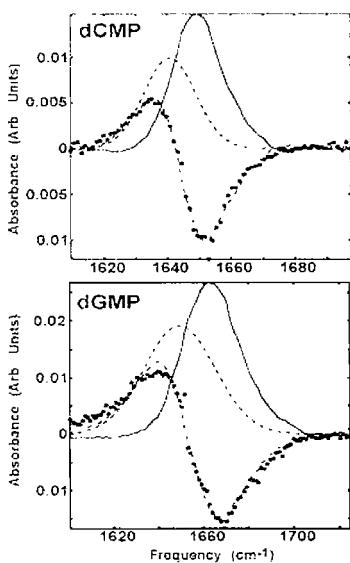
## 267 nm excitation: Kinetics



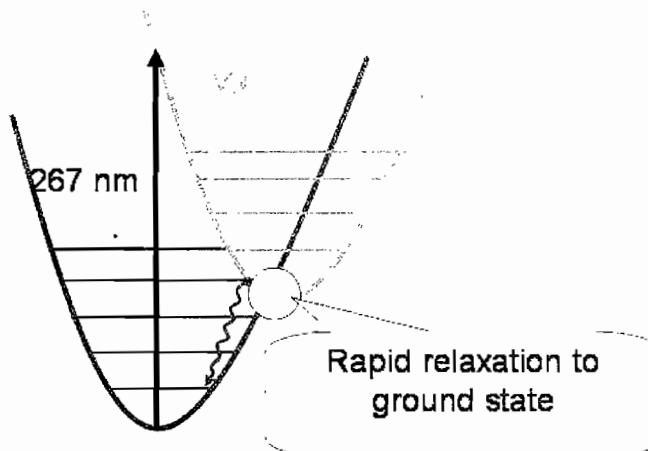
Excitation energy ( $\sim 400$  fs) of  $\nu = 1 \rightarrow 2$  transition at

A. T. Krummel, P. Mukherjee and M. Zanni, J. Phys. Chem. B, 2003, 107, 9165.

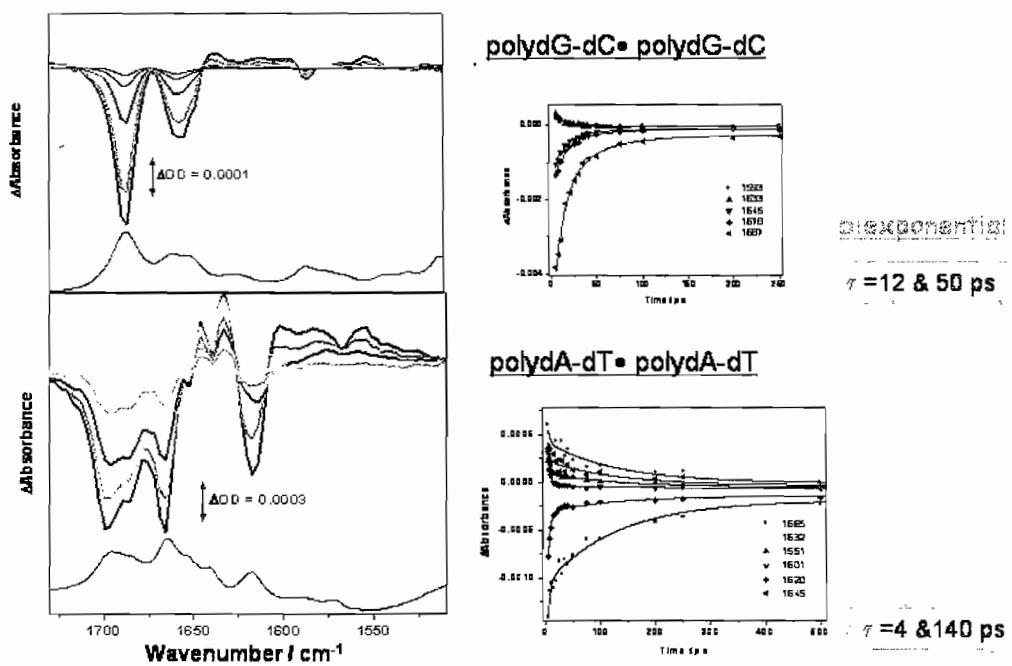
Decay rate is identical to the 267 nm ps-TPLA study of Guo<sup>2</sup> and Guo<sup>3</sup>



## 267 nm excitation: Mechanism

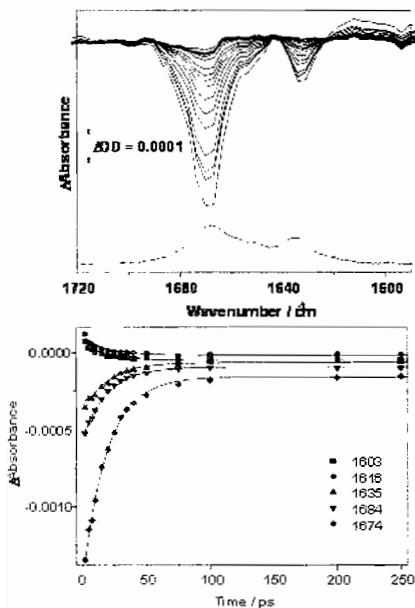


UV pump IR probe of poly-strands (1-1000 ps)



## UV pump IR probe of poly-strands (1-1000 ps)

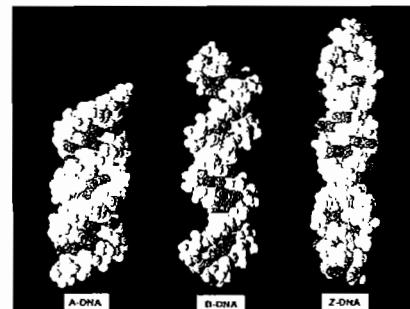
Z- polydG-dC• polydG-dC



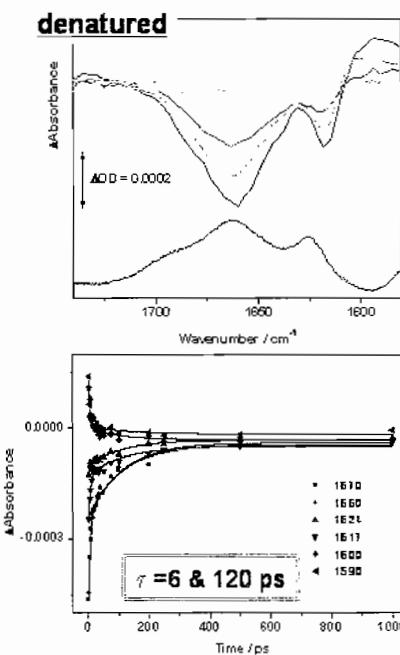
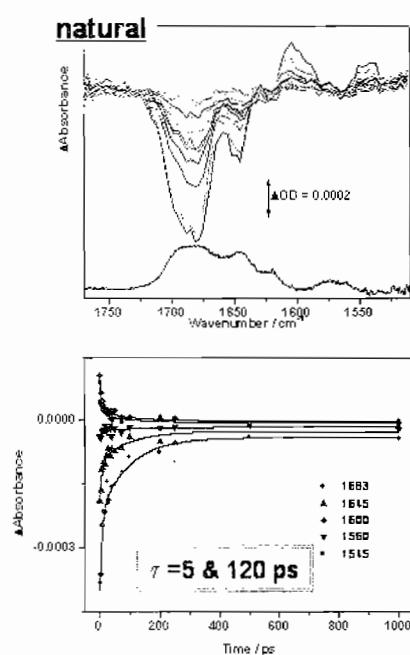
monexponential

$$\tau = 19 \pm 3 \text{ ps}$$

- Solvation?
- H-bonding?



## UV pump IR probe CT DNA



## **267 nm excitation of DNA - Conclusions**

- Electronic excitation leads to rapid  $S_1$  to  $S_0$   
*(in agreement with others)*
- ps-TRIR used to directly observe vibrationally hot ( $S_0$ ,  $\nu \geq 1$ ) ground state nucleobases following electronic excited state relaxation.
- Polynucleotide strands give complex spectra with longer-lived transients. Assignment is less clear

*M. K. Kuimova , J. Dyer, M. W. George , D. C. Grills , J. M. Kelly , P. Matousek , A. W. Parker, X. Z. Sun , M. Towrie and A. M. Whelan  
Chem. Commun., 2005, (9), 1182 - 1184*

## **Direct photoionisation of DNA bases as a step towards DNA photodamage**

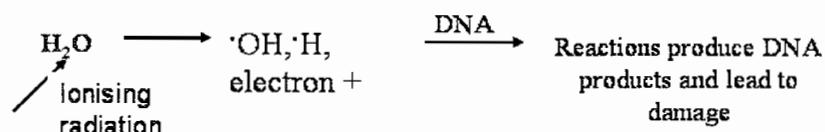
## DNA Damage Through Radical Ion Formation

- Study the primary chemical processes leading to mutations (cancer) of DNA caused by strand breakages.

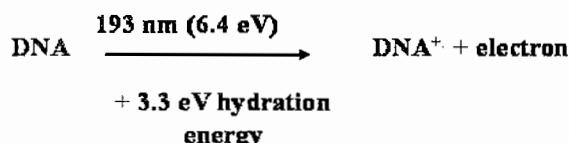
*Do you remember what DNA and its nucleotides were?*

- 2 processes of inflicting DNA damage

1.  $\gamma$ -radiation can cause indiscriminate



2. DNA damage using 193 nm laser more precise - directly damages DNA



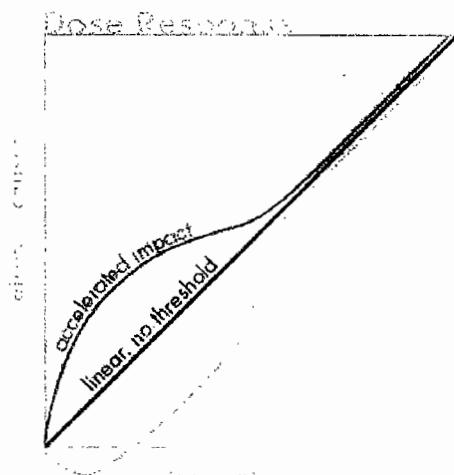
## Consequence of Free Radical Damage

S. S. Wallace Free Rad Biol & Med (2002)

Lesion	Block to DNA polymerases	Lethal	Base inserted opposite in <i>vitro</i>	Mutagenic
Dihydrothymine	No	No	<i>vivo</i>	No
5-Formyluracil	No	No	A > G > C	T → C T → A
5-hydroxycytosine	No	No	G > A	C → T C → G
5-hydroxyuracil	No	No	A	C → T
8-Oxoguanine	No	No	C > A	G → T
Fapy-G	Yes	Yes	n.d.	No
8-oxoadenine	No	No	T >> G	Poor
$\alpha$ -Adenine	Yes	Yes	deletion	-1 deletion

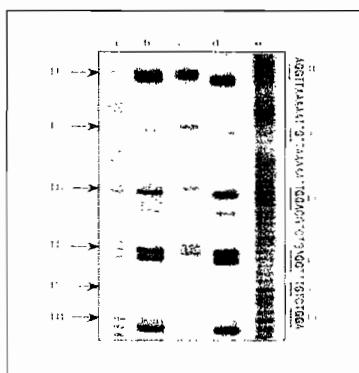
## Linear no-threshold model

- The linear no-threshold model, LNT model, or LNTM is a model of the damage caused by ionizing radiation, and particularly the increased risk of cancer. It assumes that the response is linear and that this linear relationship continues to very small doses



<http://whyfiles.org/020radiation/index.php?g=4.txt>

## DNA Damage - Base specific Sequence



Base sequence specificity of frank ssb (Lane c, energy 5 mJ), Fpg excised damage (Lane d, energy 2.5 mJ) and hot piperidine labile sites (Lane b, 2.5 mJ) of the DNA 154 bp fragment ( $A_5T_1G_2A_3$ ) irradiated under aerobic conditions with 193nm light. Lanes a and e show Maxam Gilbert G+C sequence markers and hydroxyl radical cleavage sites respectively.

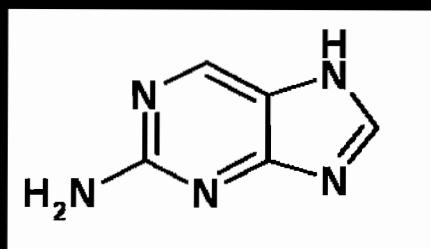
T. Melvin, S. Cunniffe, P. O'Neill, A. W. Parker & T. Roldan-Arjona  
Nuc. Acids Res (1998) 21 4935

- Study fundamental chemical events leading to DNA modification.
- 193 nm induces direct damage by monophotonic photoionisation of bases.
- Strategic base sequence characterises where DNA breaks occur.
- Damage is enhanced at G sites indicating hole migration occurs (ease of oxidation G > A > C > T)
- Work shows that photoionisation results in a sequence dependent intra- **not** inter-strand charge migration of the radical cation of the nucleobases to G located with a few base pairs of the initial site of ionisation.

## Direct DNA / bases ionisation



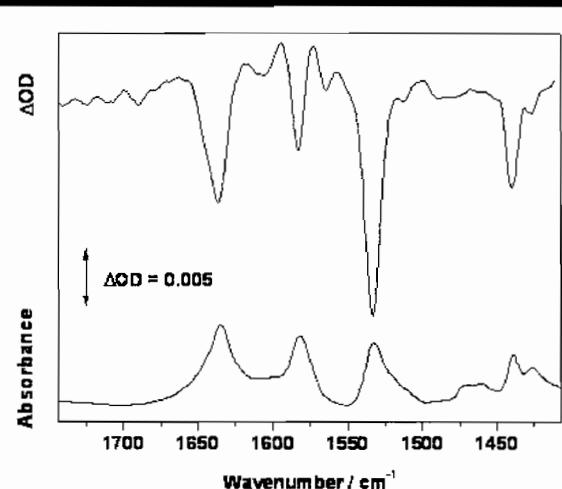
- 2-Aminopurine is Adenine analogue



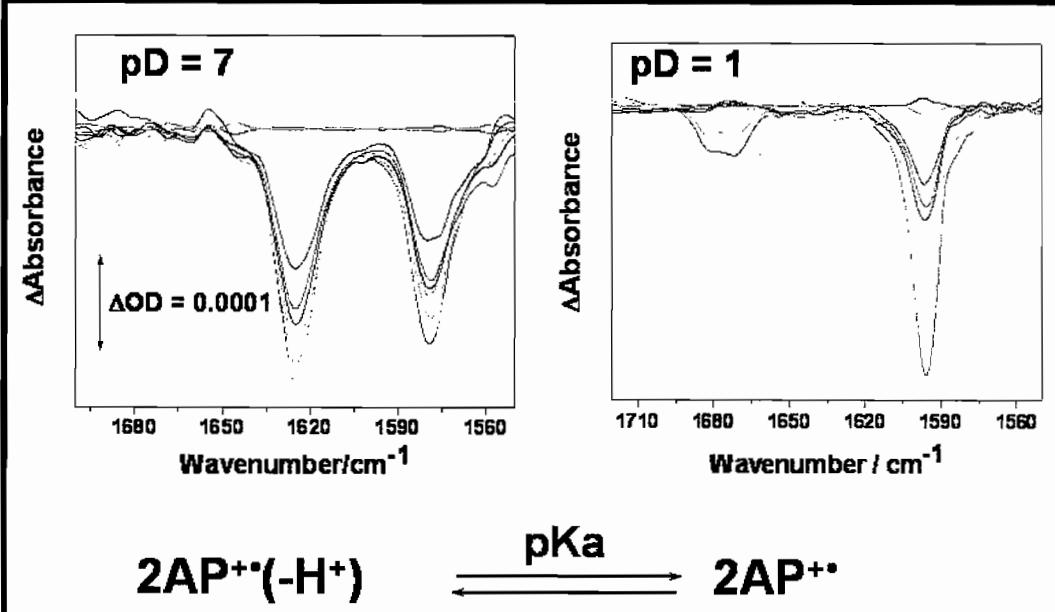
- 10 ns lifetime
- ionises at 308 nm
- participates in Watson-Crick base pairing

### 2-Aminopurine ionisation: 77K glass

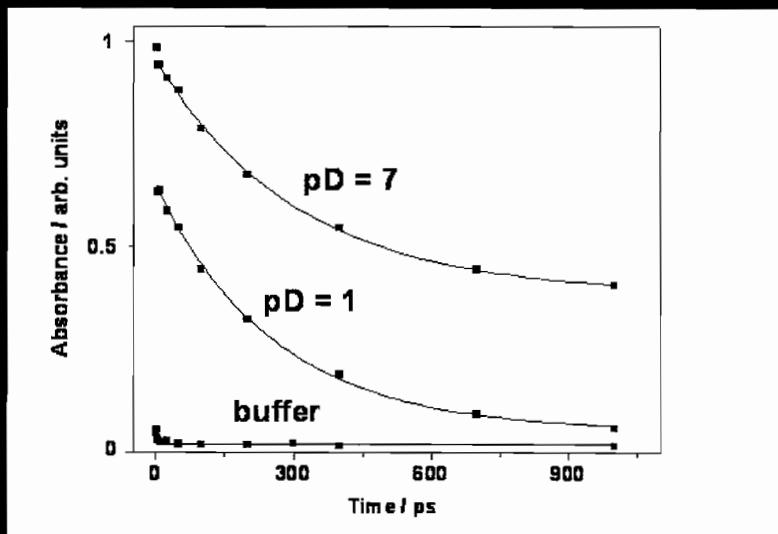
- use of 77K  $\text{H}_2\text{O}$  glass is established method for stabilising  $\text{Base}^{+\bullet}$  (EPR)



## 2-Aminopurine ionisation: solution

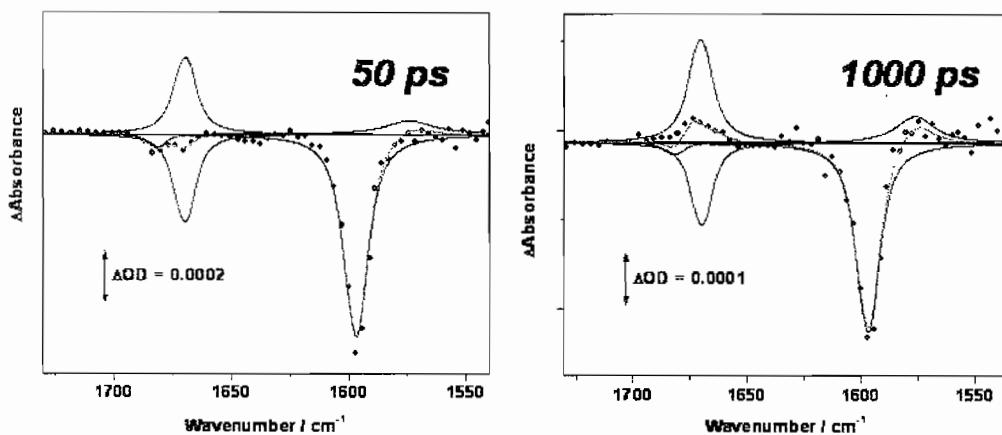


## 2-AP ionisation: monitoring $e_{\text{aq}}$ @ 800 nm



- 2AP is ionised in biphotonic process at  $pD=7$  and  $pD = 1$

## 2-Aminopurine ionisation: pD = 1



- In neutral solution 2AP+• deprotonates in < 2 ps
- Assignment supported by DFT calculations

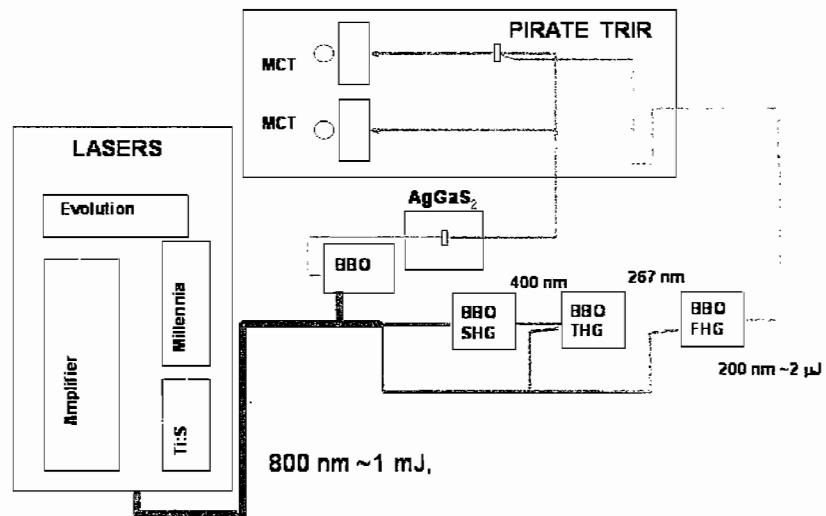
## Natural DNA bases: ionisation

- G, A, C, T are ionised in one-photon process at 193 nm

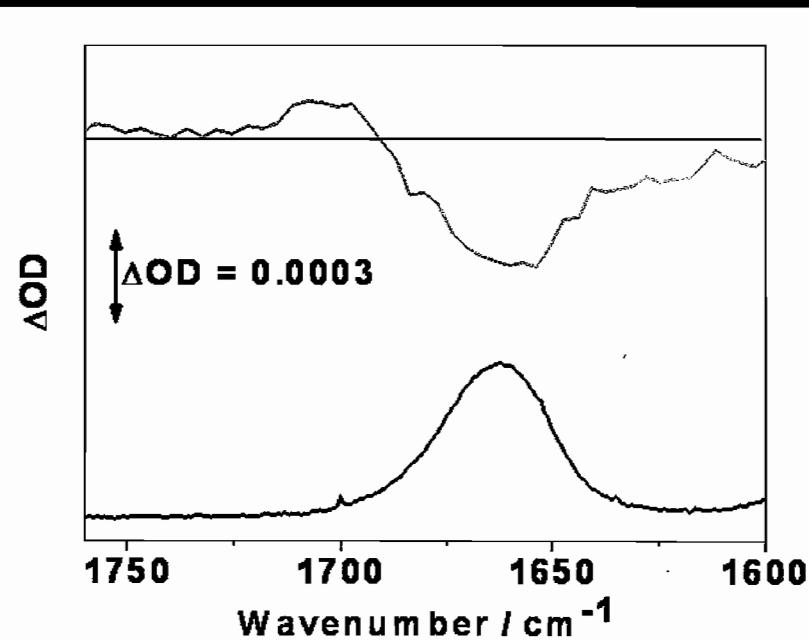


ps 200 nm excitation source was developed in RAL

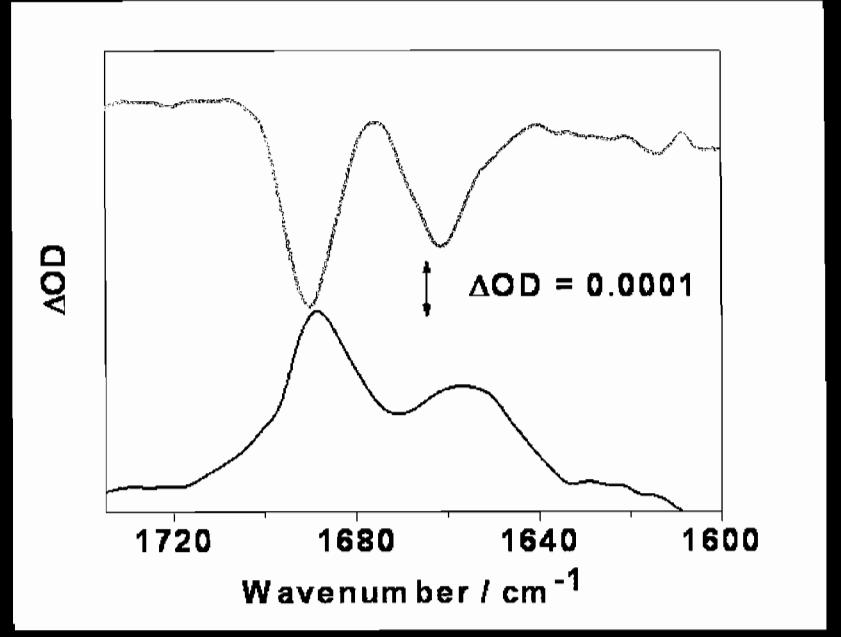
## 200 nm PUMP IR PROBE



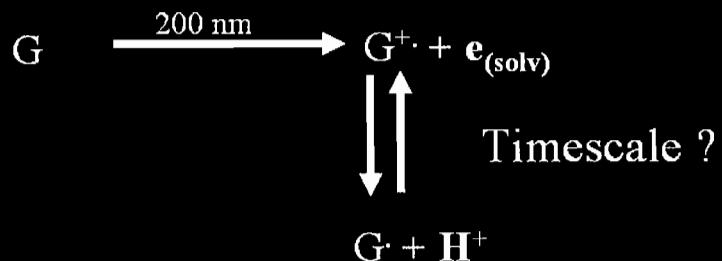
## Guanine 200 nm ionisation: solution



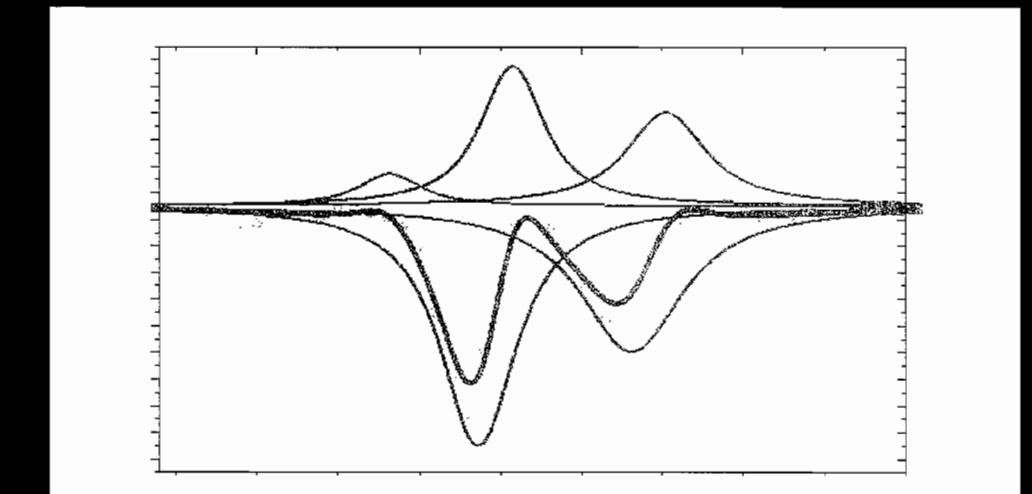
## Poly(dGdC) ionisation: solution



## Consequences of G Ionisation

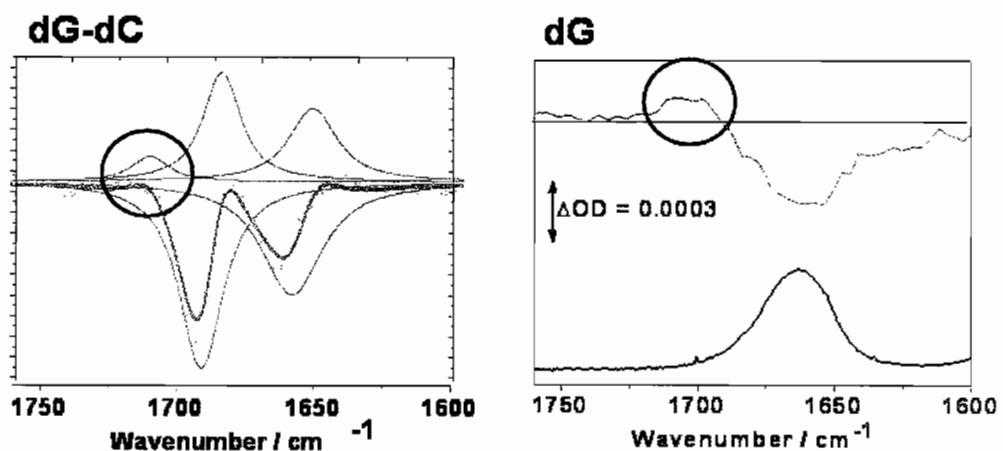


## Poly(dGdC) ionisation: solution



Several transient bands are observed

## DNA ionisation: solution



IR marker band of DNA damage

## Ionisation yield monitoring $e_{aq}$

Substrate	Initial yield	Escape yield
NaCl	1	0.47
5'-GMP, pD = 7	0.03	0.03
5'-GMP, pD = 2	0.02	0.005
5'-GMP, pD = 13	0.04	0.03
5'-CMP	0.007	0.004
5'-AMP	0.005	0.003
5'-TMP	0.003	0.001
poly(dGdC)	0.05	0.03
CT DNA	0.05	0.03
50mM $\text{PO}_4^{2-}$ buffer <sup>a</sup>	0.01	0.005

## Photoionisation Conclusions

- 200 nm irradiation produces both excited states and photoionised species for G
- Excited states decay rapidly to vibrationally hot ground states analogous to 267 nm excitation
- C, A, T are not sufficiently photoionised
- Vibrational signature of  $\text{G}^{++}$  is believed to be observed - characteristic of DNA damage
- Also, performed indirect studies using  $[\text{Co}(\text{NH}_3)_4\text{CO}_3]\text{NO}_3$

MK Kuimova, AJ. Cowan, P. Matousek, AW. Parker, XZ. Sun, M. Towrie, MW. George. PNAS 2006 103: 2150-2153

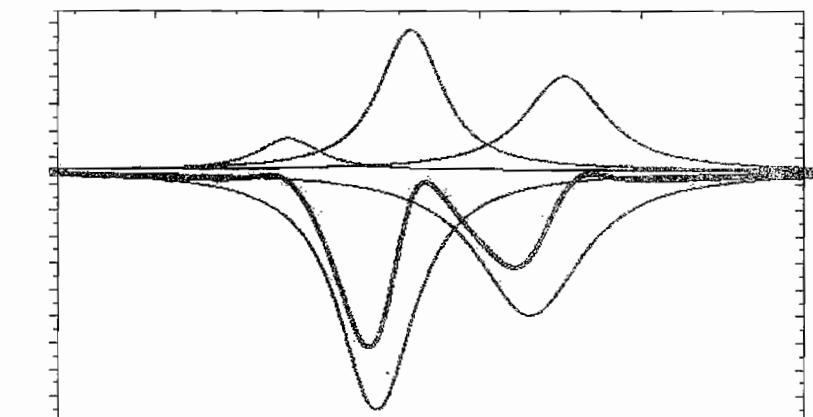
**mjw23**

\server\name

PSCRIPT Page Separator

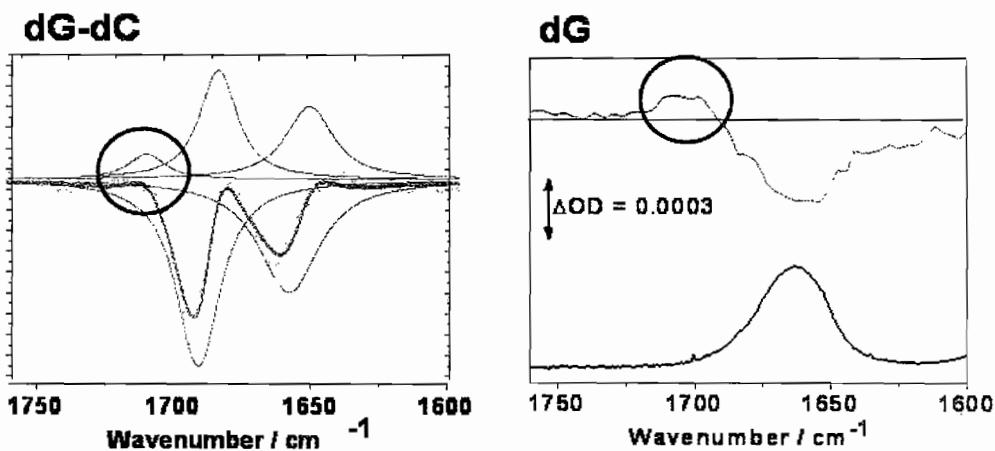


## Poly(dGdC) ionisation: solution



Several transient bands are observed

## DNA ionisation: solution



IR marker band of DNA damage

## Ionisation yield monitoring $e_{aq}$

Substrate	Initial yield	Escape yield
NaCl	1	0.47
5'-GMP, pD = 7	0.03	0.03
5'-GMP, pD = 2	0.02	0.005
5'-GMP, pD = 13	0.04	0.03
5'-CMP	0.007	0.004
5'-AMP	0.005	0.003
5'-TMP	0.003	0.001
poly(dGdC)	0.05	0.03
CT DNA	0.05	0.03
50mM PO <sub>4</sub> <sup>2-</sup> buffer <sup>a</sup>	0.01	0.005

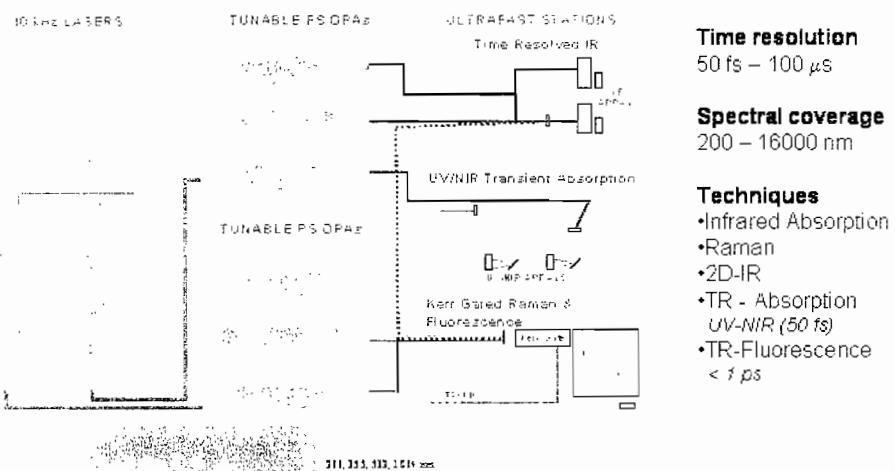
## Photoionisation Conclusions

- 200 nm irradiation produces both excited states and photoionised species for G
- Excited states decay rapidly to vibrationally hot ground states analogous to 267 nm excitation
- C, A, T are not sufficiently photoionised
- Vibrational signature of G<sup>++</sup> is believed to be observed - characteristic of DNA damage
- Also, performed indirect studies using [Co(NH<sub>3</sub>)<sub>4</sub>CO<sub>3</sub>]NO<sub>3</sub>

MK Kuimova, AJ. Cowan, P. Matousek, AW. Parker, XZ. Sun, M. Towrie, MW. George. PNAS 2006 103: 2150-2153

# ULTRA - details

## A New Generation of High Repetition Rate Spectrometer



>60 fold faster acquisition than current state of the art - unsurpassed sensitivity.

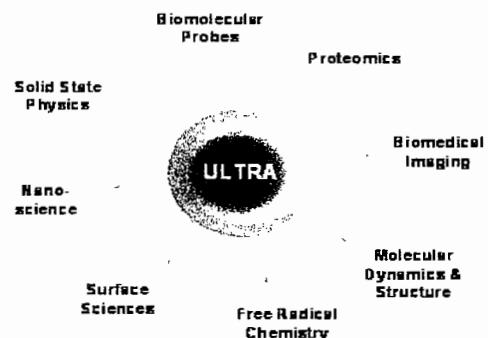
# New LSF facility : ULTRA

Next Generation Laser Facility for Biological & Physical Sciences

### World's most sensitive time-resolved vibrational spectrometer

Cross-disciplinary & Cross-departmental development  
Leading edge technology (lasers, detectors)  
Complementary to SRS, Diamond & 4GLS

Joint CCLRC/MRC funding (£1.78M/£0.8M)



CCLRC, Nottingham, Cambridge, MRC, Leicester, Cranfield

### Examples of scientific applications

#### • DNA Damage-

What are the initial steps in cell mutation and repair mechanisms?

#### Protein function-

Protein folding via time-resolved vibrational circular dichroism

#### Disease recognition-

Novel non-invasive approaches to cancer and osteoporosis diagnosis

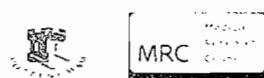
## BIG Thanks to.....

T. Matusek, M. Towrie, Kate Ronayne, S. Retchway (CCLRC, RAL)

M. W. George, J. Dyer, D C. Grills, X. Z. Sun, M. K. Kuimova (Nottingham)

M. Kelly A. M. Whelan (Trinity, Dublin)

A.O'Neil, T. Melvin, S. Cunniffe, T. Roldan-Arjona (MRC, Harwell )



---

## DFT analysis of Vibrational Spectra

Keith Refson  
CCLRC Rutherford Appleton Laboratory

32 August 2000

RSC 2000 - Vibrational Spectroscopy at Central Facilities

---



### Contents

#### Contents

1	Introduction
2	Experimental spectroscopies
3	Ab-initio lattice dynamics
4	Modelling of Neutron Inelastic Spectra
5	Modelling of IR and Raman Spectra
6	Conclusion

Experimental spectroscopies

Ab-initio lattice dynamics

Modelling of Neutron Inelastic Spectra

Modelling of IR and Raman Spectra

RSC 2000 - Vibrational Spectroscopy at Central Facilities

10



## Experimental spectroscopies



### Spectroscopic probes



#### IR and Raman

- Raman scattering component
- Selective and dispersive: many modes inactive
- Instrumental limitations on frequency range
- Only  $\Delta \omega = \omega_1 - \omega_2$  phonons  $\omega_1 > \omega_2$  are probed
- Anomalous Raman contaminates spectra with multi-phonon processes

#### INS

- Direct detection of quasiparticle source
- Energy range  $E = 1 - 100$  eV: crystal or powder samples
- $\Delta E = \hbar \omega_{\text{exc}} / \text{hbar}$ : energy binning scheme
- One couple around Brillouin-Zone
- No selection rule: ab initio

#### EPR

- Very sensitive to near sample surface BZ
- Many electronic sources have capability: ESRF, Spintex, etc.
- Capable at high pressure cells
- Not ideal: scattered due to long scattering time
- Inaccessible for H

Fig. 1 provides figures for information on mode assignment, see the book





## Ab-initio lattice dynamics



RSC 2006: Vibrational Spectroscopic Central Facilities



## Ab-initio lattice dynamics



RSC 2006: Vibrational Spectroscopic Central Facilities

## Ab-initio modelling

- complete description of materials chemistry and physics given in principle by quantum mechanics of electrons and nuclei

No adjustable or empirical parameters

### All ab-initio methods are approximate

- Born-Oppenheimer Approximation - Treat nuclei classically and assume electrons move adiabatically in field of fixed ions.

Kohn-Sham Density Functional Theory with local density or generalised gradient approximations (LDA, GGA) method of choice for many atom trajectories

- Focus on periodic (stabilized) or cluster (molecular) calculations
- Many observables related to change in  $E$  when system is perturbed

Phase stability - phase with lowest  $E$  is most stable

Crystal Structure - given by ion positions which minimise  $E$

Elastic Constants - e.g.  $A = V \cdot E/V \cdot A^2$

Forces - forces given by  $\nabla \cdot F$

Frequencies - of vibrational modes given by  $\omega/\hbar$

- also have electronic properties e.g. band-structures, densities of states

## The CASTEP code

Full-potential *ab initio* simulation code. Authors: M. Segall, J. Chien, S. Clark, R.F.J. Probert, P.J. Hasnip, K. Refson, J. Yates

- Pseudowave basis set and pseudopotential method

- Parallelised over FFT grid and k points using MPI

- primed for massively parallel scaling on HPC resources

- does it include atomic/molecular properties

- electronic band structures
- full-potential pseudopotential generation

- Li-Pauli-Landau, NVE, NVT and NPH ensembles

- PKS optimisation of ions and cell

- tutorial - optimates optimisation

- using dFFT

- zero memory path integral MD

- Availability: free license from Anglia Research Materials Study Group

available under the standard license to all UK academic institutions, depth codes, UK Research Councils, EPSRC members

- Transition State Searching

- DFT and supercell calculations

- quasiharmonic theory

- IR intensities, Raman shifts

- Dielectric Permittivity

- Born Effective Charges

- PAW type core states properties

- NMR chemical shift

- Electric Field gradient theory

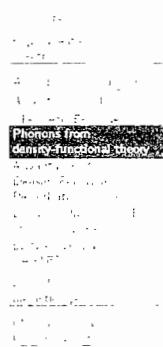
- Mulliken population analysis

- Wannier functions

- Born-Mayer-Huggins



## Phonons from density-functional theory



The *dynamical matrix* of lattice dynamics is given by

$$D(-q) = \frac{1}{\sqrt{M(q)}} \sum_{i,j} m_i \omega_i^2 e^{-iq \cdot r_i} e^{iq \cdot r_j}$$

$\omega_i^2 e^{-iq \cdot r_i}$  is the matrix of force constants  $\omega_i^2$

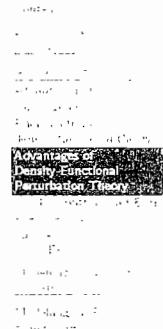
Several approaches to lattice dynamics

- **Frozen phonon method:** Create a structure perturbed by  $\delta r_i = \delta r_i(0)$  and evaluate energy as function of amplitude. Need solution of eigenvalue problem with  $q$
- **Finite Displacement method:** Perturb single ion  $i$  by  $\delta r_i = \delta r_i(0)$  gives row of dynamical matrix at  $q=0$
- **Supercell/Finite Displacement:** Use fact that  $D(-q) = D(-q + 2\pi/a)$  for periodic replication of force constant matrix. If supercell is large enough,  $\Phi_{ij} = \langle \delta r_i | \delta r_j \rangle$  can be extracted from  $D(-q)$  for a given  $q$ .
- **Density Functional Perturbation Theory**

RSC 2009 Vibrational spectroscopy at Central Facilities



## Advantages of Density-Functional Perturbation Theory



DFPT formalism gives  $D(-q)$  directly from primitive plane waves without basis functions

DFPT computes *first-order* KS orbitals — the linear response of the electronic structure to displacement of atoms with wave vector  $q = \tau$  in electric field  $E$

- Born Effective Charges (aka atomic polar tensors)
- polarisability, dielectric permittivity
- LO TO splitting
- infra-red reflectivity, absorption coefficients
- Raman tensor

RSC 2009 Vibrational spectroscopy at Central Facilities

1

## LO-TO splitting and Born effective charges

- Two elastic system  $\omega_{\text{LO}}$  and  $\text{TO}$  mode displacements indistinguishable by zero stress in ionic crystals LO mode freq higher than TO mode
- There is additional restoring force due to macroscopic polarisation
- And the Stranski-Keller relation for cubic case

$\omega_{\text{LO}}^2 = \omega_{\text{TO}}^2 + V \frac{\partial^2 U}{\partial P^2} = \omega_{\text{TO}}^2 + \frac{\partial U}{\partial P}$

• Calculation using DFPT with  $E$  representing electric field

• Born's number formalism also needs Born effective charges defined by

$$\chi = \epsilon_0 - V \frac{\partial^2 U}{\partial P^2} = \frac{\partial U}{\partial P}$$

- Frequency per unit cell caused by displacement of atoms in direction  $i$  affected on atom by microscopic electric field

## Lattice dynamics in CASTEP

- CASTEP uses Goede's variational formulation of DFPT
- Calculators S. J. Clark and P. R. Taylor, University of Durham, *J. Phys. A* **37**, 155114 (2004)
- Full use made of space-group symmetry of crystal cell
  - Only independent elements of  $\mathcal{T}(\mathbf{k}, q)$  calculated using LR commutator and propagated to dependent elements
  - k-point sampling of Brillouin-zone performed using k-point set transformable under symmetries of perturbation
- Anisotropic approach yields  $\omega_{\text{LO}}^2(\mathbf{k}, q)$  and  $\chi$
- Current version suitable for insulators and normal scattering is independent of  $\omega_{\text{LO}}$  splitting calculated
- It can handle
  - 1D modelling – thermal, optical programs available
  - Quantum mechanics under development
  - Fourier interpolation for efficient dispersion or LDDOS
  - Full finite difference supercell method for metals

RSC

Modelling of Neutron Inelastic Spectra

■ NH<sub>4</sub>F is one of a series of ammonium halides studied in the TOSCA spectrometer. Collab. Mark Adams (ISIS)

■ Structurally isomorphic with ice Ih

■ INS spectrum modelled using A-CLIMAX software (A. J. Ramirez Cuesta, ISIS)

■ Predicted INS spectrum in mostly excellent agreement with experiment

■ NH<sub>4</sub>F libration modes in error by  $\pm 5\%$

■ Complete mode assignment achieved

## Modelling of Neutron Inelastic Spectra

RSC 2006. Advanced Spectroscopy at Central Facilities

RSC

Ammonium Fluoride

■ NH<sub>4</sub>F is one of a series of ammonium halides studied in the TOSCA spectrometer. Collab. Mark Adams (ISIS)

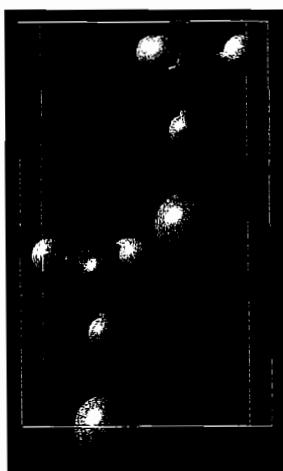
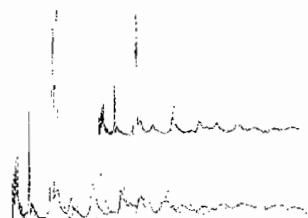
■ Structurally isomorphic with ice Ih

■ INS spectrum modelled using A-CLIMAX software (A. J. Ramirez Cuesta, ISIS)

■ Predicted INS spectrum in mostly excellent agreement with experiment

■ NH<sub>4</sub>F libration modes in error by  $\pm 5\%$

■ Complete mode assignment achieved

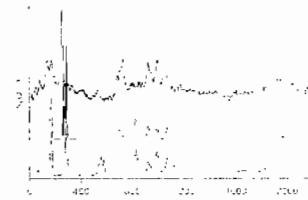
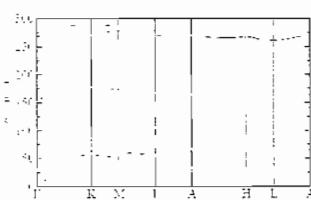
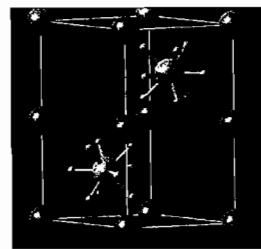


RSC 2006. Advanced Spectroscopy at Central Facilities

## Boron Rhenium Hydride

4.

- Rich S. P. Parker, ISIS facility, RAL
- Bulk ReH<sub>2</sub> with unusual ReH<sub>2+</sub><sup>+</sup> ion has very high in the hydrogen content
- INS spectrum modelled using A-CLIMAX software  
→ J. Ramirez-Cuesta, ISIS
- Predicted INS spectrum in mostly excellent agreement with experiment
- DQ<sup>1</sup>Q splitting essential to model INS  
Libration modes in error of NH<sub>3</sub>F
- Anisotropic mode assignment achieved



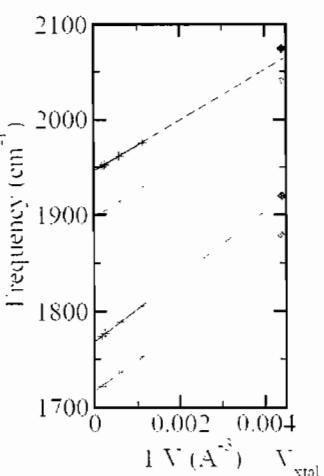
• Boron Rhenium Hydride: INS

15 - 14

## Isolated ReH<sub>2+</sub> ion

5.

- Frequency vs. volume calculations on isolated ReH<sub>2+</sub> ion are equivalent to INS
- Repeat isolation using CASTEP
- CASTEP model: periodic array of ions in a charged cell → Exp. V scaling and take V → v<sub>ext</sub>
- ν = ∞ frequencies in agreement with isolated ion freqs
- ~ large ν = 1500 cm⁻¹ crystal field shift
- Can also extrapolate down to V<sub>ext</sub>
- Extrapolated ion freqs are very close to A-point crystal freqs
- Crystal field shift is almost entirely a periodic volume effect
- Anion modes completely insensitive to presence of cation



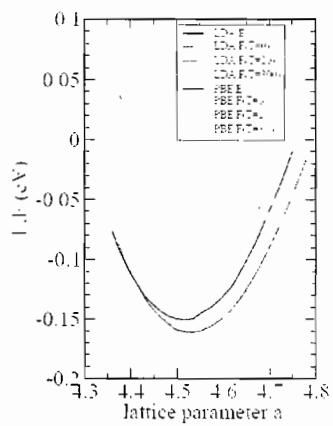
• Boron Rhenium Hydride: Crystal Field

16 - 24

## H-Storage materials MgH<sub>2</sub>

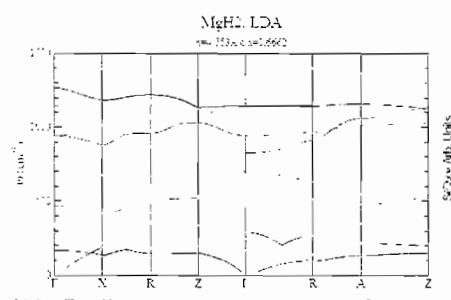
- MgH<sub>2</sub> is one of highest wt % candidate hydrogen storage materials
- H<sub>2</sub> desorption reaction only at high T in bulk MgH<sub>2</sub>, but ball-milled and thermally microstructured material promising
- Spectroscopy will be key tool investigating microstructured material
- INS spectra measured on TOSCA by A. J. Ramirez Cu-esta.
- Light materials have large thermal expansivity and athermal limit inaccuracy need quasi-harmonic free energy minimum
- F evaluated using phonon DOS calculation at range of volumes
- LDA lattice parameter in better agreement with expt than GGA  
LDA = 5.5 Å,      GGA = 5.60 Å  
Expt = 5.17 Å

MgH<sub>2</sub> Energy and QH Free energy

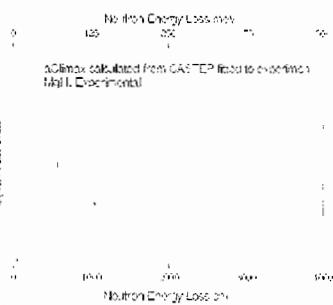


RSC 2006: Vibrational Spectroscopy at Central Facilities

## MgH<sub>2</sub> INS and lattice dynamics



NH Predicted spectrum computed from 'adjusted' frequency.



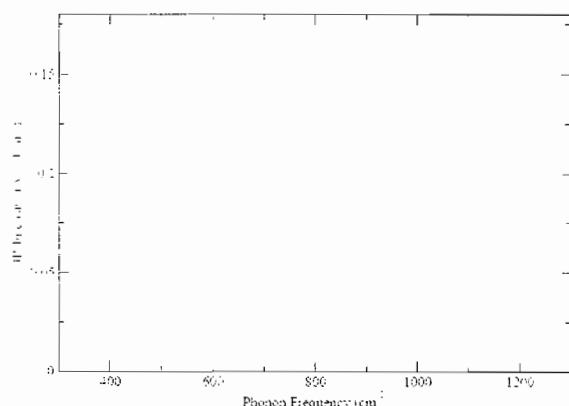
RSC 2006: Vibrational Spectroscopy at Central Facilities

## Modelling of IR and Raman Spectra

W.S.C. Rowley - IR and Raman Spectra - Part 2 - Centre for Polymers

$\omega^2 = 24$

IR spectrum of  $\alpha$ -quartz



- Straightline and complex peak areas
- Peak shape modelling depends on sample and experimental variables
- Multiphonon and overtone terms less straightforward

W.S.C. Rowley - IR and Raman Spectra - Part 2 - Centre for Polymers

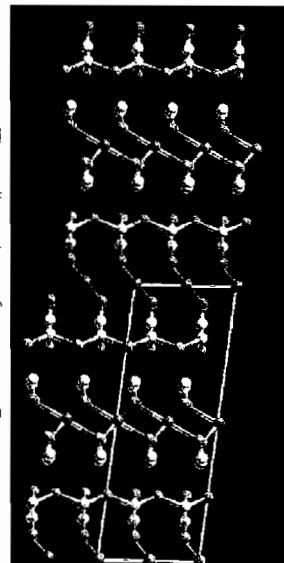
$\omega^2 = 24$



## High-pressure phase transition in clinochlore

High-pressure phase transition in clinochlore  
A. Jephcott & A. Kleppe  
University of Oxford  
UK

- Collaborators Dr Andrew Jephcott (DLS) and Dr Annette Kleppe (Oxford)
- Clinochlore is H-rich chlorite which may be water-bearer in subduction zones
- Structure is mixed-layers of mica-like phyllosilicate and (Mg, Al) brucite
- Crystal structure of synthetic clinochlore is monoclinic C2/m
- X-ray powder diffraction and Raman spectroscopy show a phase transition at 10GPa
- X-ray powder data for high-pressure phase can not be indexed for structure determination.



RSC 2006: Vibrational Spectroscopy at Central Facilities

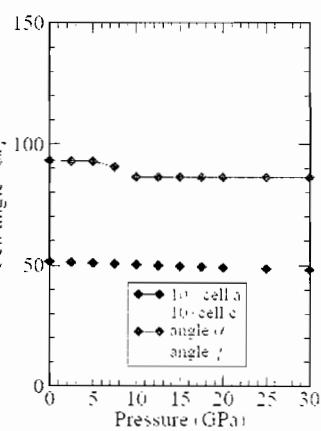


## Ab-initio DFT results for Clinochlore

Ab-initio DFT results for  
clinochlore  
A. Jephcott & A. Kleppe  
University of Oxford  
UK

- Model Al/Si disorder using either fully ordered or all-Si approximations
- Optimize co-ordinates and simulation cell under applied pressure
- Both models show a phase transition at ~10GPa
- Characterised by shear and compression along c-axis: change in inter-layer registration from Type IIb stacking
- new phase is still monoclinic
- ab-initio prediction of new high pressure crystal structure

DFT lattice parameters of clinochlore



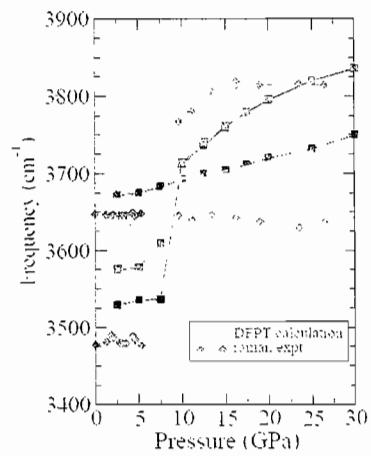
RSC 2006: Vibrational Spectroscopy at Central Facilities

## Vibrational Spectroscopy

- Experimental and calculated OH stretch frequencies show spread of  $\pm 200 \text{ cm}^{-1}$  in  $3700 \text{ cm}^{-1}$  range. Need resolution at order  $1'$  for meaningful comparison.
- Both calculations and expt show upward trend of order  $100 \text{ cm}^{-1}$  and compression of bands.
- Same pattern of frequency shifts across transition observed in calculation as experiment.
- Support conclusion that high-P phase predicted in calculation is true structure.
- Analysis of eigenvectors shows that highest freq in low-P phase becomes lowest in high-P. Mode is silicate layer OH stretch.
- Modes which upshift are hydroxide layer OH stretch.

DOI: 10.1017/CBO9780511541294.004

Fig. 24



## Summary

- Infrared dynamics is a powerful tool for modelling vibrational spectra of all kinds.
- We can now model to a few percent except where Harmonic approximation provides down.
- Can treat insulators, metals, semiconductors and superconductors.
- Crystal dispersion is essential for modelling INS spectra of molecular and ionic solids.
- Experiment capability adds vital structure-property link.
- Combined DFT modelling and experiment gives better understanding.

DOI: 10.1017/CBO9780511541294.004

Fig. 24



Rutherford Appleton Laboratory

ISIS<sup>SM</sup>

## Vibrational Spectroscopy with Neutrons: Catalysts, Hydrides and Polyethylene

Stewart F. Parker

ISIS Facility

Joint IRDG and RSC Molecular Spectroscopy meeting, 31<sup>st</sup> August 2006



Rutherford Appleton Laboratory

## Menu

**Introduction: neutrons  
vibrational spectroscopy with neutrons**

**Catalysis: adsorbed states of hydrogen on platinum fuel cell catalysts**

**Hydrides and hydrogen storage:  $\text{Rb}_2[\text{PtH}_6]$  and  $\text{Ba}[\text{N}_2]$**

**Polyethylene**

**Conclusions**

ISIS<sup>SM</sup>

## Neutrons: the subtle probe

Neutrons are **NEUTRAL** particles. They

- are highly penetrating
- can be used as non-destructive probes
- can be used to study sample in severe environments
- they cause little damage to the sample.

Neutrons have a **MAGNETIC** moment and a **SPIN**. They can be

- used to study microscopic magnetic structures and fluctuations
- polarised, thereby enhancing their selective power to magnetic features
- used to develop magnetic materials

The **ENERGIES** and **WAVELENGTHS** of thermal neutron are "just right"

- molecular vibrations and lattice modes.
- magnetic fluctuations.
- dynamic of atomic motion (e.g., diffusion)

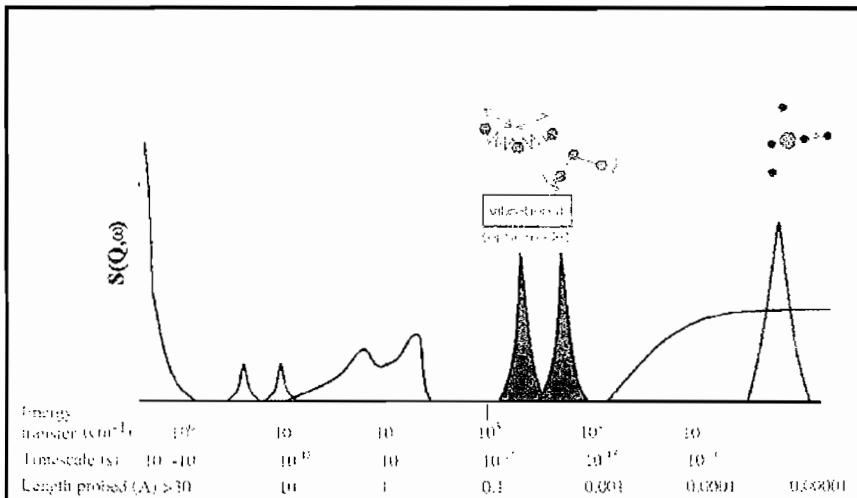
Neutrons "see" **NUCLEI**, so they

- are sensitive to light atoms.
- can be exploited for **ISOTOPIC SUBSTITUTIONS**. In particular
- the neutron contrast can be varied selectively for important species such as HYDROGEN).

*Neutrons tell you where atoms are and how they are moving!*



## Inelastic neutron scattering





# Why use Raman? :-

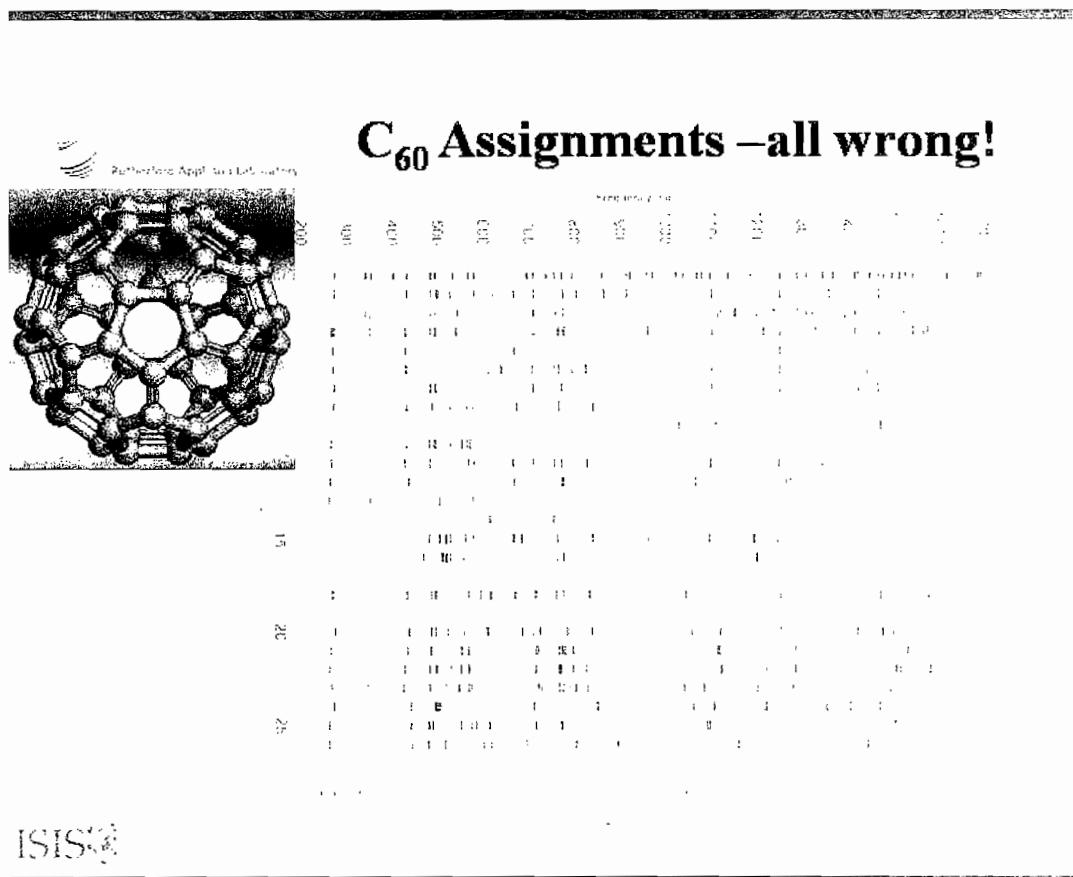
## Vibrational spectrum

**Complementary to infrared and Raman.**

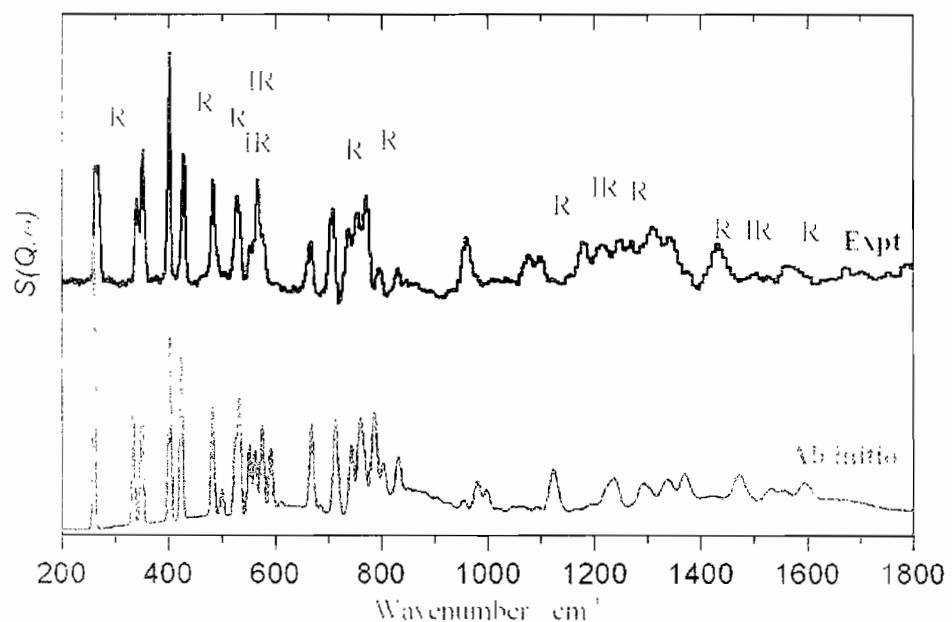
**No selection rules:- interaction is with nucleus *not* electrons.**

**Intensities straightforward to calculate:-**

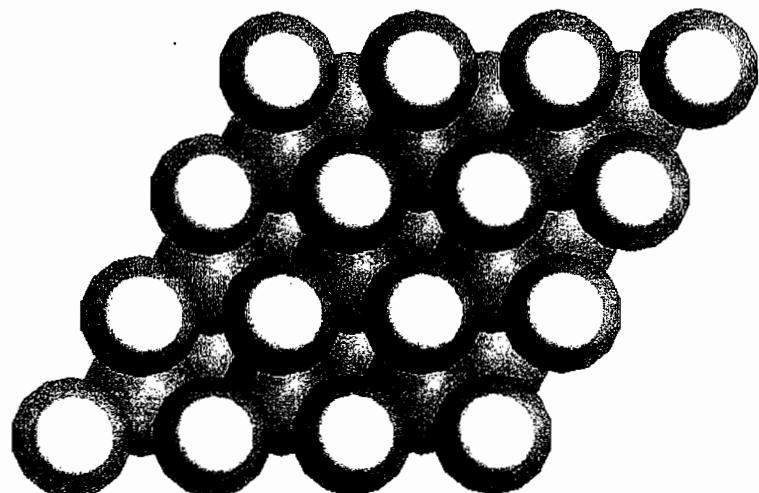
$$S(Q, \omega) = \sigma Q^2 U_\omega^2 \exp(-Q^2 U_T^2)$$



**C<sub>60</sub>**



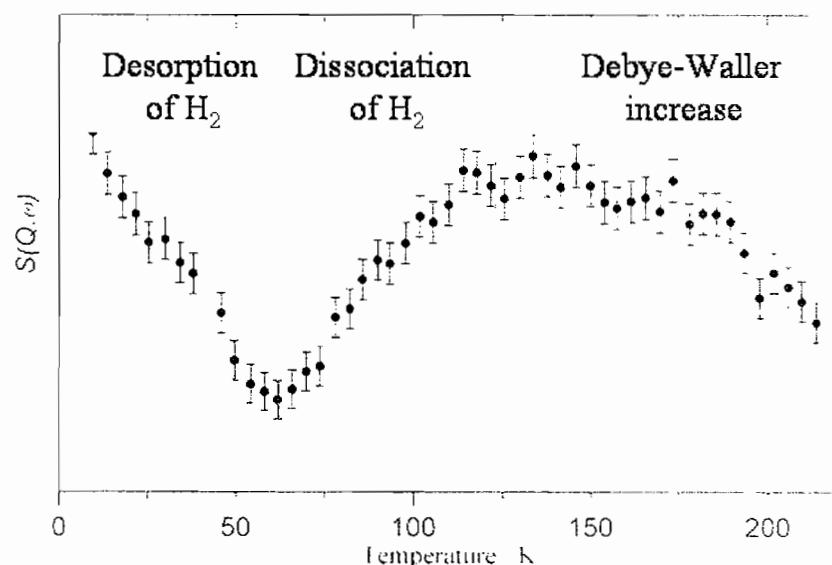
## Adsorbed states of hydrogen



**S.F. Parker, C.D. Frost, M. Telling, P. Albers, M. Lopez and K. Seitz  
Characterisation of the adsorption sites of hydrogen on Pt/C fuel cell catalysts  
Catalysis Today, 114 (2006) 418-421.**

## Dissociation of H<sub>2</sub> on a Pt(50%)/C fuel cell catalyst by QENS

ISIS: Neutron Applications Laboratory

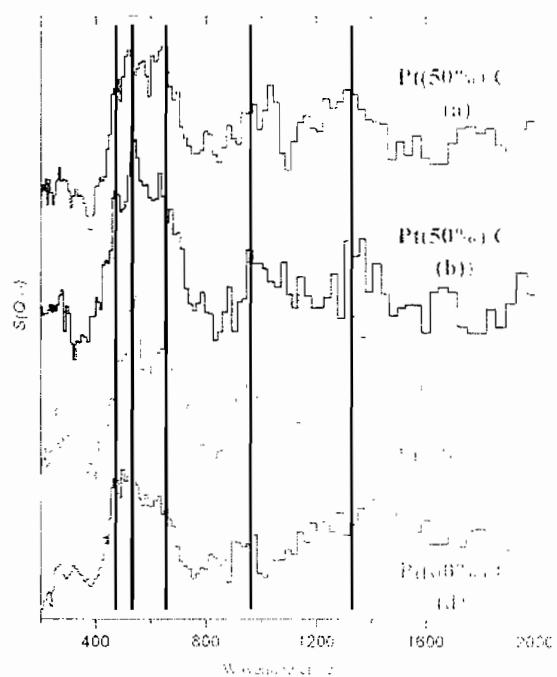


ISIS:

Neutron Applications Laboratory

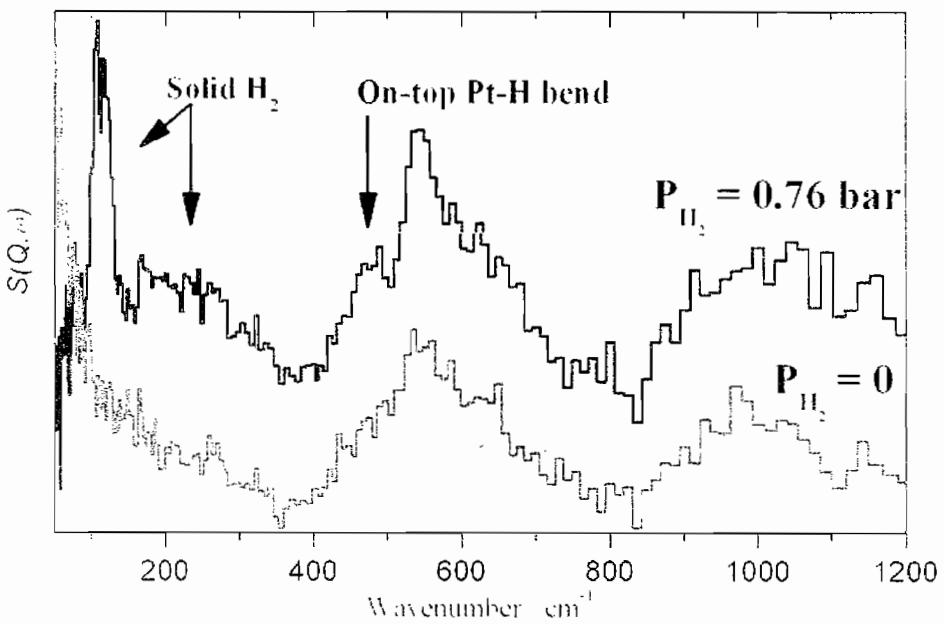
## Adsorbed hydrogen on Pt/C fuel cell catalysts

ISIS: Neutron Applications Laboratory

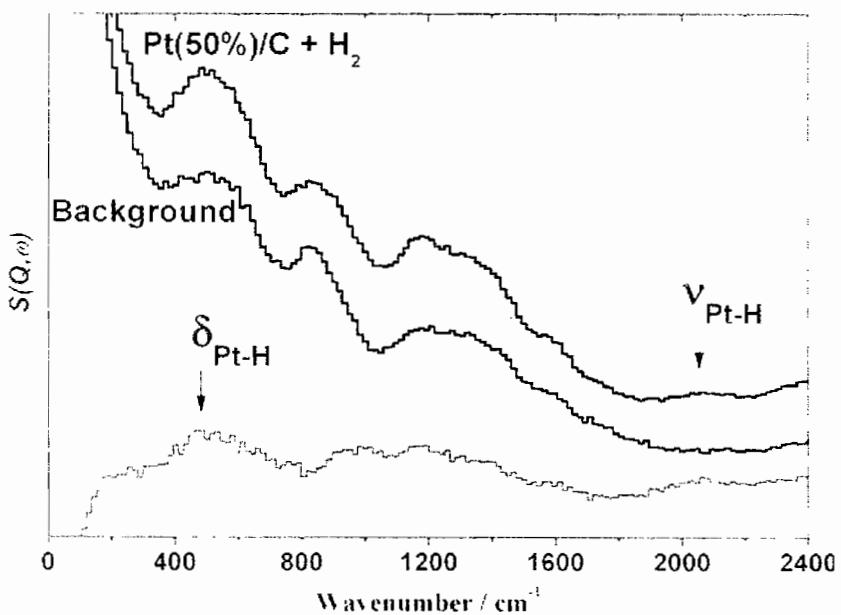


ISIS:

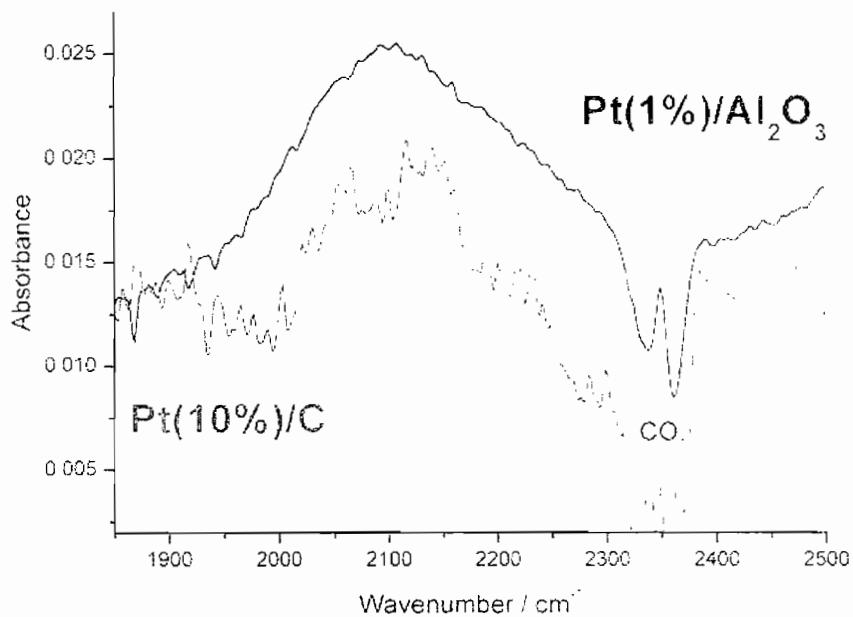
## Fuel cell catalyst: Pt(58%)/C



## The on-top hydrogen

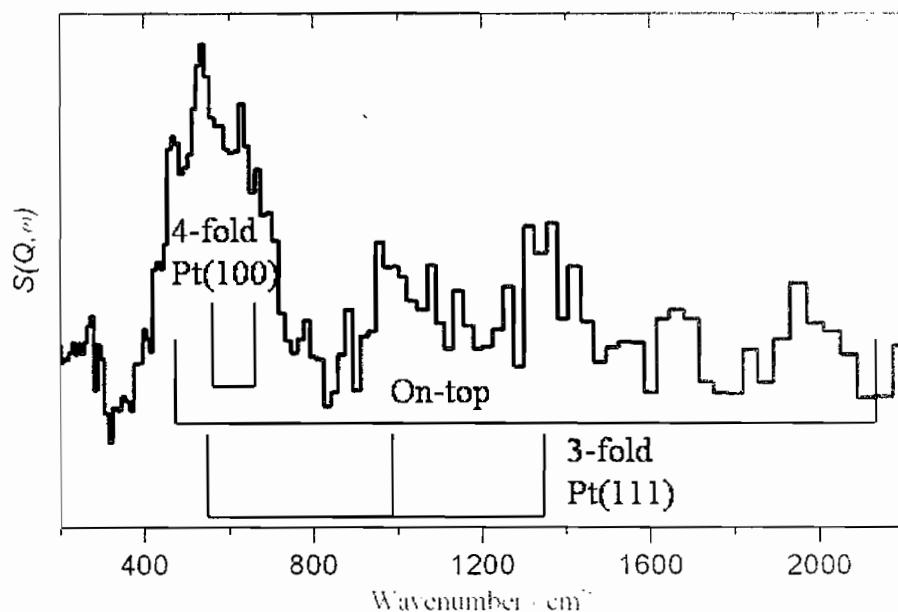


## IR of Pt-H stretch of on-top



ISIS

## Summary of assignments



ISIS

# Hydrides and hydrogen storage

© Royal Society of Chemistry 2004

S. F. Parker, S. M. Bennington, A. J. Ramirez-Cuesta, G. Auffermann, W. Bronger,  
H. Herman, K. P. J. Williams and T. Smith

Inelastic neutron scattering, Raman spectroscopy and periodic-DFT studies of

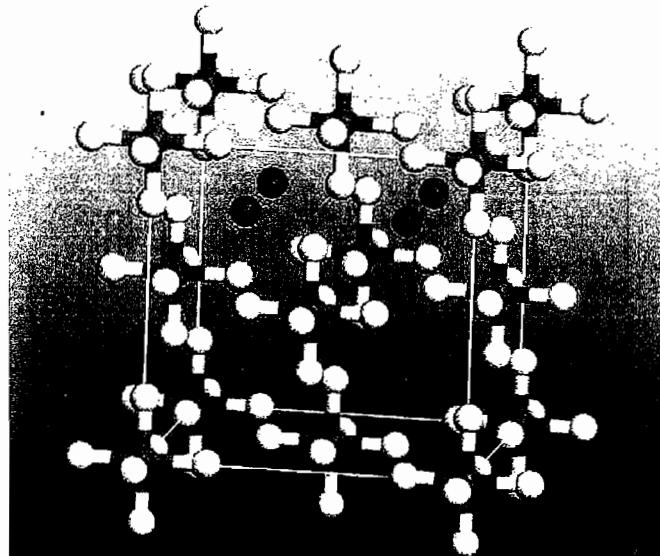
$Rb_2PtH_6$  and  $Rb_2PtD_6$

J. Am. Chem. Soc.; 125 (2003) 11656-11661.

Stewart F. Parker, Jon W. Taylor, Keith Refson and Gudrun Auffermann  
Spectroscopic and ab initio characterisation of alkaline earth nitrides and diazenides:  
detection of the N=N stretch

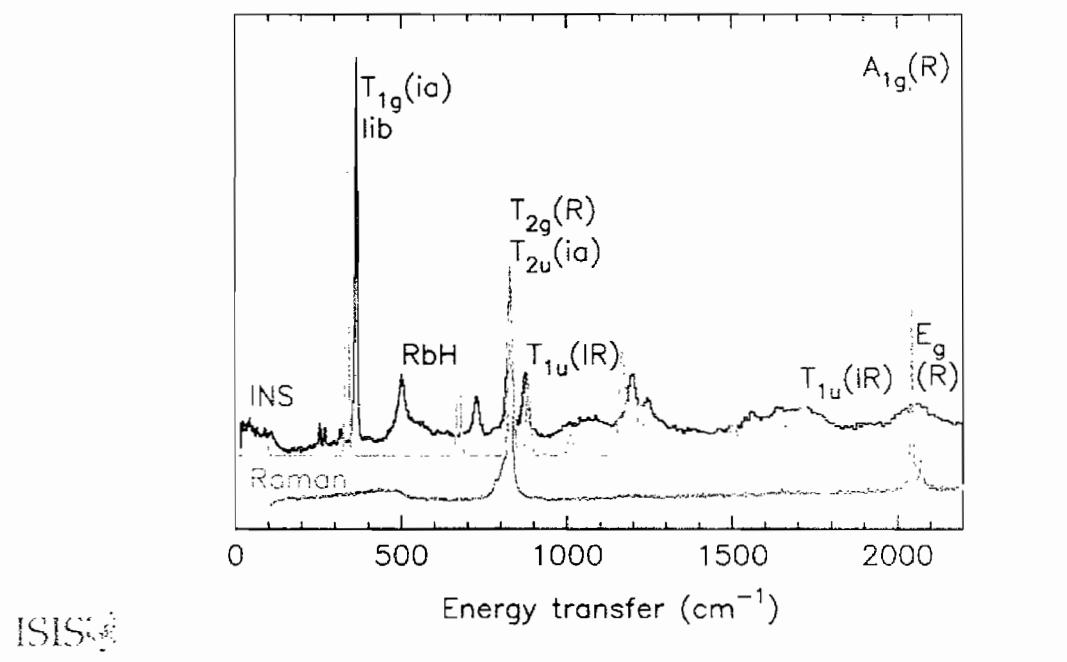
## $Rb_2[PtH_6]$

© Royal Society of Chemistry 2004



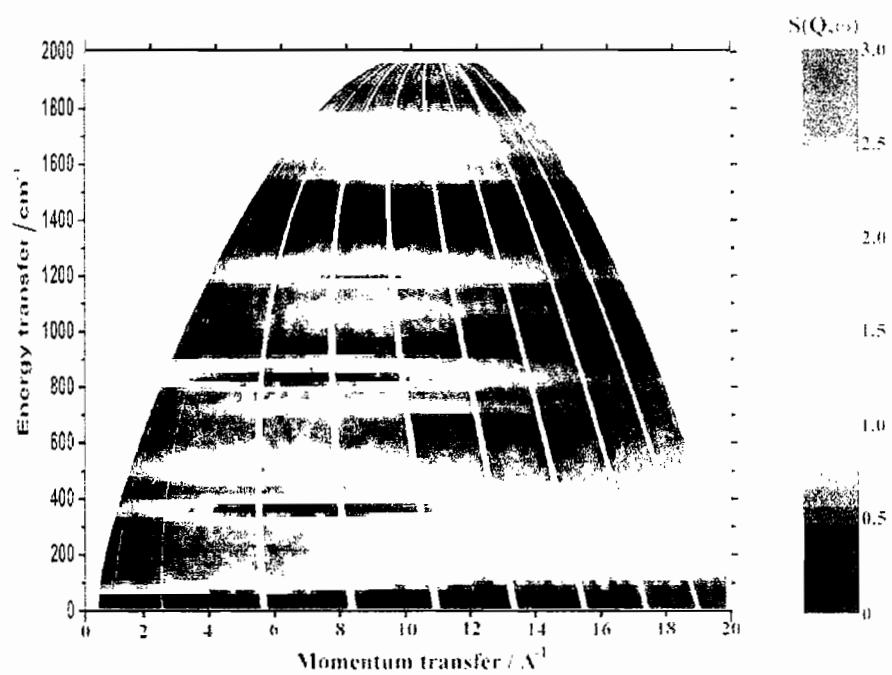
© Royal Society of Chemistry 2004

## INS and Raman spectra of $O_h$ [PtH<sub>6</sub>]<sup>2-</sup>



ISIS

## Rb<sub>2</sub>[PtH<sub>6</sub>] on MARI



ISIS

**Comparison of properties of  $M_2PtH_6$ . ( $M = Li, Na, K, Rb, Cs$ ).  
Experimental values in parentheses.**

© Research Institute for Materials

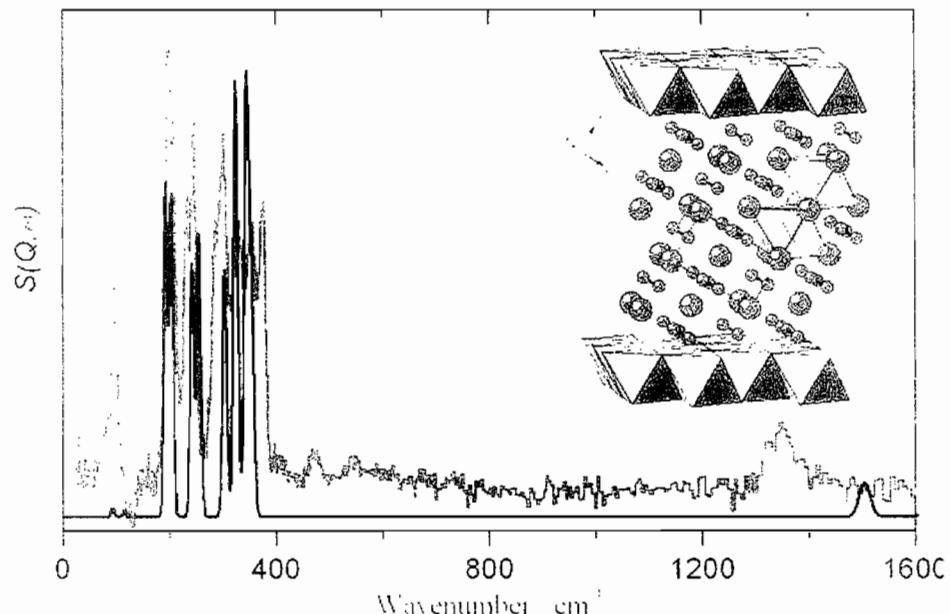
Property	Li	Na	K	Rb	Cs	Gas phase
Lattice parameter ( $\text{\AA}$ )	6.564 (6.55) <sup>#</sup>	7.1716 (7.3410)	8.2002 (8.1399)	8.6222 (8.5369)	8.9503 (8.9681)	
Pauling ionic radius $M$ ( $\text{\AA}$ )	(0.60)	(0.95)	(1.33)	(1.48)	(1.69)	
Lattice energy (kJ mol <sup>-1</sup> )	2223	1966	1755	1665	1577	
Pt-H / ( $\text{\AA}$ )	1.639	1.641 (1.615)	1.644 (1.640)	1.646 (1.629)	1.647 (1.641)	1.678
M-H / ( $\text{\AA}$ )	2.354	2.540 (2.74)	2.927 (3.12)	3.091 (3.06)	3.219 (3.52)	
$\nu_1$ Pt-D stretch $A_{1g}$ (cm <sup>-1</sup> )	1673	1651 (1491)	1804 (1471)	1588 (1466)	1577	1387
Charge on Pt	-1.718	-1.777	-1.601	-1.511	-1.422	-1.860
Charge on M	+0.370	+0.598	+0.698	+0.703	+0.536	
Charge on H	+0.163	+0.097	+0.034	+0.018	+0.058	-0.232

[S1|S2]

© Research Institute for Materials

**Ba[N<sub>2</sub>] - metallic**

© Research Institute for Materials

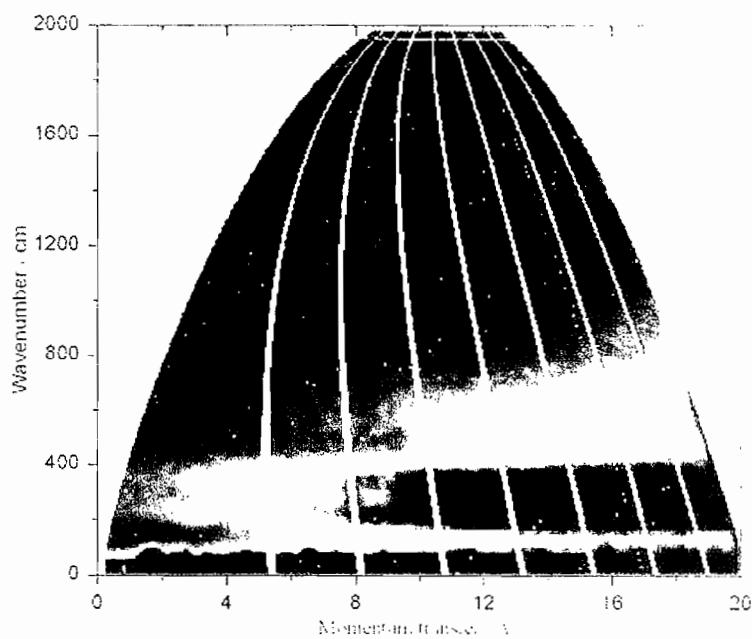


[S1|S2]



Rutherford Appleton Laboratory

## Ba[N<sub>2</sub>] on MARI

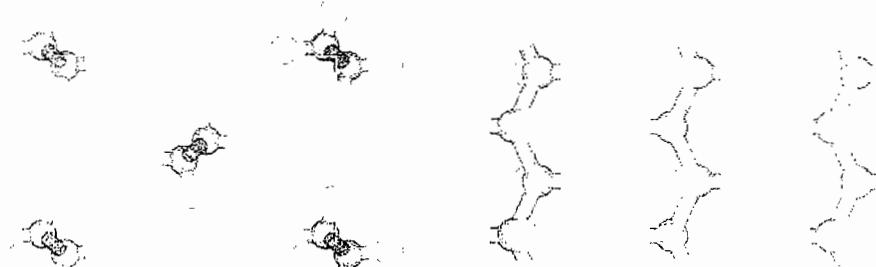


ISIS



Rutherford Appleton Laboratory

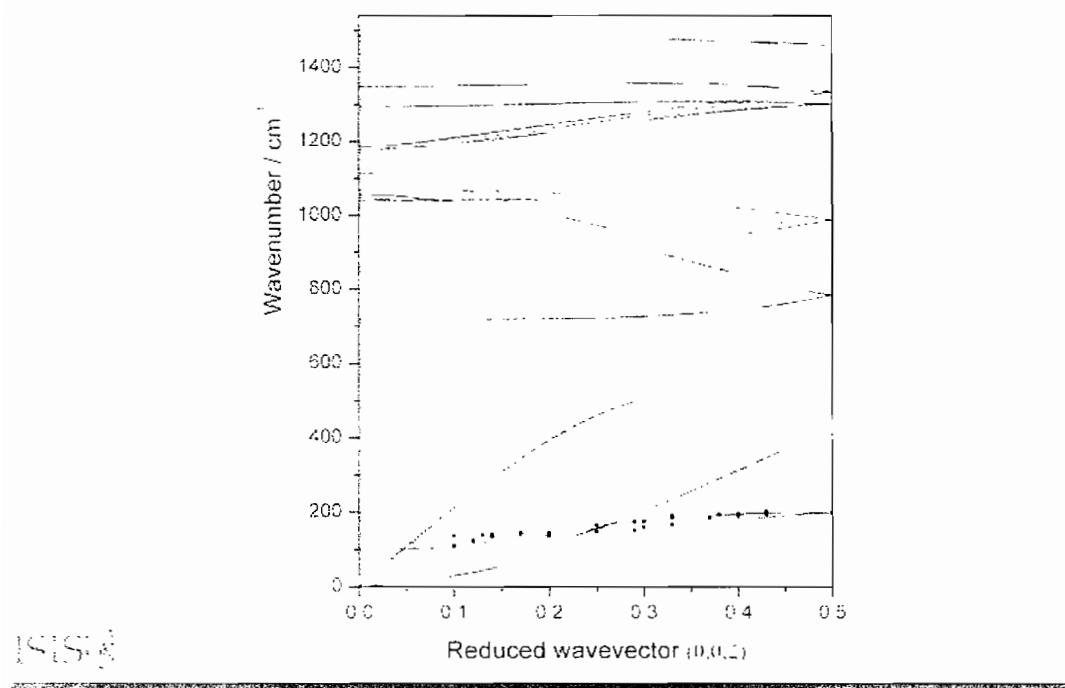
## Polyethylene



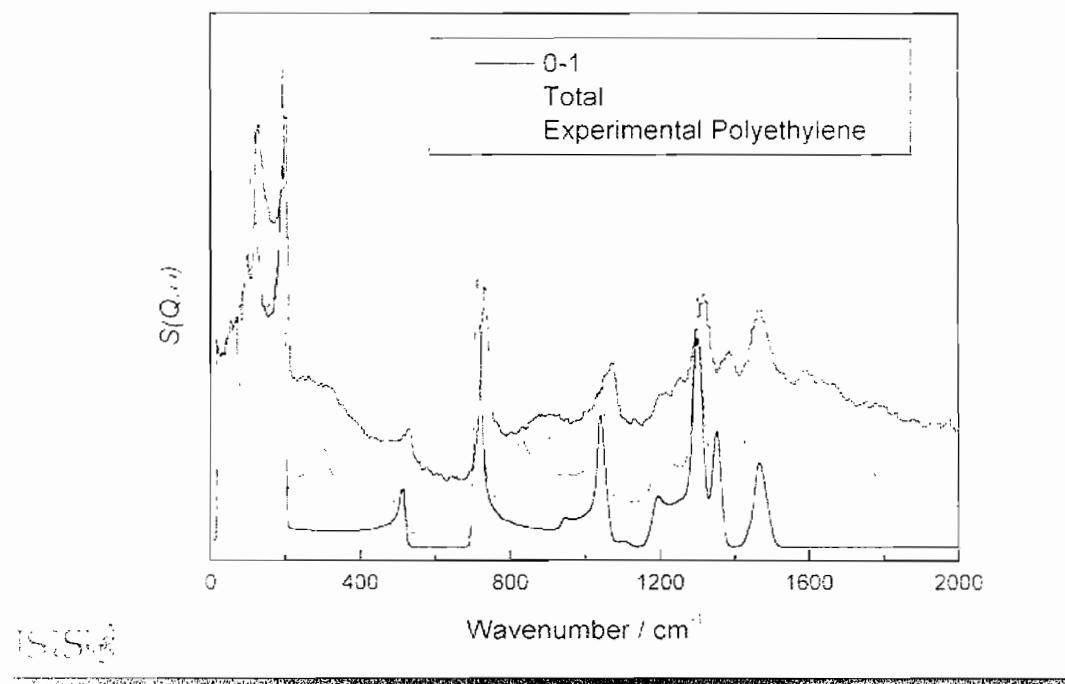
ISIS

G.D. Barrera, S.F. Parker, A.J. Ramirez-Cuesta, and P.C.H. Mitchell  
The vibrational spectrum and ultimate modulus of polyethylene  
*Macromolecules*, 39 (2006) 2683-2690.

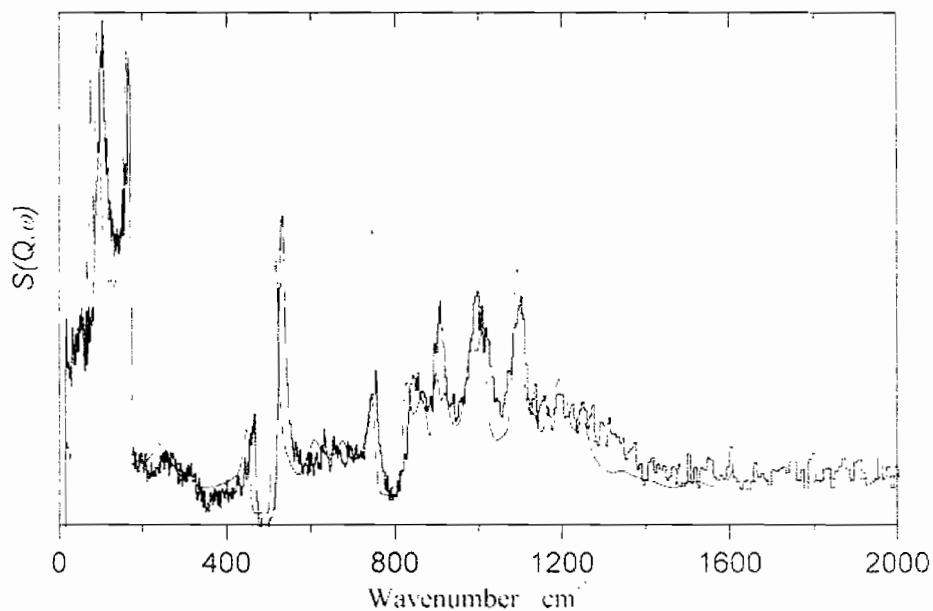
### Polyethylene dispersion along chain (*c*) axis



### INS spectrum of polyethylene



## INS spectrum of polyethylene -D<sub>4</sub>



ISIS

[www.isis.rl.ac.uk](http://www.isis.rl.ac.uk)

## Observed and Calculated Young's Moduli (GPa) of Polyethylene

Modulus / GPa	Experimental				Theoretical			
	Mechanical	X-ray	Raman	Coherent INS	<i>Ab initio</i>	Molecular dynamics	Force field	This work
$Y_{cc}$	<b>288</b>	235-255	280-358	<b>329</b>	350-400	334	286-386	<b>360.20</b>
$Y_{bb}$		2.5-5.0					9.4	12.92
$Y_{aa}$		1.9-3.9					9.0	13.34

ISIS

## Conclusions

**Vibrational spectroscopy with neutrons provides a different view of materials**  
**it allows access to forbidden modes**  
**metallic systems and catalyst supports are transparent**

**Combination with *ab initio* results is mutually beneficial**  
**enables unambiguous assignments of vibrational spectra**  
**provides stringent test of *ab initio* results**

**Photons and neutrons are complementary**  
**best science results from use of both**  
**co-location of sources (ILL/ESRF, ISIS/Diamond) promising**

S LEIL  
SYNCHROTRON



## Synchrotron Infrared Microspectroscopy

Paul Dumas  
Synchrotron SOLEIL  
[paul.dumas@synchrotron-soleil.fr](mailto:paul.dumas@synchrotron-soleil.fr)

©Dumas/Spectroscopy at Central Facilities - August 21st, 2006 - P. Dumas

S LEIL  
SYNCHROTRON

## Infrared microspectroscopy with a blackbody source

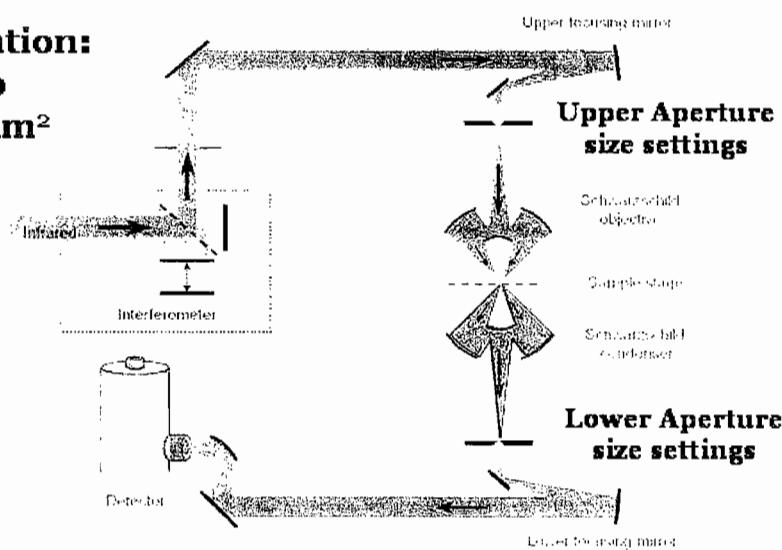


©Dumas/Spectroscopy at Central Facilities - August 21st, 2006 - P. Dumas

2

## Infrared microscope with blackbody : limitations

**Confocal configuration:  
limited to  
~ $20 \times 20 \mu\text{m}^2$   
aperture**

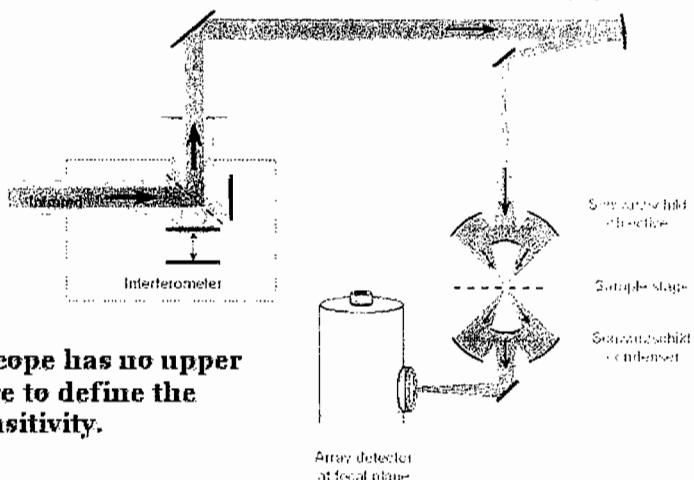


The limitation arises from the low brightness of the source

## Infrared microscope with blackbody : limitations

**Non-confocal configuration:  
Focal Plane  
Array Detector**

Optical arrangement for detector array , or FPA



The FPA microscope has no upper or lower aperture to define the instrument's sensitivity.

Instead, each detector pixel serves as an aperture for this purpose

## The need of a brighter source

In order to achieve:

- Diffraction limited domain size analysis ( $\lambda/2$ )
- High spectral quality ( High S/N)
- Confocal configuration ( contrast fidelity)

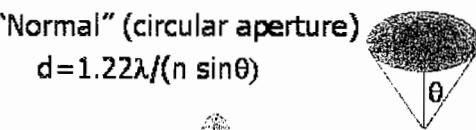
**There is a need for a different infrared photon source, much brighter than the blackbody.**

## Confocal versus non-confocal

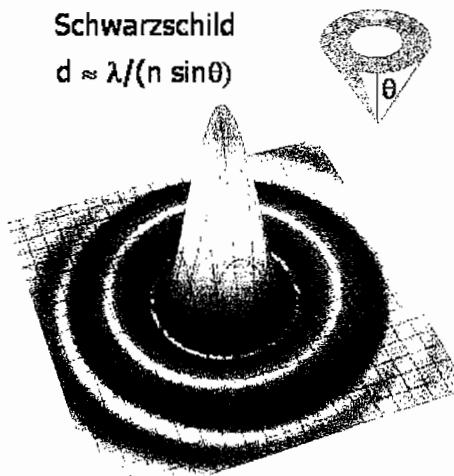
**Preserving the contrast fidelity**

## Diffraction-limited Point Spread Functions

"Normal" (circular aperture)  
 $d = 1.22\lambda/(n \sin\theta)$



Schwarzschild  
 $d \approx \lambda/(n \sin\theta)$



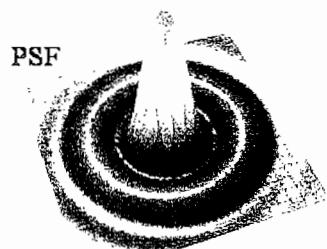
$\lambda = 6 \mu\text{m}$ , 1  $\mu\text{m}$  grid spacing

"fat" 1st order diffraction ring for Schwarzschild

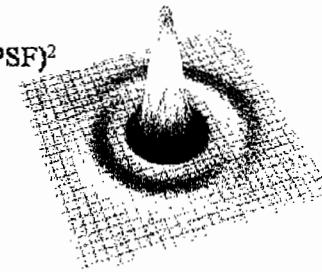
## Confocal versus non-confocal

G.L. Carr

PSF



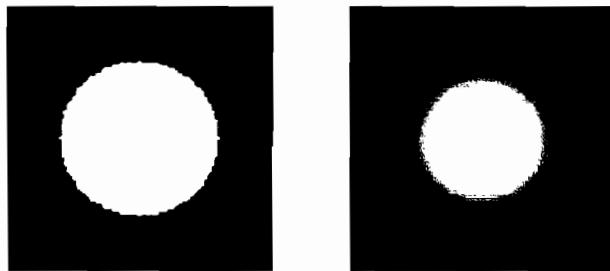
$(\text{PSF})^2$



Confocal results in narrower central peaks, and also reduces effect of 1st order diffraction ring.

## Example of an imaging artifact

G.L.Carr, O. Chubar and P. Dumas  
Spectrochemical Analysis using multichannel infrared detectors,  
Analytical Chemistry Series, Blackwell Publishing (2005)

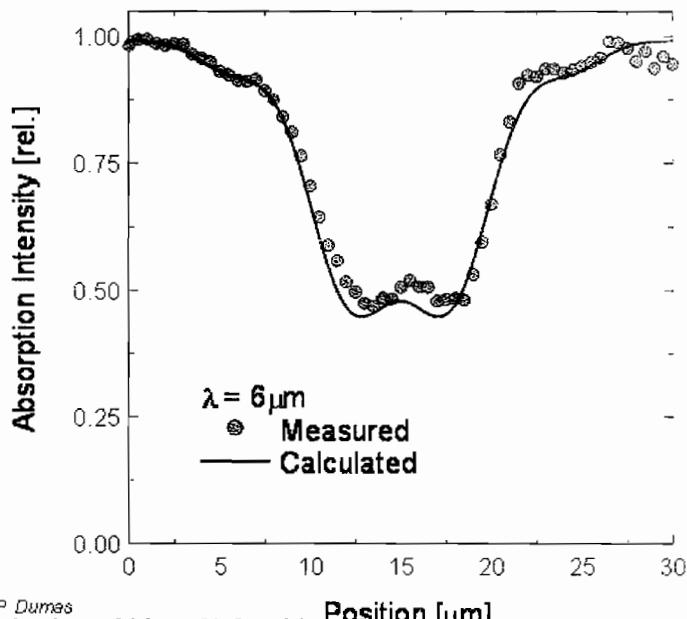


**Left:** object consisting of an opaque specimen except for a 12  $\mu\text{m}$  diameter circular aperture  
**Right:** calculated transmission image for a single (non-confocal) Schwarzschild objective with NA=0.65 and for  $\lambda=6 \mu\text{m}$ .

**1-Note the dark patch in the center, suggesting the presence of absorbing material inside the hole**

**2-Note that the dimension of the hole is not preserved!**

## Artifact verified experimentally



G.L.Carr, O. Chubar and P. Dumas  
Spectrochemical Analysis using multichannel infrared detectors,  
Analytical Chemistry Series (2005)

## S LEIL Chemical imaging: FPA versus Synchrotron

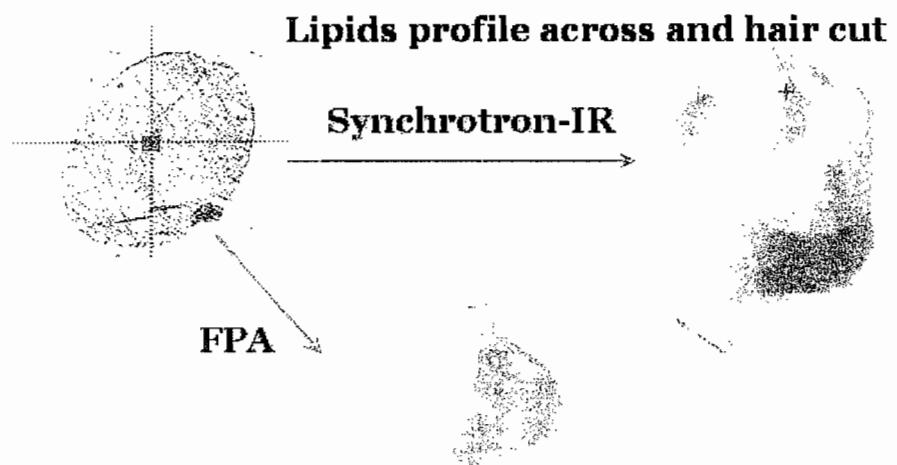


Image contrast fidelity with synchrotron!!

bioRxiv preprint doi: https://doi.org/10.1101/2181; this version posted April 21, 2006. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-NC-ND 4.0 International license.

## S LEIL Brightness, or brilliance, or spectral radiance



Low brightness source



The detector!!

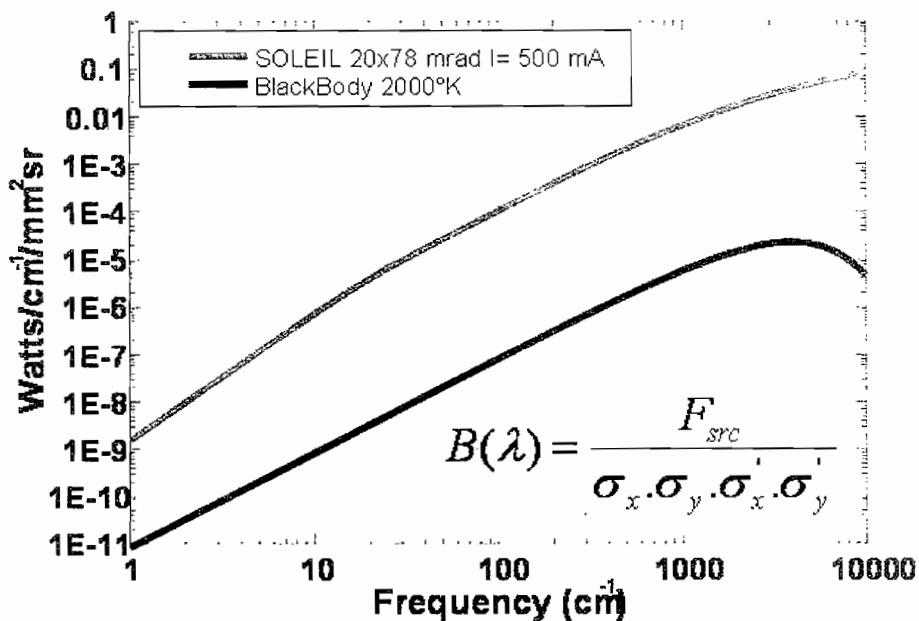


High brightness source

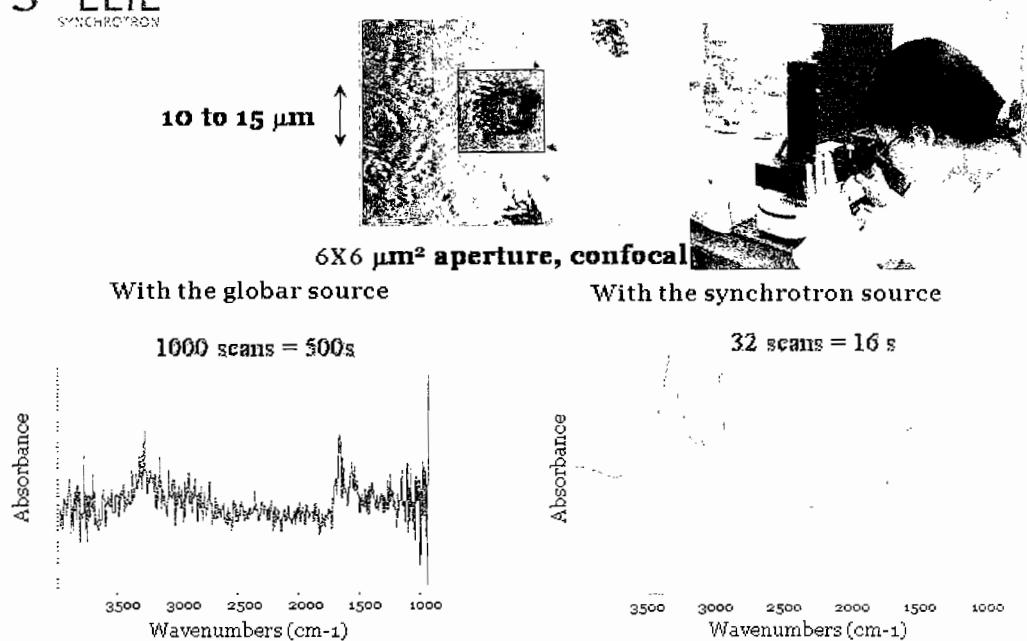
Synchrotron source is a broadband and bright source.

12

## Synchrotron source: advantages

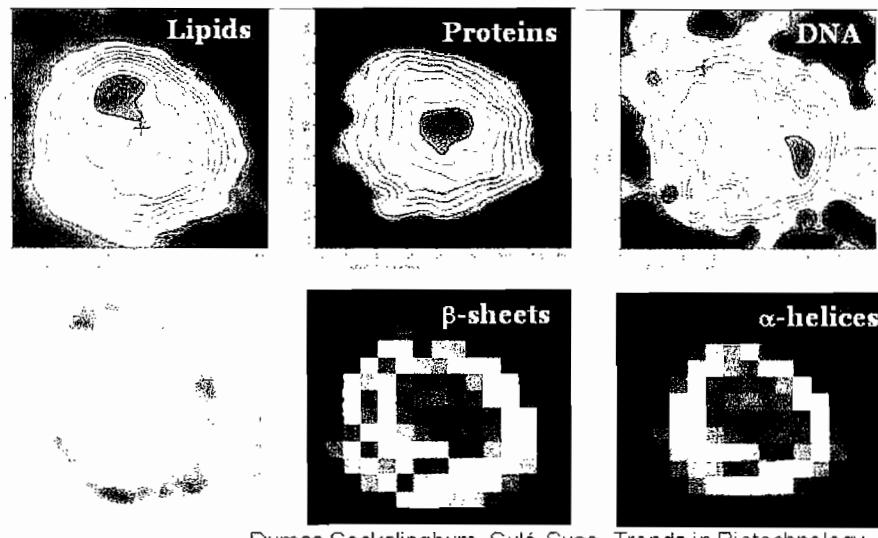


## Exploiting the source brightness



## Imaging at sub-cellular spatial resolution

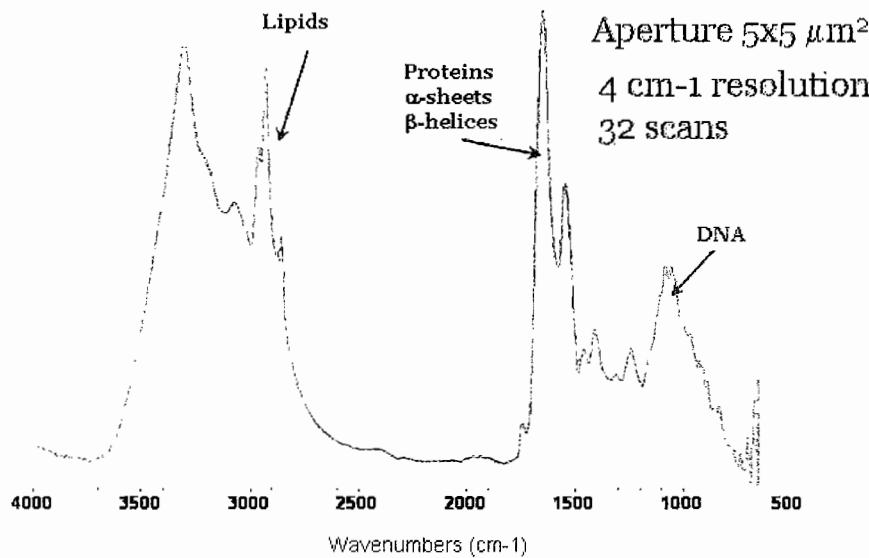
HL60 cell, after 24 hours induction of differentiation ( PMA)



Dumas,Sockalingum, Sulé-Suso Trends in Biotechnology

15

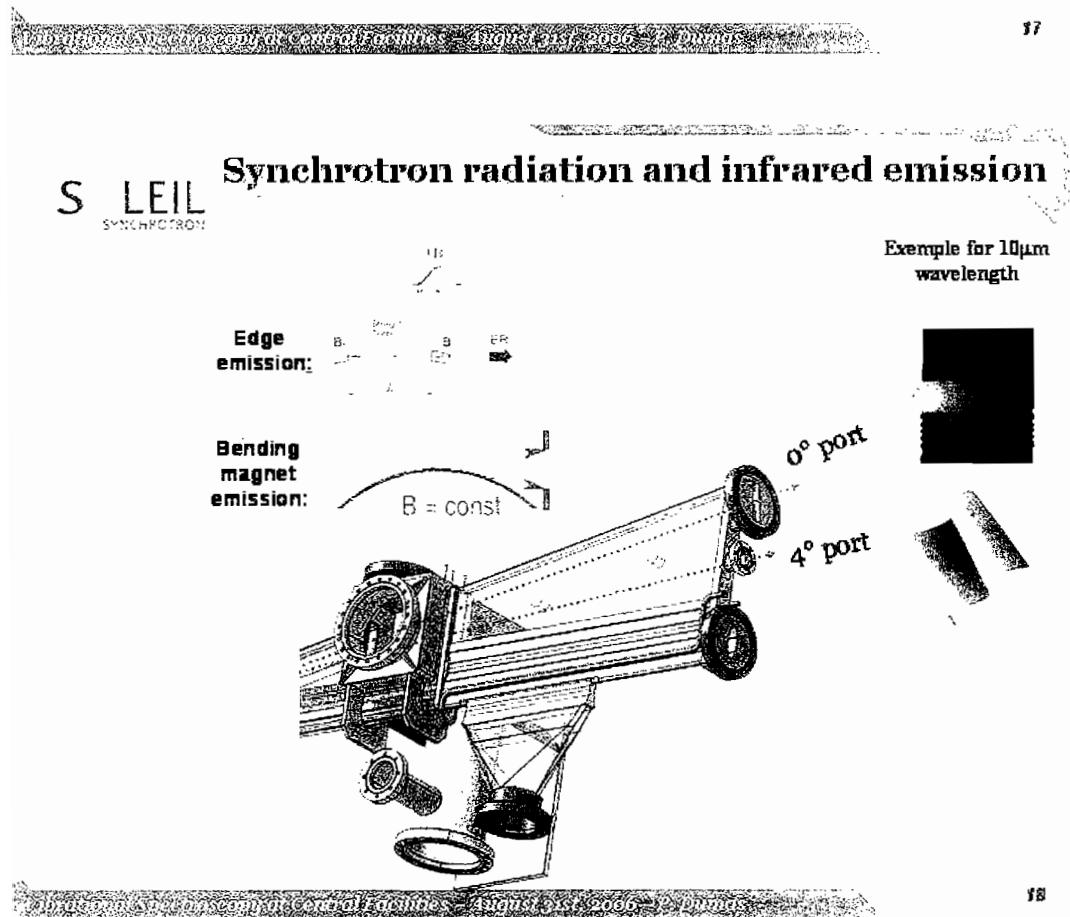
## Infrared spectrum



16

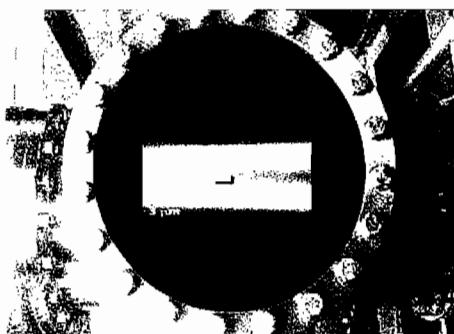
# S<sub>+</sub> LEIL Synchrotron Infrared Microscopy Beamline

## Design and Challenges



# History of synchrotron IR ?

It takes much longer before being recognized as a potential source for spectroscopy



Bending magnet radiation



Edge radiation

\*: calculated using the SRW code for E=2.75 GeV, 1.56 T, 7 meters straight section

19

## Formulas for calculating infrared flux

(Non-coherent) Synchrotron Radiation from Constant Field of Bending Magnet

$$\left( \frac{dW}{d(1/\lambda)} \right)_{SR} \left[ \frac{W}{cm^{-1}} \right] \approx 4.88 \cdot 10^{-7} E[GeV] I[A] \theta_x[mrad] G(\lambda_c/\lambda)$$

$$G(x) \equiv x \int_x^{+\infty} K_{5/3}(x') dx'$$

$\gamma = E / m_0 c^2$  = electron relativistic mass enhancement factor

$\theta_x$  = aperture

$\lambda_c = 4\pi\rho/(3\gamma^3)$  = critical synchrotron radiation wavelength for the bending magnet

$K_{5/3}$  = modified Bessel function

For a storage ring with parameters  $E = 2.75$  GeV,  $I = 0.5$  A,  $\lambda_c = 1.43$  Å,

horizontal angular aperture  $\theta_x = 40$  mrad, at the wavelength  $\lambda = 10$  μm

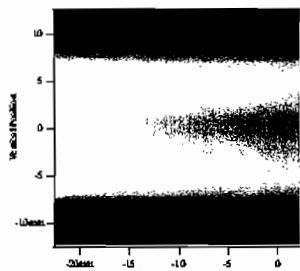
$$\left( \frac{dW}{d(1/\lambda)} \right) \left[ \frac{W}{cm^{-1}} \right] \approx 2 \cdot 10^{-20} \frac{dN}{dt(d\lambda/\lambda)} \left[ \frac{\text{Photons}}{s(0.1\%bw)} \right] \left( \frac{dW}{d(1/\lambda)} \right)_{SR} \approx 1.40 \cdot 10^{-6} \frac{W}{cm^{-1}}$$

Multichannel Detection with a Synchrotron Light Source G.L. Carr, O. Chubar and P. Dumas

20

## Infrared Synchrotron Radiation from Bending Magnet

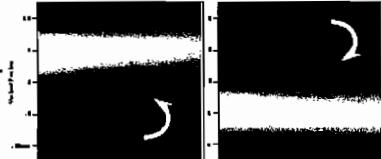
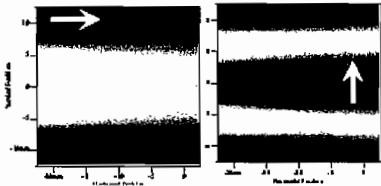
### Intensity Distribution in transverse plane close to the source



Natural Opening Angle:  
 $\psi \sim (\lambda/\rho)^{1/3}$   
 $(\lambda \gg \lambda_c)$

$E = 3.0 \text{ GeV}$ ;  $B = 1.30 \text{ T}$   
 $p = 7.89 \text{ m}$   
 $I = 200 \text{ mA}$   
 $\lambda = 10 \mu\text{m}$ ,  $r = 1.23 \text{ m}$

### Intensity Distributions at Various Polarizations



Circular right H-pol.  
Circular left H-pol.  
total Circular left V-pol.

25

## Practical Formulas for calculating infrared flux

### (Non-coherent) Edge Radiation from Extremities of Bending Magnet

$$\left( \frac{dW}{d(1/\lambda)} \right)_{ER} \left[ \frac{W}{cm^{-1}} \right] \approx 5.76 \cdot 10^{-7} I[A] H \left[ \frac{\pi \cdot \theta_r^2 [mrad]}{\lambda [\mu\text{m}]} \frac{zL [m]}{z+L} \right]$$

where  $H(x) \equiv \ln(x) - \text{ci}(x) + C$ ,

$\text{ci}(x) \equiv - \int_0^\infty \cos(t) t^{-1} dt$  is the cosine integral function

$C \approx 0.577216$  is the Euler constant

$L$  is the distance between bending magnet edges

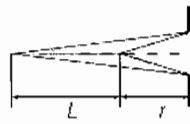
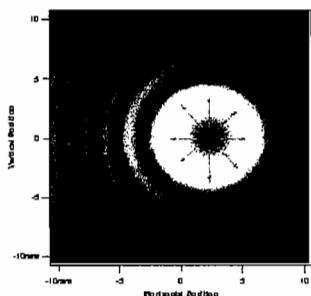
$z$  is distance from downstream bending magnet edge to observation plane

Taking the following realistic parameters:  $I = 0.5 \text{ A}$ ,  $L = 10 \text{ m}$ ,  $z = 5 \text{ m}$ ,  $\theta_r = 10 \text{ mrad}$   
 $\lambda = 10 \mu\text{m}$

$$\left( \frac{dW}{d(1/\lambda)} \right)_{ER} \approx 1.5 \cdot 10^{-6} \frac{W}{cm^{-1}}$$

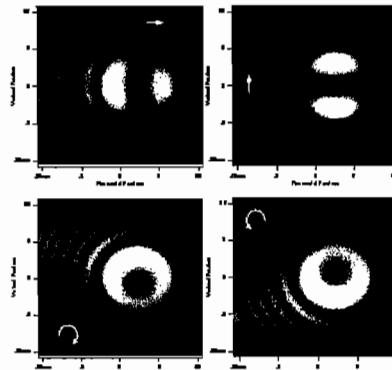
## Infrared Synchrotron Radiation from Edge of bending magnet

"Pure ER" is polarized "Radially"



$E = 3.0 \text{ GeV}$     $L = 5 \text{ m}$   
 $B_{\max} = 1.30 \text{ T}$     $r = 1.23 \text{ m}$   
 $I = 200 \text{ mA}$     $\lambda = 10 \mu\text{m}$

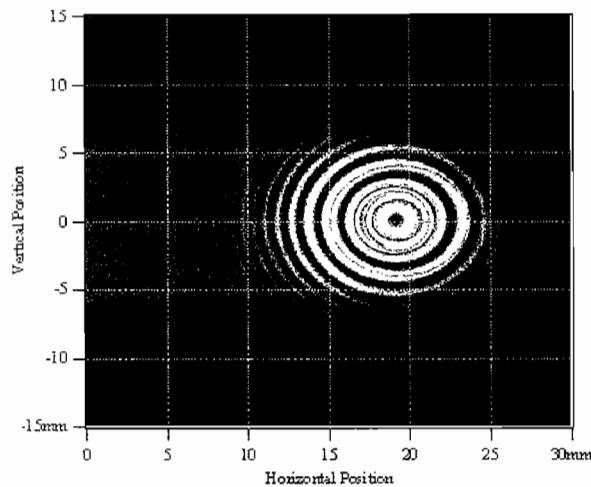
### Intensity Distributions at Various Polarizations



23

## Are we confident with the simulations?

Calculated intensity profile  
at 6.2 meters from source  
 $\lambda = 0.52 \text{ microns}$



Measured at the ESRF  
beamline

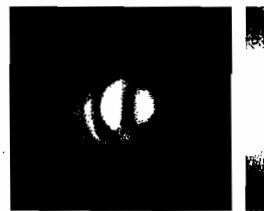
Recorded with a CCD camera  
at 6.2 meters from source  
 $\lambda = 0.52 \text{ microns}$



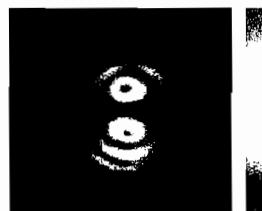
24

## Edge radiation observed at IR beamline ESRF

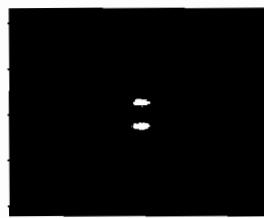
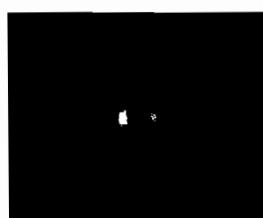
Measurements done with a CCD camera, 10m from source,  
filter=700nm



H-polarized



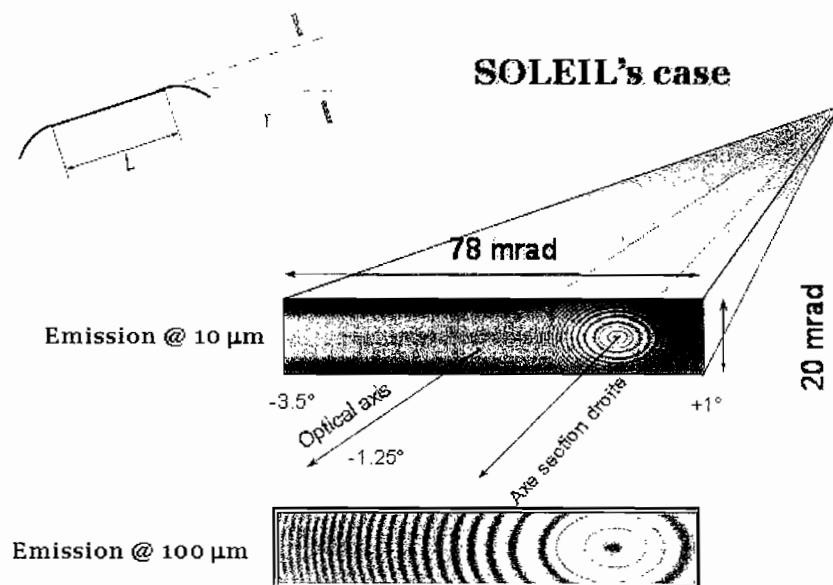
V-polarized



© SOLEIL - Synchrotron Radiation at Central Facilities - August 31st, 2006 - P. Dubois

25

## Collecting the two sources



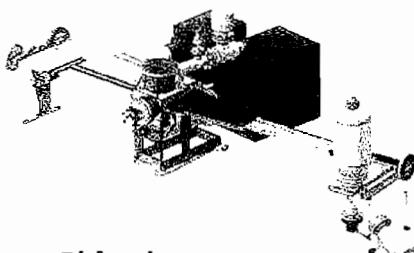
© SOLEIL - Synchrotron Radiation at Central Facilities - August 31st, 2006 - P. Dubois

26

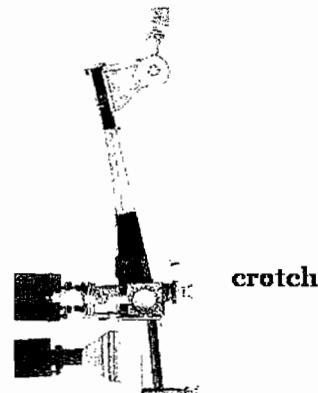
## Extraction optics

Allows to collect 20 mrad vertical and 78 mrad horizontal

12



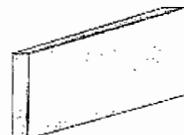
Side view



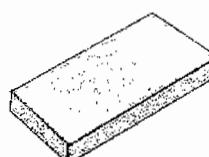
Top view

27

## Extracting the beam



Horizontal deflection



Vertical (upward)  
deflection

13

High power density on the mirror!

28

## Extraction optics

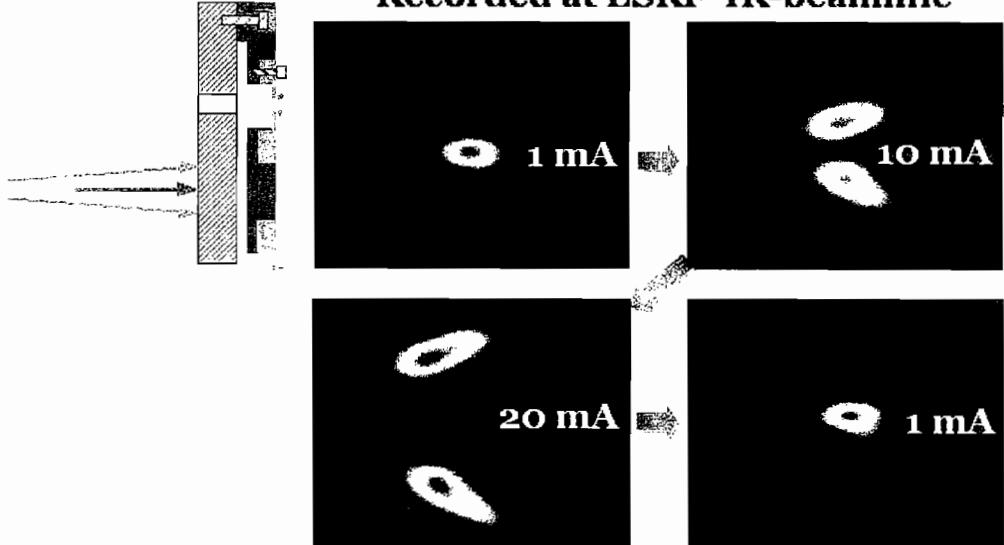


http://esrf.eu/irweb/IRbeamline/IRbeamline.html - August 31st, 2006 - P. Dumas

29

## Dealing with high incident power

Recorded at ESRF IR-beamline

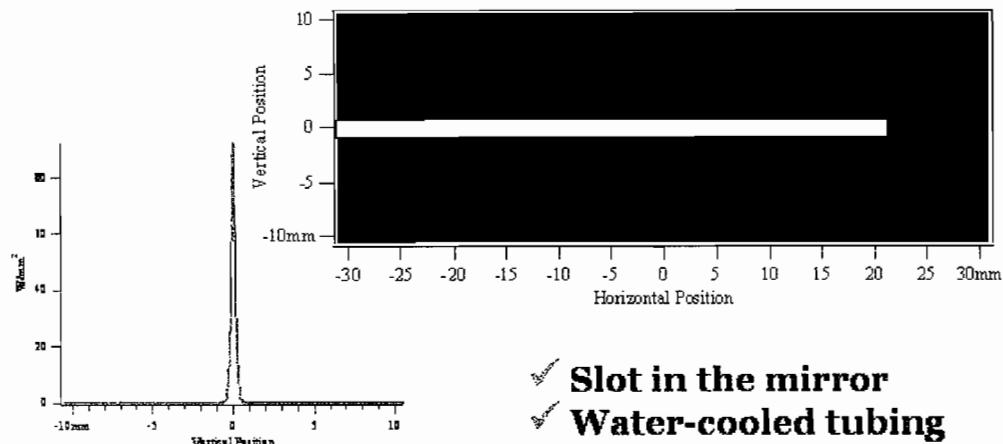


http://esrf.eu/irweb/IRbeamline/IRbeamline.html - August 31st, 2006 - P. Dumas

30

## Calculating power on the first mirror

SRW- O. Chubar



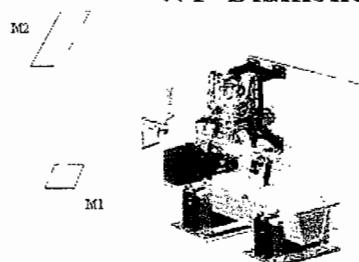
31

## Beamline schematic

Tunnel wall



M<sub>1</sub>=extracting mirror  
M<sub>2</sub>= Ellipsoid  
W<sub>1</sub>=Diamond Window



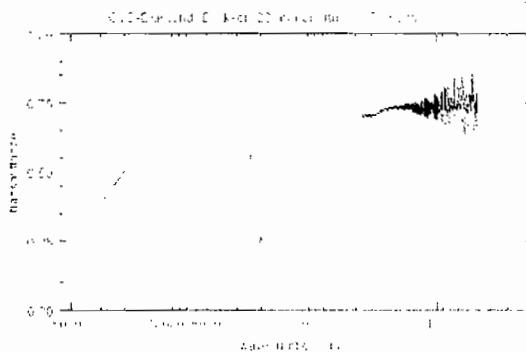
Experimental hall



To spectrometer

32

**CVD diamond window@ ESRF**

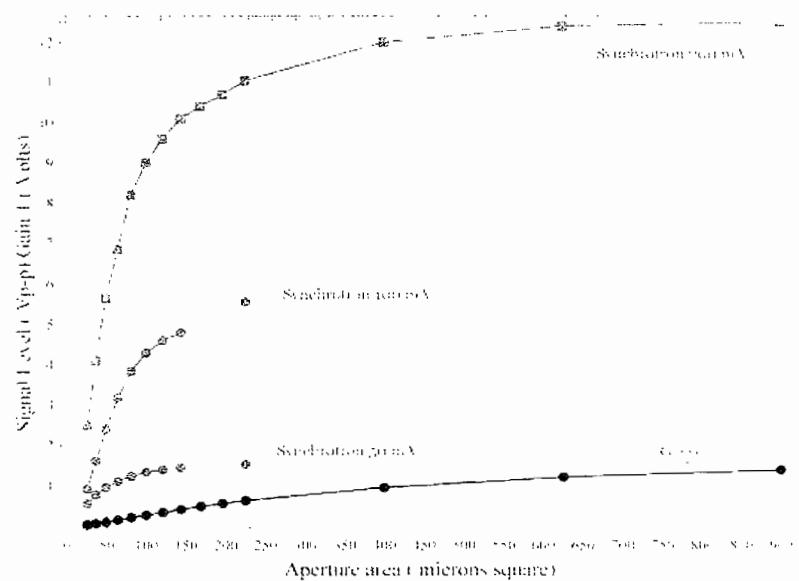


**First mirror - slotted**

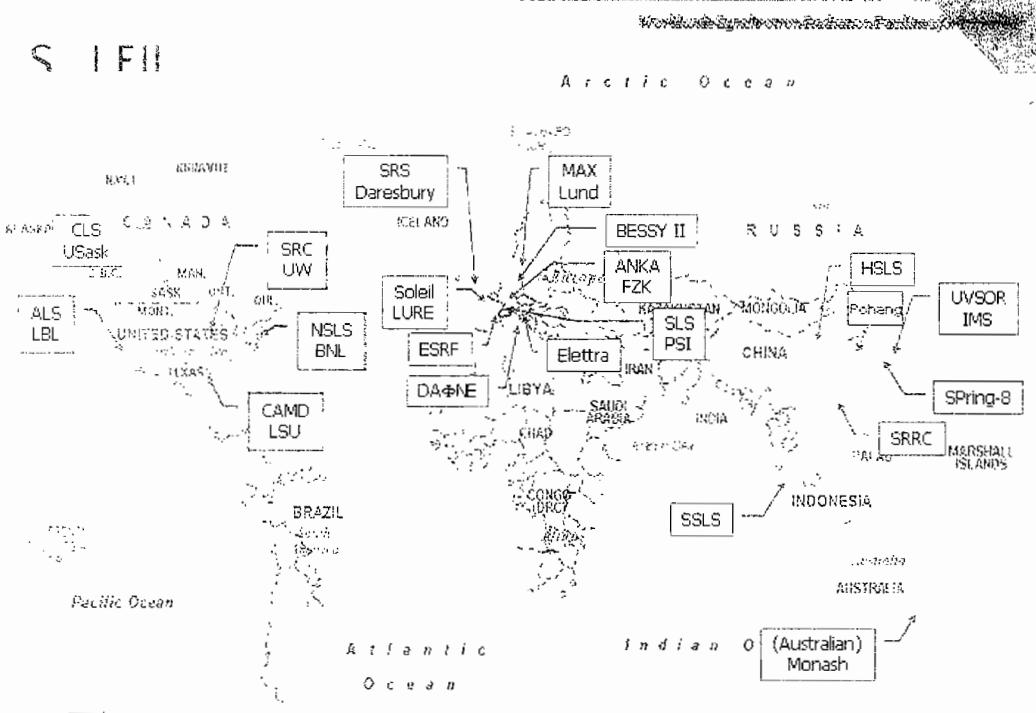


33

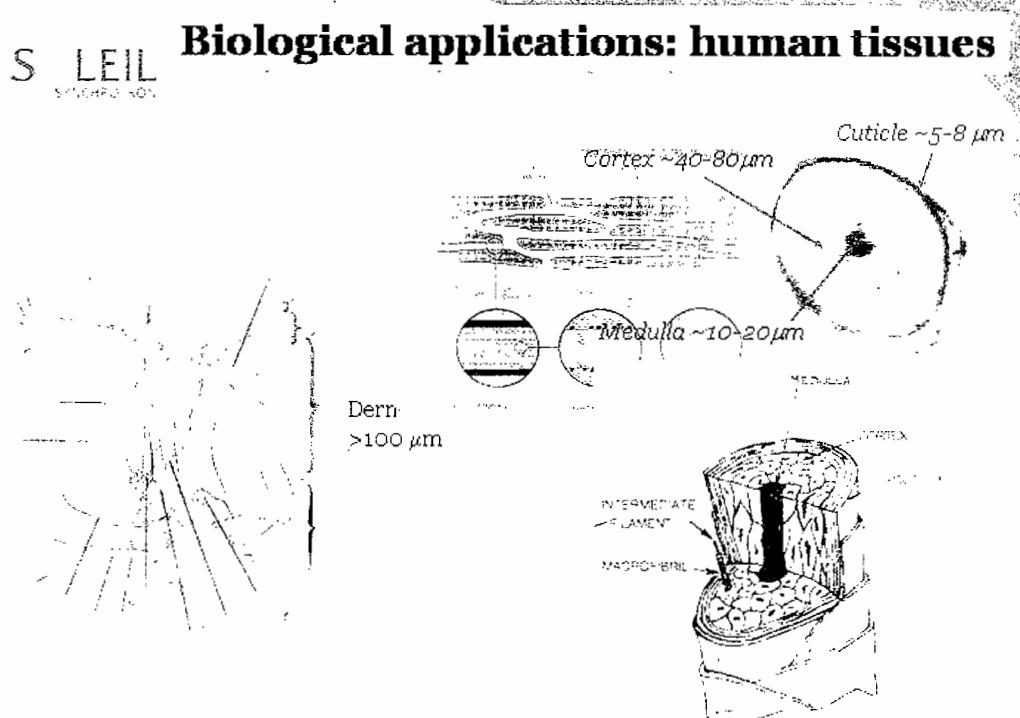
**Performances achieved at ESRF**



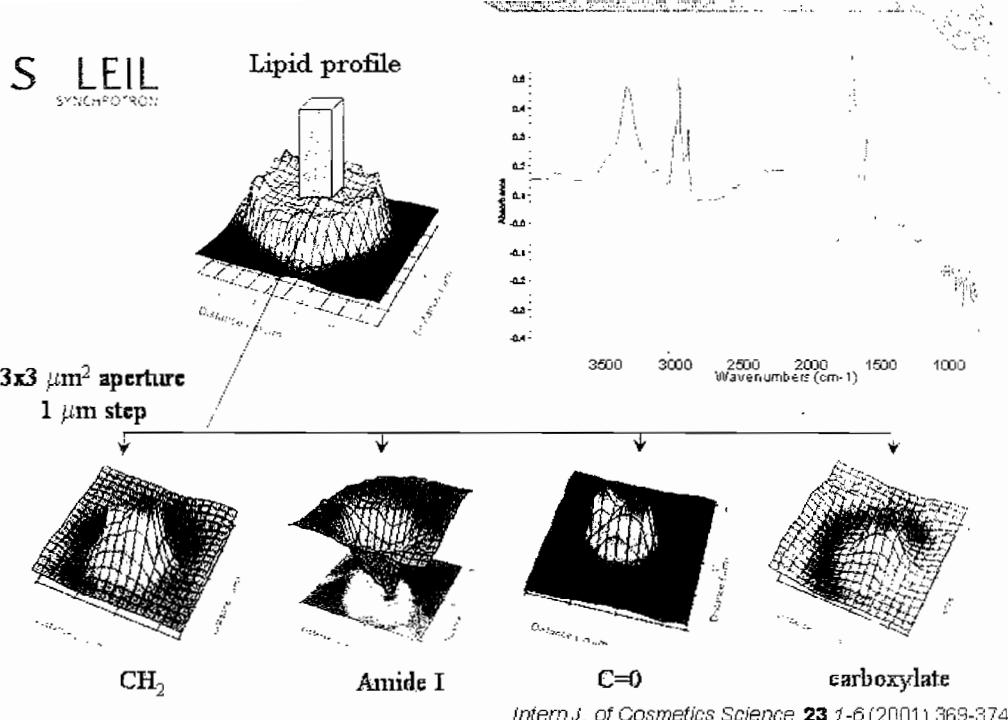
34



35



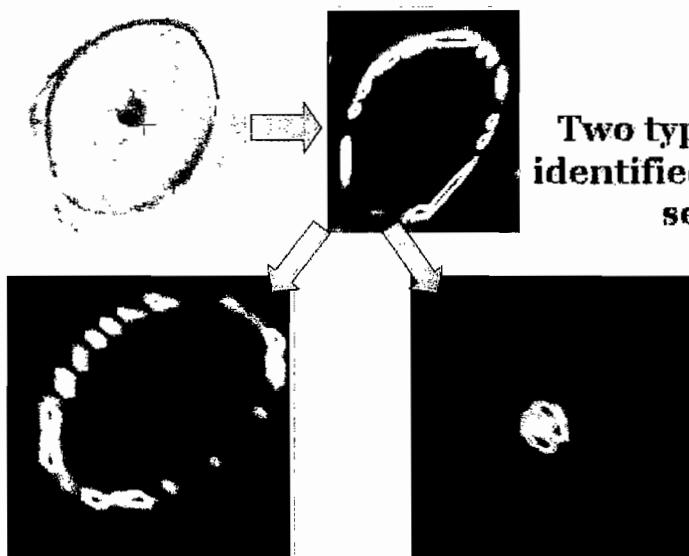
36



37

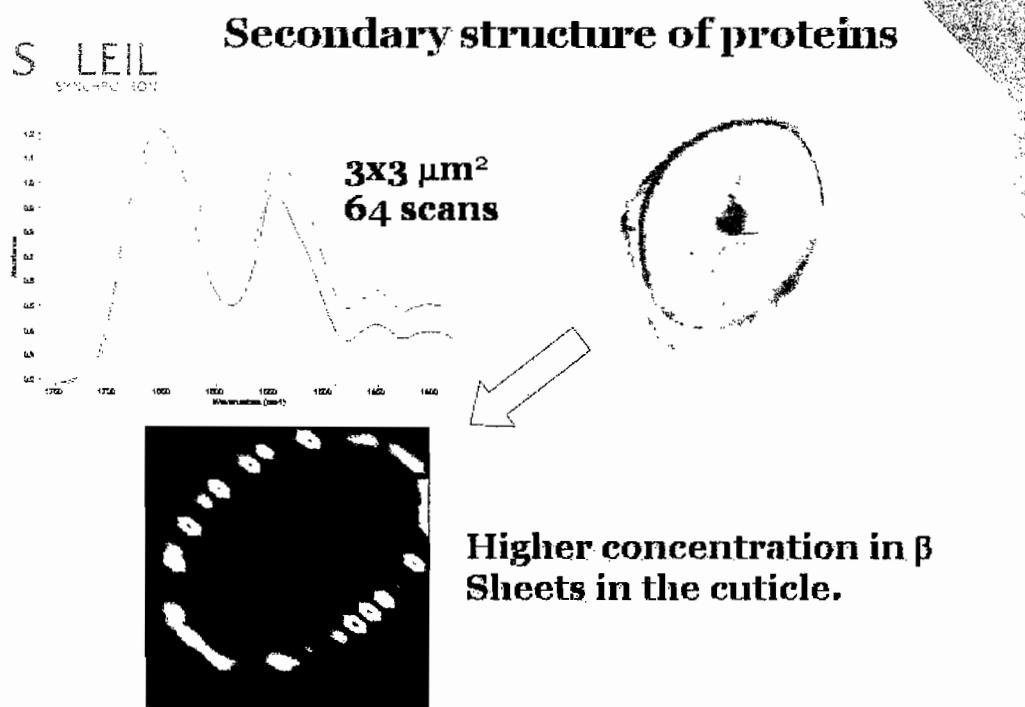
## Beside chemical imaging: multivariate...

S LEIL SYNCHROTRON

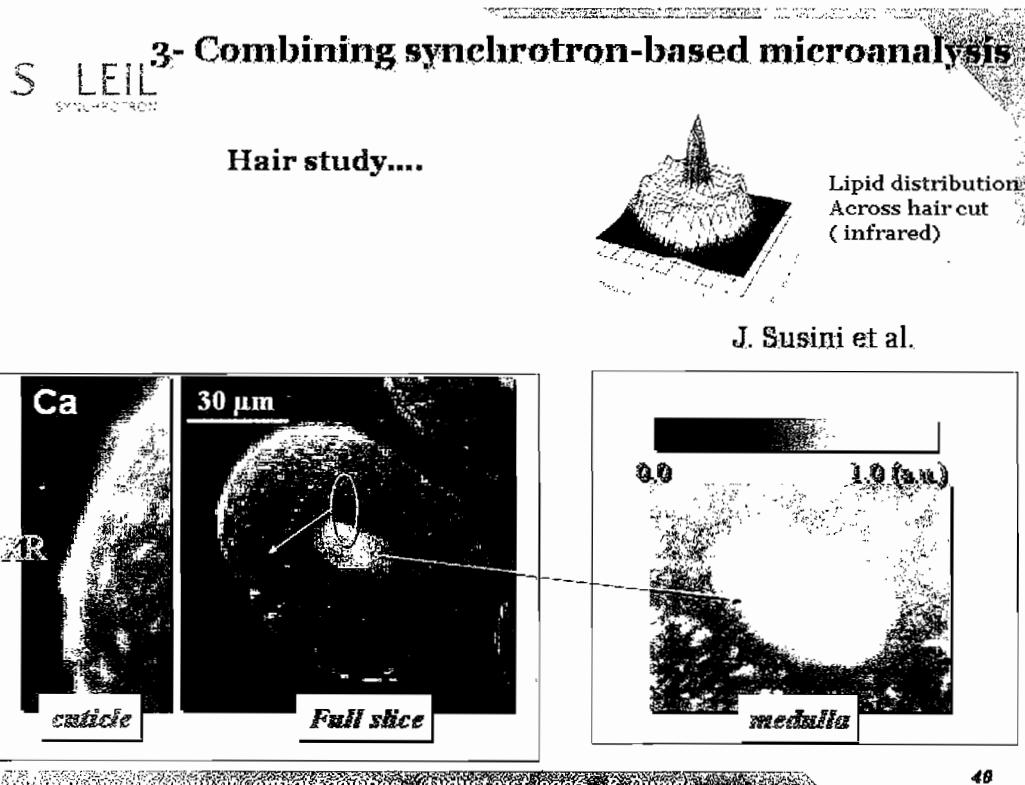


Two types of lipids identified by HCA ( Hierarchical Cluster Analysis)

38

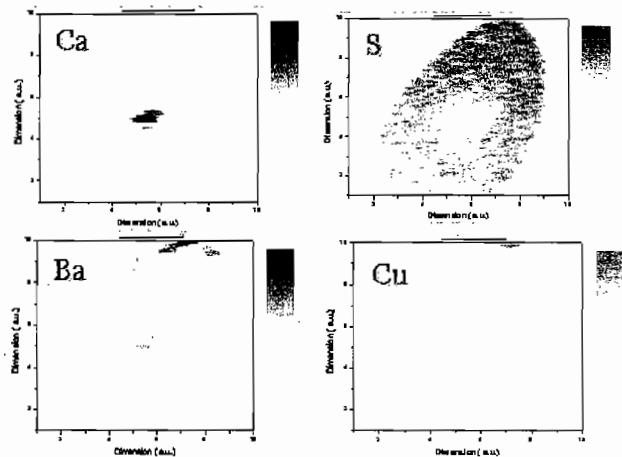


39

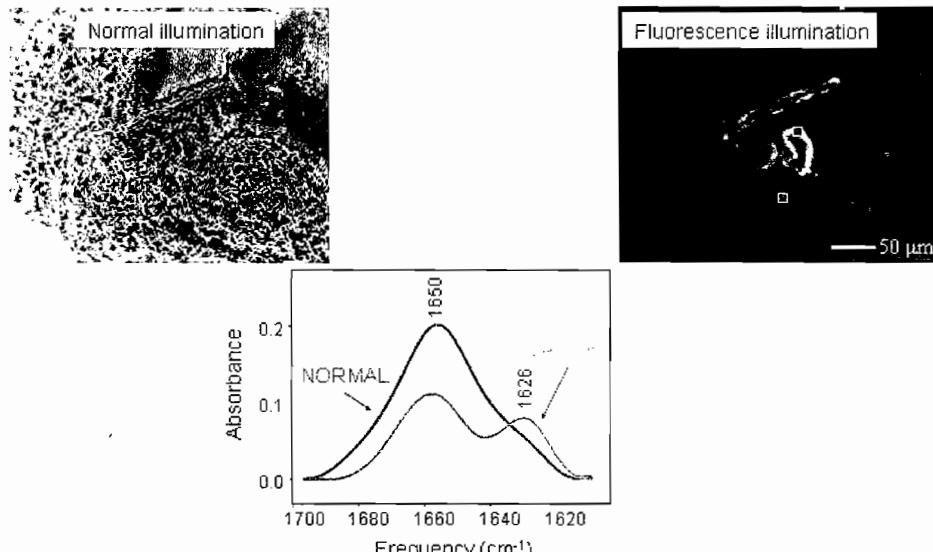


40

X-ray fluorescence microscopy at ID 22 (ESRF)  
S. Bohic, A. Somogyi, A. Simionivici, J. Susini, P. Dumas



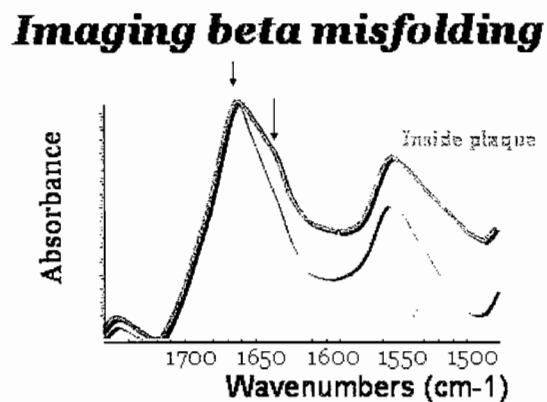
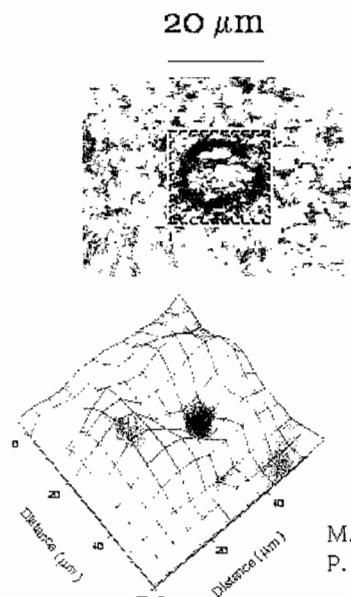
45



Lisa M. Miller, Paul Dumas, Nadège Jamin, Jean-Luc Teillaud, Judit Miklossy, and László Forró  
*Rev. Sci. Instrum.* (2003)

42

## Alzheimer's disease



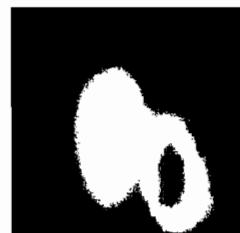
M. Lankosz, M. Boruchowska, J. Chwiaz Cracow(Poland)  
P. Dumas (SOLEIL/LURE)

43

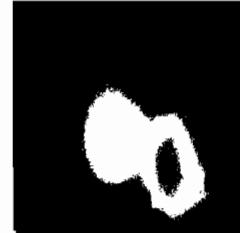
## Cell differentiation

Induced by Phorbol Myristate Acetate (PMA)  
(morphology and activity changes)

HL-60 few minutes after « activation »



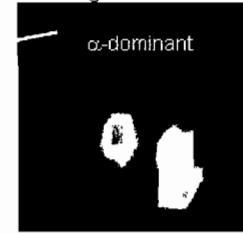
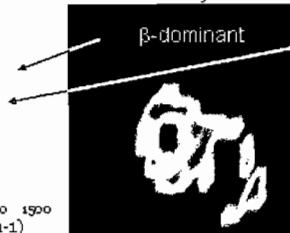
Lipids profile



Protein profile  
(Amide I)

20  $\mu\text{m}$

Fuzzy-c-means clustering



1750 1700 1650 1600 1550 1500  
Wavenumbers ( $\text{cm}^{-1}$ )

J.L. Teillaud, N. Jamin, L. Miller and P.Dumas

44

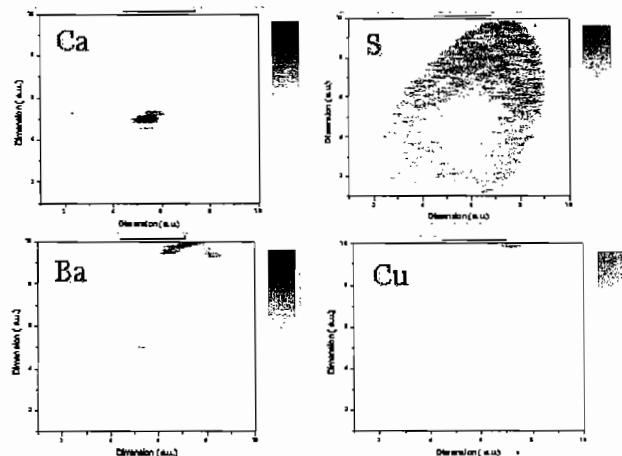
**mjw23**

\server\name

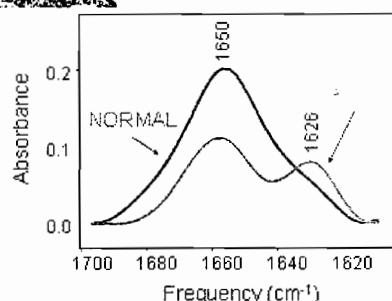
**PSCRIPT Page Separator**



X-ray fluorescence microscopy at ID 22 (ESRF)  
S. Bohic, A. Somogyi, A. Simionivici, J. Susini, P. Dumas



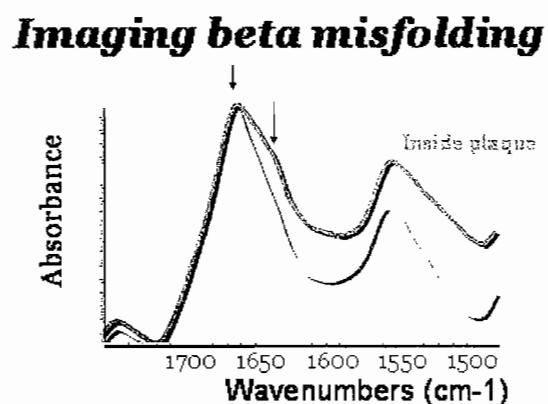
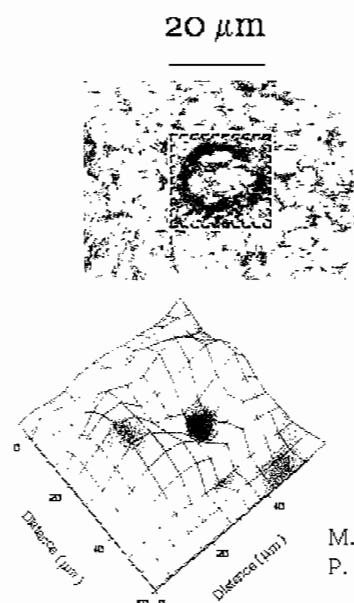
49



Lisa M. Miller, Paul Dumas, Nadege Jamur, Jean-Luc Teillaud, Judit Miklossy, and Laszlo Forro  
Rev. Sci. Instrum (2003)

42

## Alzheimer's disease



M. Lankosz, M. Boruchowska, J. Chwiaz Cracow(Poland)  
P. Dumas ( SOLEIL/LURE)

43

## Cell differentiation

Induced by Phorbol Myristate Acetate (PMA)  
(morphology and activity changes)

HL-60 few minutes after « activation »



Lipids profile

Protein profile  
(Amide I)

Fuzzy-c-means clustering

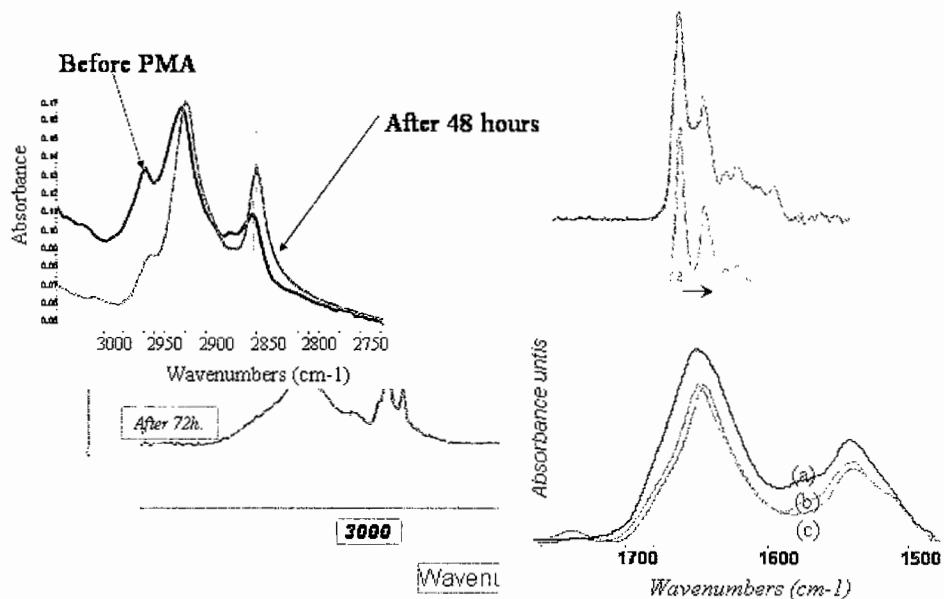
20  $\mu\text{m}$

1750 1700 1650 1600 1550 1500  
Wavenumbers ( $\text{cm}^{-1}$ )

J.L. Teillaud, N. Jamin, L. Miller and P.Dumas

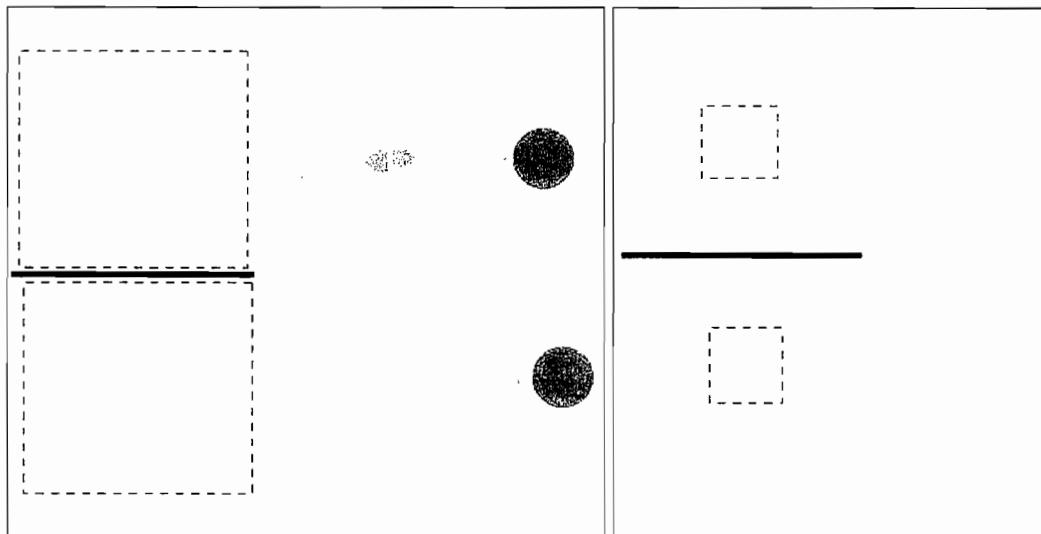
44

Spectra recorded with a  $3 \times 3 \mu\text{m}^2$  aperture  
in the nucleus



<http://www.esrf.eu/euroscience/accept/fees.html> - August 21st, 2006 - P. Dumas

45

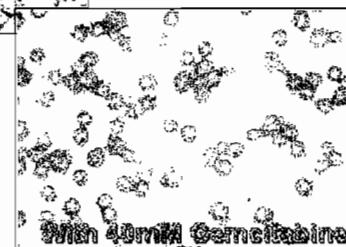
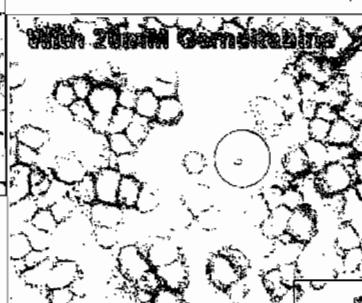
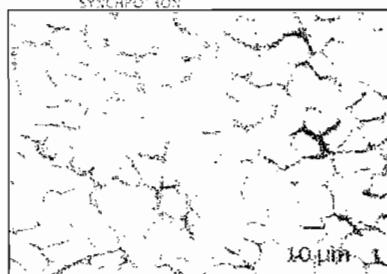


<http://www.esrf.eu/euroscience/accept/fees.html> - August 21st, 2006 - P. Dumas

46

S LEIL  
SYNCHROTRON

## SKMES lung cancer cells line



Gemcitabine= a chemotherapeutic drug

**Are these cells  
sensitive to the drug?**

From Dr. Josep Sulé-Suso

47

S LEIL  
SYNCHROTRON

## SKMES lung cancer cells line

Absorbance [a.u.]



From Dr. Josep Sulé-Suso

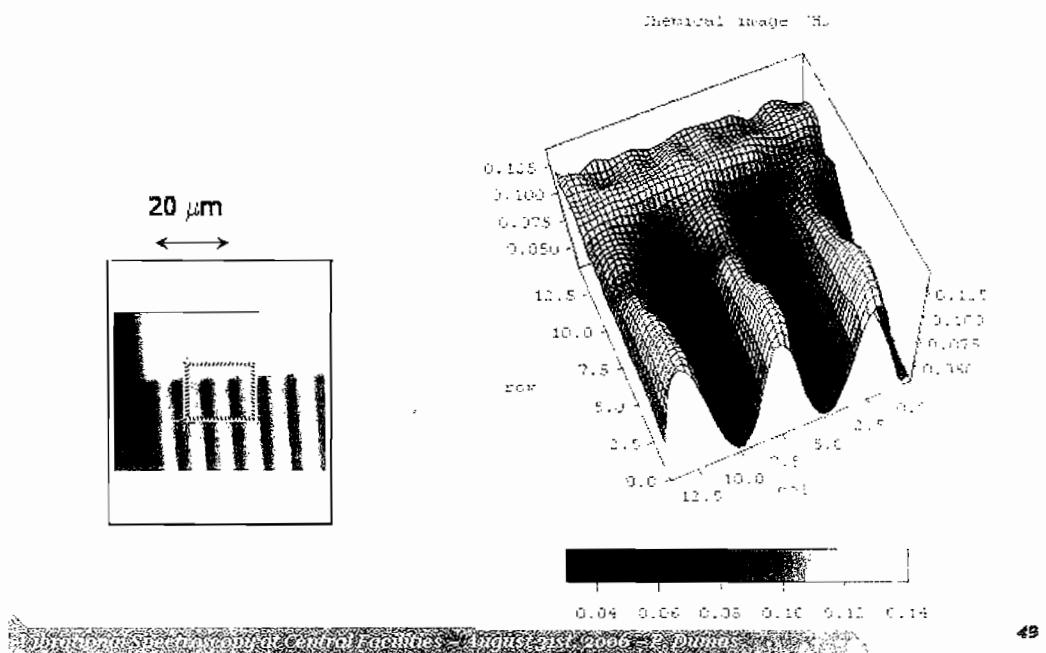
**the biochemical changes in these cells measured with synchrotron IR micro-spectroscopy could help us to better clarify the state of a single cell, i. e.,**

- alive without damage,**
- alive with damage but able to recover,**
- alive but entering a death pathway and unable to recover, or**
- dead.**

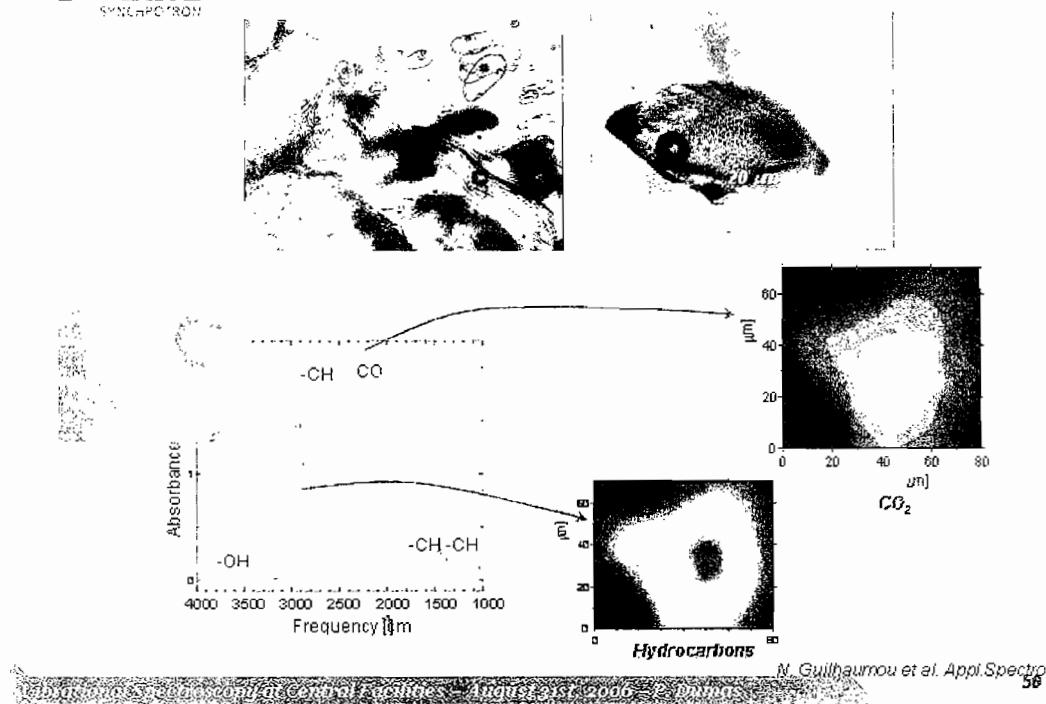
bioRxiv preprint doi: https://doi.org/10.1101/2016.08.31.014966; this version posted August 31, 2016. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-NC-ND 4.0 International license.

48

## Gold grid deposited on silicon wafer imaging the protective layer on top of the gold grid



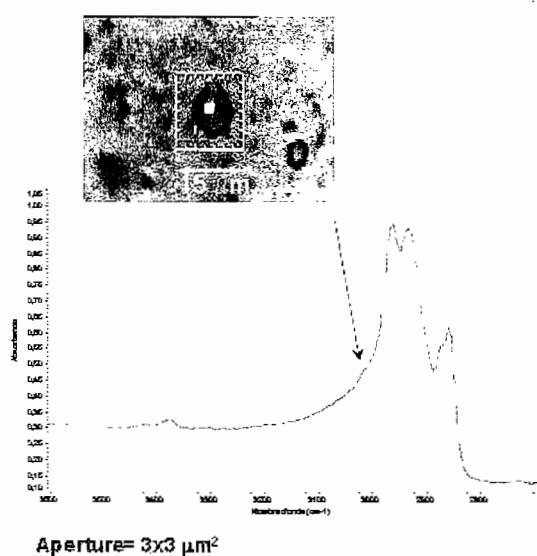
## Inclusions in rocks



S LEIL  
SPECTROSCOPY

## Small inclusions in rocks

### Inclusion in calcite



N. Guilhaumou et coll.

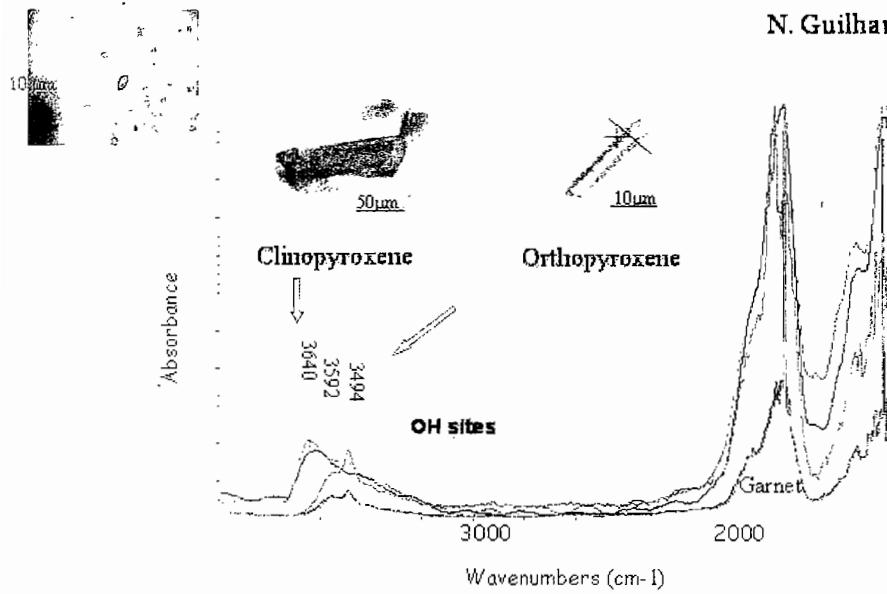
Aperture= 3x3 μm<sup>2</sup>

59

S LEIL  
SPECTROSCOPY

## Water in deep seated minerals (200-400 kms)

N. Guilhaumou et al.



52

## Summary

- Synchrotron IR microscopy has became an important analytical tool in synchrotron facilities
- Such facilities are of high ratio scientific/cost
- Association with fluorescence is desirable
- Good S/N and higher spatial resolution.... Statistical treatment (unsupervised or supervised)
- Complementarities with other synchrotron based techniques are very potential especially if combined studies are performed on the same sample.

53

## Acknowledgments

Special thanks to my long term collaborators:



G. Larry Carr ( NSLS)  
Gwyn P. Williams ( JLab)  
Lisa M. Miller ( NSLS)  
O. Chubar ( SOLEIL)  
F. Polack ( SOLEIL)



And

J. Susini (ESRF)  
P. Elleaume (ESRF)  
K. Scheidt (ESRF)



54



# Synchrotron Reflection Absorption Infrared Spectroscopy

Peter Gardner  
The University of Manchester

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006



## Outline of Talk

**Reflection absorption Infrared Spectroscopy (RAIRS)**

**Why do we need a synchrotron**

**What can we do with it**

**(Surface dynamics CO on metal surfaces)**

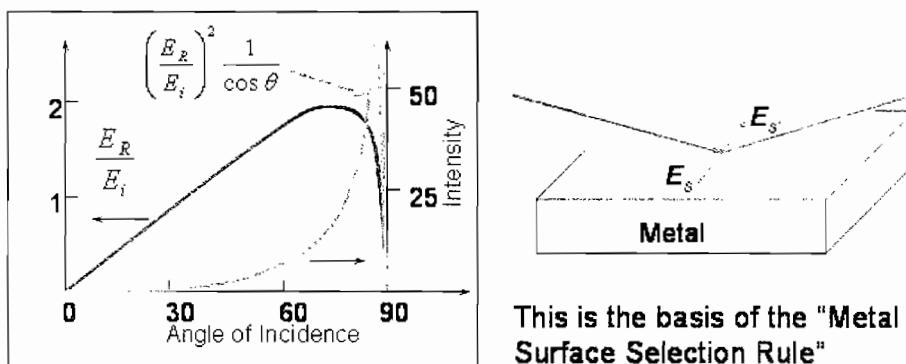
**CVD growth of SnO<sub>2</sub>**

**Model Z-N catalysts**

**Outlook**

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Reflection-Absorption Infrared Spectroscopy RAIRS



RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Reflection Absorption Infrared Spectroscopy RAIRS

An experimental technique that enables IR spectra to be obtained from molecules or thin films on highly reflecting metal surface

Highly sensitive – fractions of a monolayer

Metal Surface Selection Rule – simple spectra, orientation information

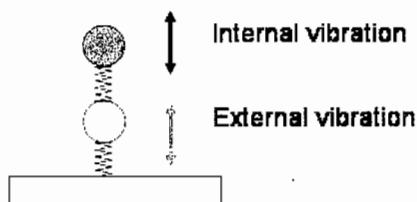
Particularly relevant to adsorbates on model catalytic systems

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

The method was used extensively in the late 1980s and early 1990s to study adsorbates on metal surfaces

In the mid 1990s there was an explosion of interest in using RAIRS for the study of SAMs on Au and Ag surfaces

However the standard RAIRS set-up using an LN<sub>2</sub> cooled MCT detector is limited to monitoring internal vibrations of the molecules



RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Why do we want to use a synchrotron?

Above 800 cm<sup>-1</sup> a conventional lab based RAIRS system easily out performs the synchrotron

Below 500 cm<sup>-1</sup> the synchrotron starts to have an advantage and below 300 cm<sup>-1</sup> this advantage is significant

Most metal-adsorbate stretches are in this low frequency region of the spectrum

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Early days of far IR-RAIRS

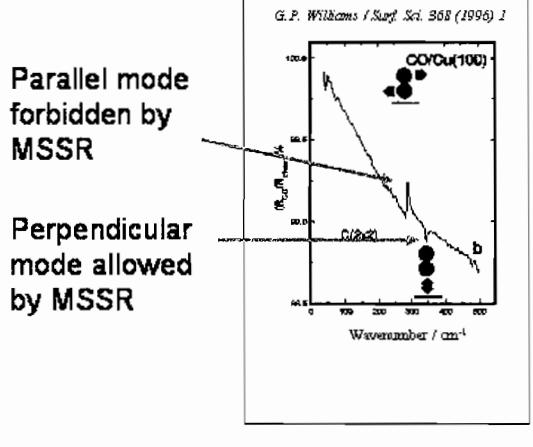
Preliminary data by Bradshaw and Schweizer taken at BESSY in 1986 did not look promising

Gwyn Williams at Brookhaven pioneered the Far IR RAIRS method in 1990 with the completion of U4IR. *Nucl. Instrum. Methods A291 (1990)*

Collaborations with P. Dumas, F. Hoffman Y. Chabal B. Persson A. Volokitin, C. Hirschmugl and others resulted in a series of seminal papers mainly on low frequency vibrations of CO on low index faces of metal surfaces.

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## First observation of dipole forbidden modes



At the same time M. Chester, P. Hollins M. Surman started to build station 13.3 on the SRS.

Initial source instability slowed progress

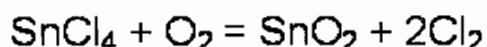
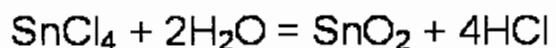
In the late 1990s we started to look at adsorbates on oxide surfaces

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

# Infrared Studies of CVD Precursor Molecules on Thin-film Oxide Surfaces

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## CVD Growth of SnO<sub>2</sub> on Float Glass



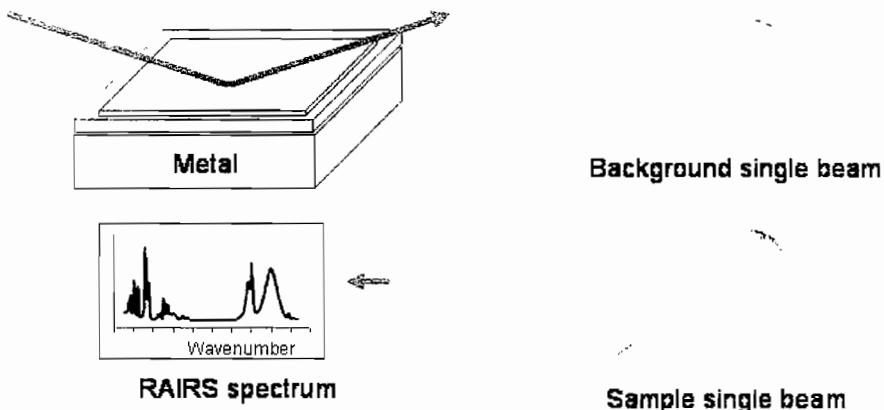
We want to study the formation of SnO<sub>2</sub> on SiO<sub>2</sub>

RAIRS is straight-forward on a metal surfaces but, it  
is difficult to do on oxide surfaces due to poor

reflectivity

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

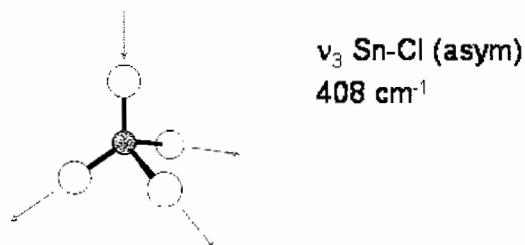
## The Buried Metal Layer Method



If the thickness of the oxide is  $\ll \lambda$  then the reflection will be dominated by the metal but the surface chemistry being probed will be that of the oxide

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Why work at Daresbury?



Sn-Cl stretching modes in the region  $300 - 450 \text{ cm}^{-1}$

Conventional RAIRS shows poor sensitivity below  $800 \text{ cm}^{-1}$

Low intensity of conventional source necessitates the use of synchrotron radiation

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## RAIRS spectrum of tin tetrachloride on thin-film (100 nm) silica / W sample at 291K

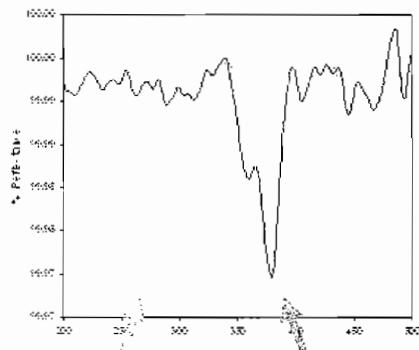
**At 291 K spectra are consistent with molecular adsorption**

**On Na doped  $\text{SiO}_2$  spectra are consistent with the formation of small  $\text{NaCl}$  clusters**

M. J. Pilling, P. Gardner, M. E. Pemble and M. Surman, Surface Science Letters, 418, (1998), 1-7

M. J. Pilling, P. Gardner, R. Kausar, M. E. Pemble and M. Surman, Surface Science, 433-435, (1999), 22-26,

M. J. Pilling, Nurhayati, P. Gardner, A. Awalludin, M. E. Pemble, and M. Surman, Accelerator-based Sources of Infrared and Spectroscopic Applications, Eds. G. Lawrence Carr, Paul Dumas, Proceedings of SPIE Vol 3775, page 192-200 (1999)

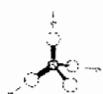
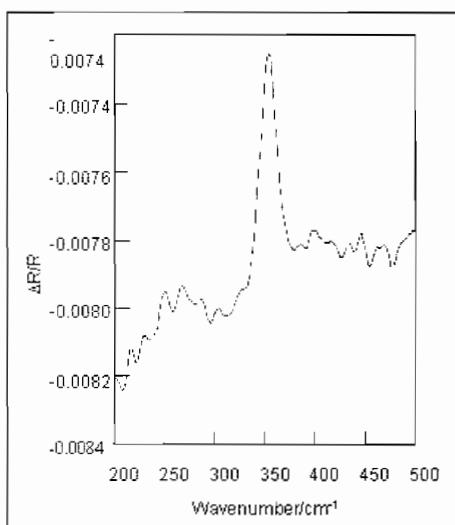


RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## RAIRS spectrum of 25L tin tetrachloride on $\text{SnO}_2$ at 291K

**On a thin film  $\text{SnO}_2$  surface adsorption results in an inverse adsorption band**

**Is this due to the  $\text{SnCl}_4$ ?**

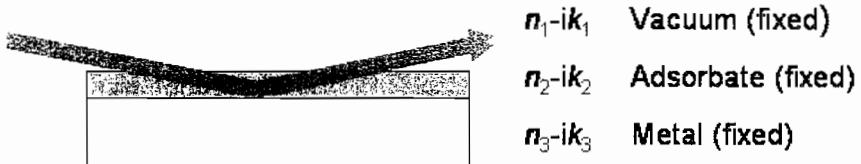


**Need to revisit the theory of the RAIRS experiment**

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Greenler Calculations

The Greenler equations are extensions of the well known Fresnel relationships, which define the reflectivity at the interface between two isotropic materials.

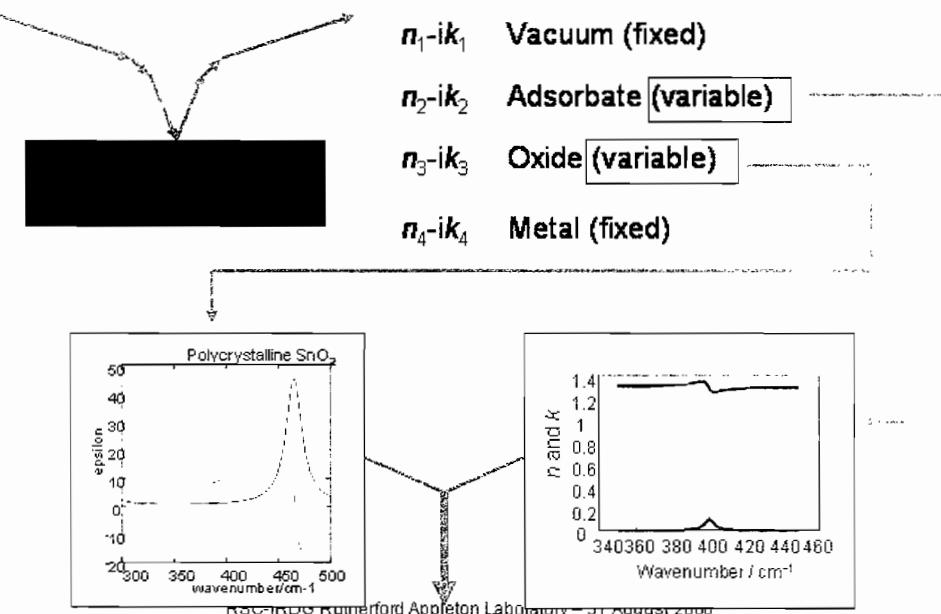


The reflectivity  $R'$  for a particular thickness of adsorbate layer is compared with the reflectivity  $R$  in the absence of the adsorbate

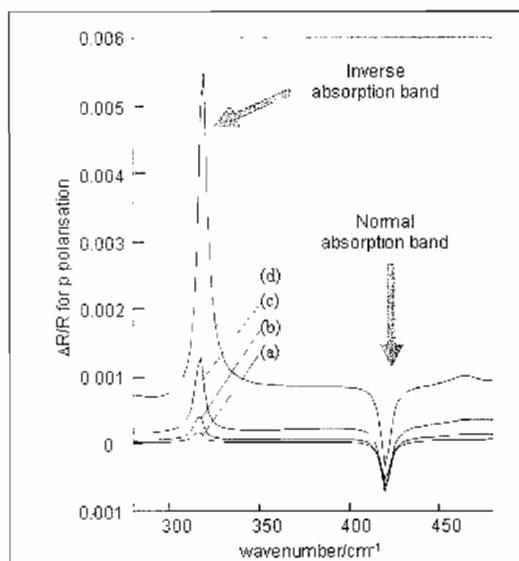
$$\Delta R / R = (R' - R) / R$$

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Greenler-Buried metal layer



### Typical wavenumber-dependent 4-layer Greenler calculation for a adsorbate with $k$ maximum at $420\text{ cm}^{-1}$



**Calculations can qualitatively reproduce the inverse absorption band**

**They suggest that the inverse absorption band is induced by the adsorbate but is not related to the vibrational properties of the adsorbate**

**This is confirmed by experiments with  $\text{SnBr}_4$**

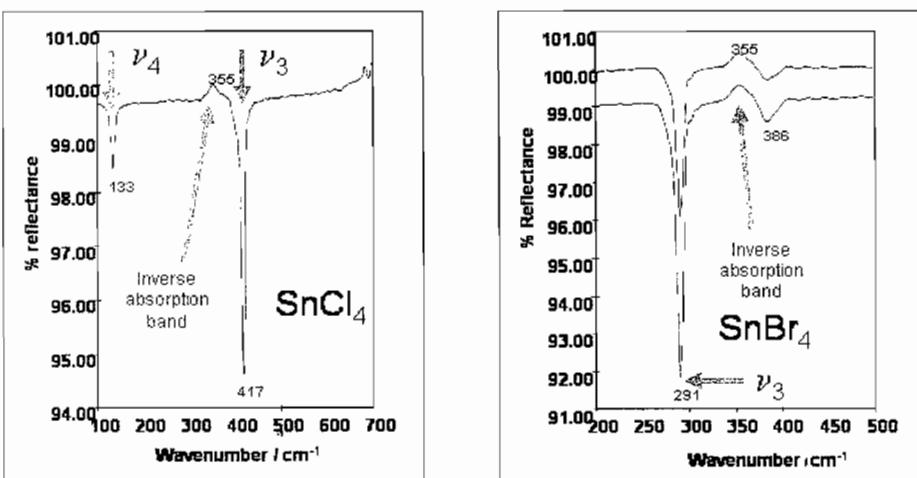
A. Awaluddin, M. J. Pilling, P. L. Wincott, S. Le Vent, M. Surman, M. E. Pembble and P. Gardner, Surface Science 505 (2002) 63-69

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

### Confirmation using $\text{SnBr}_4$

	$\nu_1$	$\nu_2$	$\nu_3$	$\nu_4$
$\text{SnCl}_4$	369	95	408	126
$\text{SnBr}_4$	222	59	284	86

**The  $\text{SnBr}_4$  has no vibrational modes above  $300\text{ cm}^{-1}$**



M. J. Pilling, S. Le Vent, P. Gardner, A. Awaluddin, P. L. Wincott, M. E. Pembble and M. Surman, Anomalous inverse absorption features in the far infrared RAIRS spectra of  $\text{SnCl}_4$  on thin-film  $\text{SnO}_2$ , J. Chem Phys 117 (2002) 6780-6788



**The calculations show that the band is related to the optical properties of the bulk oxide film**

**This stimulated additional theory work related to Far IR RAIRS on non-metallic surface**

P. Gardner, S. LeVent, M. J. Pilling

*A theoretical investigation of the far-infrared RAIRS experiment applied to a buried metal layer substrate*  
Surface Science 559 (2004) 186 – 200

M J Pilling, P Gardner, S Le Vent

*Considerations of Optical Anisotropy in the Simulation of Reflection Absorption Infrared Spectra*  
Surface Science 582 (2005) 1

S. LeVent, M. J. Pilling, P.Gardner

*A theoretical study of inverse features in far-infrared RAIRS spectra from non-metallic substrates*  
Surface Science 587 (2005) 150

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

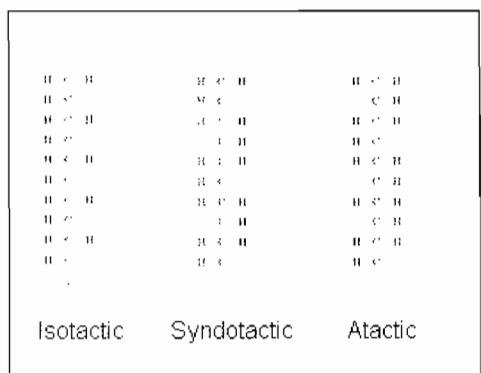


## **Far infrared RAIRS studies of MgCl<sub>x</sub>/TiCl<sub>y</sub> thin-films related to model Ziegler-Natta catalysts**

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

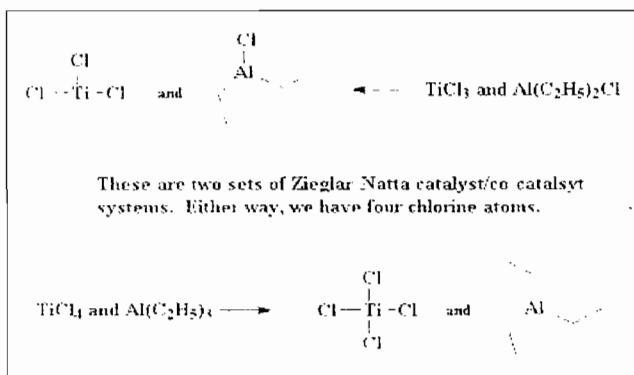
## Zeigler Natta Catalysts

Zieger Natta Catalysis is an important method of vinyl polymerisation enabling polymers of a specific tacticity to be made



RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

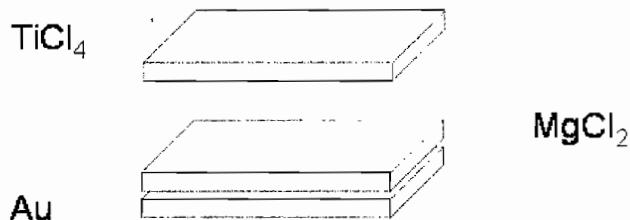
## The Z-N catalyst



Creation of the active site is generally achieved via the chemisorption of  $\text{TiCl}_4$  on a high area  $\text{MgCl}_2$  support, followed by alkylation and reduction by an aluminium alkyl. Utilisation of this type of catalyst results in both high yields and selectivity of the desired isotactic polymer.

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

Preliminary work by Somorjai et al have shown that model Z-N catalysts can be prepared under UHV conditions but the exact nature of the surfaces produced is still open to question



The ideal method of producing the model catalyst would be to deposit  $\text{TiCl}_4$  on top of the  $\text{MgCl}_2$  on a suitable substrate e.g. Au

$\text{TiCl}_4$  will not stick on  $\text{MgCl}_2$

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

In order to get  $\text{TiCl}_4$  to adsorb we need reduced Mg sites

This may be achieved in a number of ways

Adsorb on pure Mg

Electron beam irradiation of  $\text{MgCl}_2$

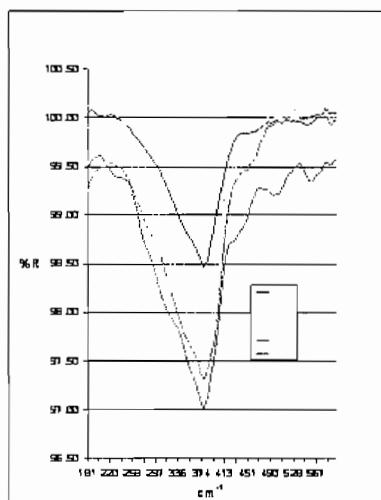
Pre adsorption of  $\text{TiCl}_4$

During adsorption of  $\text{TiCl}_4$

These different methods produce different types of films that may have different surfaces.

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

RAIRS spectra following exposure of a thin-film of Mg on Au, to varying amounts of  $\text{TiCl}_4$



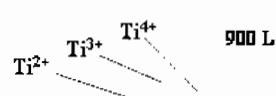
$\text{TiCl}_4$  does stick at 300 K to the Au/Mg surface but the resulting RAIRS spectrum is very broad  $\sim 200\text{cm}^{-1}$

The positions of these bands are consistent with transmission far infrared spectroscopy performed on  $\alpha\text{-MgCl}_2$  and  $\beta\text{-MgCl}_2$  [2] where absorption bands have been observed at  $407\text{cm}^{-1}$ ,  $372\text{cm}^{-1}$ ,  $329\text{cm}^{-1}$ ,  $276\text{cm}^{-1}$  and  $248\text{cm}^{-1}$ .

What about the Ti?

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## XPS of Au/Mg/TiCl<sub>4</sub>



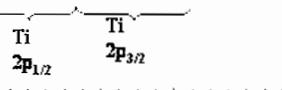
The XPS spectra clearly show three different oxidation state of Ti.

600 L

This is clearly very complex

100 L

We need to simplify the system

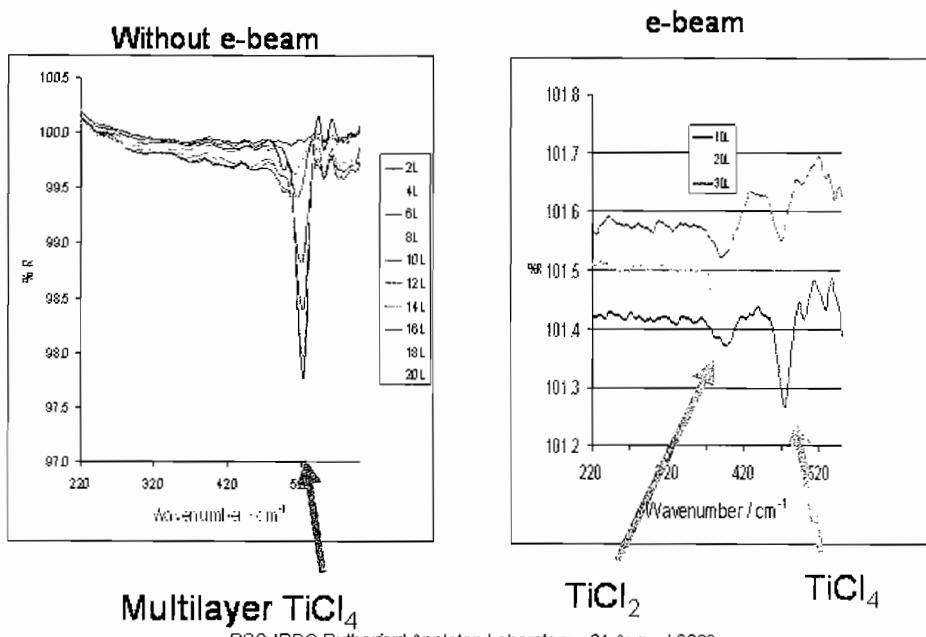


470      465      460      455

Binding energy / eV

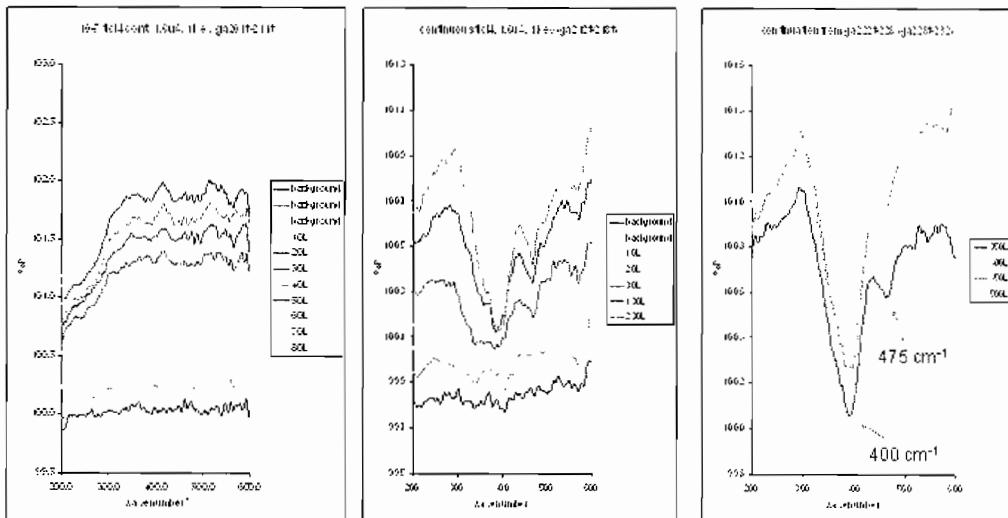
Rutherford Appleton Laboratory – 31 August 2006

## TiCl<sub>4</sub> on Au at 120 K



RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## TiCl<sub>4</sub> on Au at 300 K using e-beam

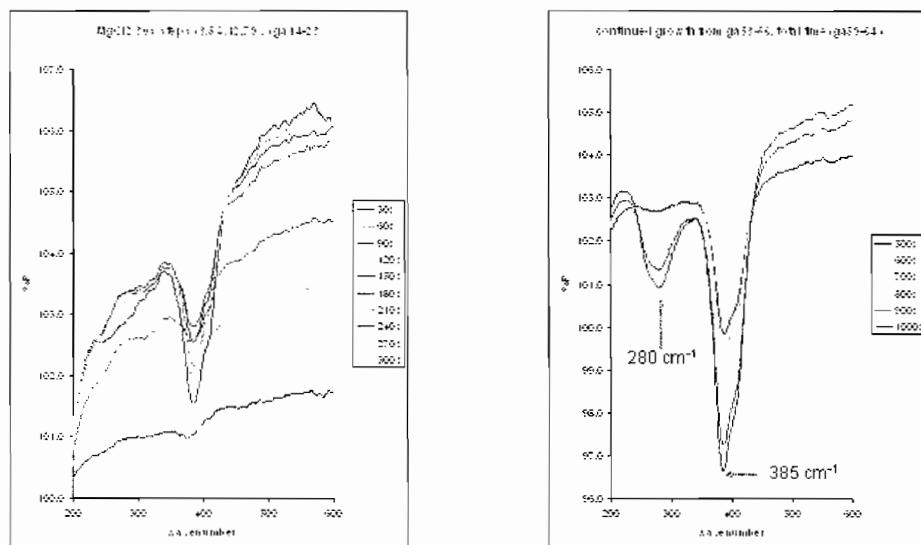


Little observed at low exposure

Two broad bands at high L consistent with TiCl<sub>2</sub> and TiCl<sub>4</sub>

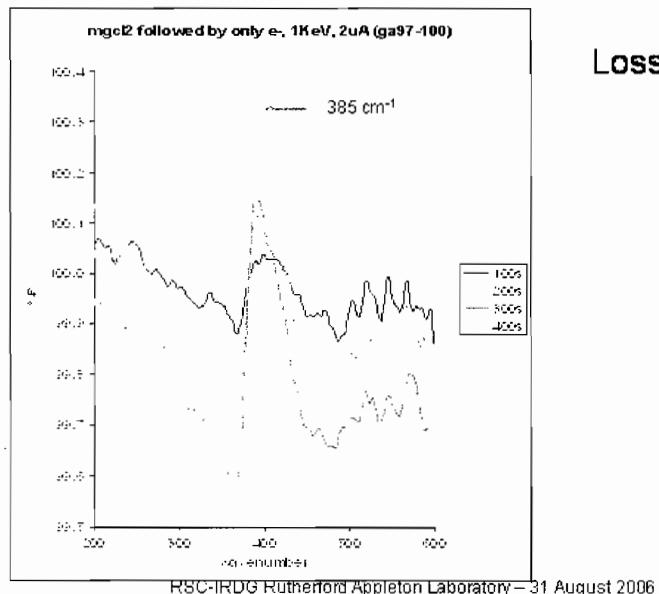
RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## MgCl<sub>2</sub> on Au at 300 K



RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

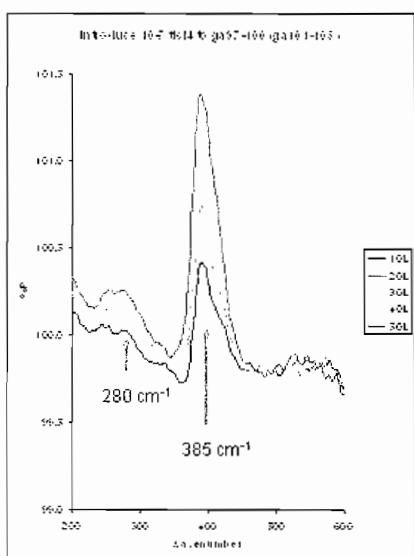
## Au/MgCl<sub>2</sub> with e-beam only



Loss of MgCl<sub>2</sub>

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Adsorption of $\text{TiCl}_4$ on Au/ $\text{MgCl}_2$ with e-beam



Under these conditions all we observe is loss of  $\text{MgCl}_2$ .

Where is the  $\text{TiCl}_x$  ?

M. J. Pilling, M. J. Cousins, A. Amiero Fonseca, K. C. Waugh, M. Surman, P. Gardner  
*Far Infrared RAIRS and XPS Studies of  $\text{TiCl}_4$  Adsorption and Reaction on Mg Films.*  
Surface Science 587 (2005) 78

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Conclusions

The Au/ $\text{MgCl}_2$ / $\text{TiCl}_x$  system is a very complex one

Production of a film that is a good model of the catalyst is not straight forward

It is not at all clear that the model of the surface produced by Somorjai is correct

Far IR RAIRS alone is not going to solve this problem on its own

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Outlook

**Far-IR RAIRS using synchrotrons has been carried out successfully only at Brookhaven and Daresbury.** Both these station have now closed

### Why?

**There was only a small user community**

**The technique is complicated and good results difficult to obtain.**

**Only a few systems worked.**

**Poor source stability on second generation machines**

**New third generation sources should have better source stability**

**There is need for one good far IR RAIRS station.**

**Call it THz-RAIRS or RATZS and it might get funded!!!!**

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## Acknowledgements

### MSc Students

Danny Bird

Sarah Bickerstaff

### PhD Students

Sunil Patel

Mike Pilling

Mrs Nurhayati

### Post Docs

Mike Pilling

S Le Vent

**Mark Surman Daresbury**

**Martyn Pemble Salford**

**Paul Wincott**

**Amir Awaluddin**

**Mike Chester, Nottingham**

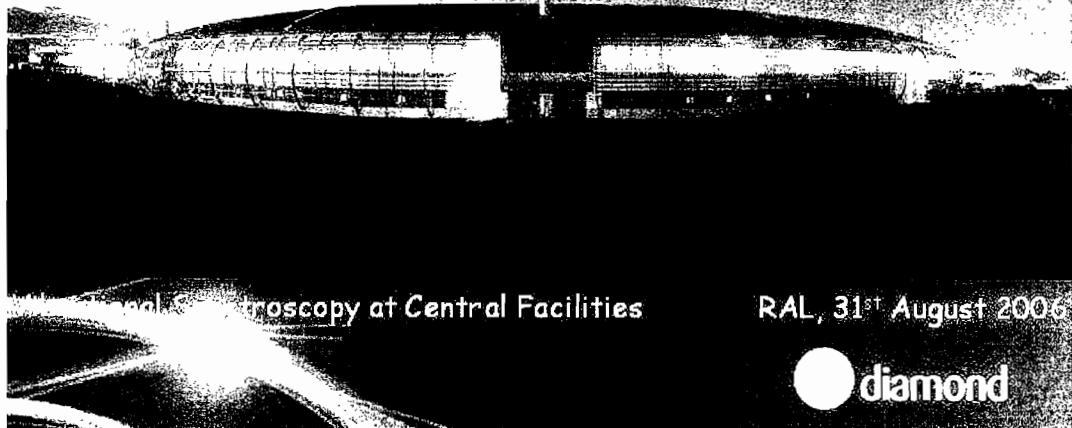
**Peter Hollins, Reading**

**EPSRC**

RSC-IRDG Rutherford Appleton Laboratory – 31 August 2006

## The Infrared Beamline at the New Diamond Facility

Gianfelice Cinque, B22 - IR microspectroscopy beamline

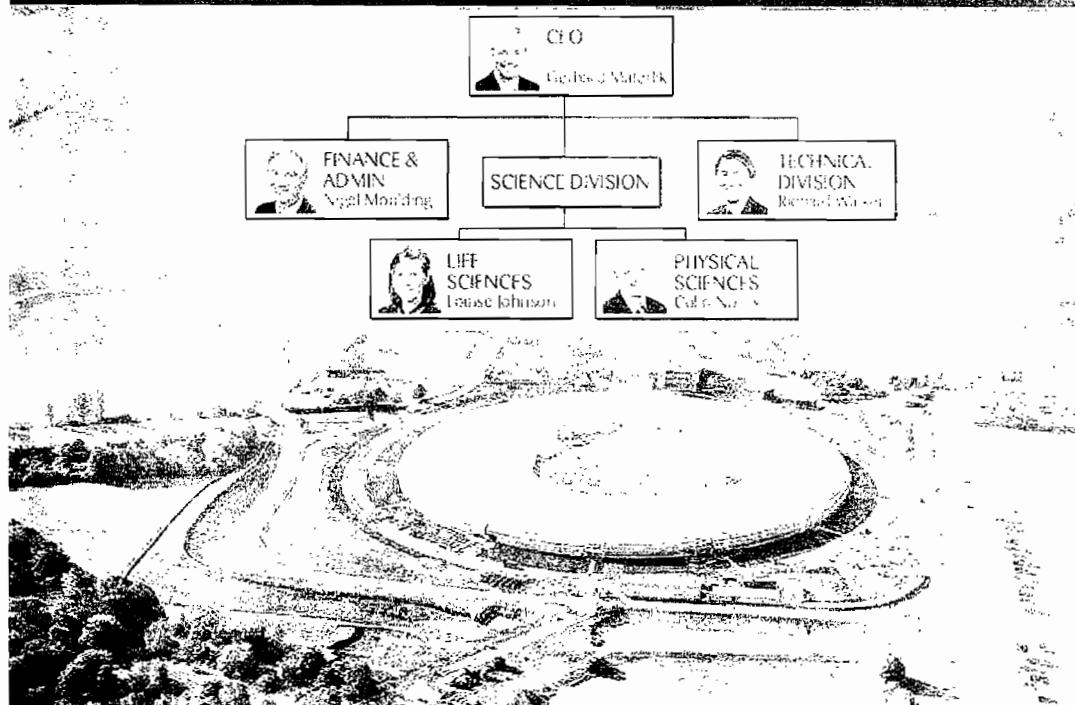


Infrared Microspectroscopy at Central Facilities

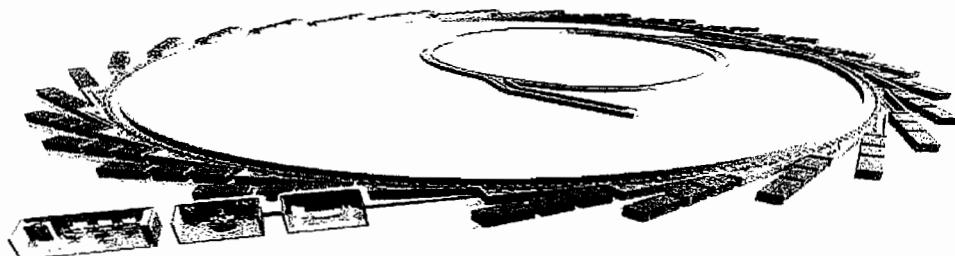
RAL, 31st August 2006



## Diamond Light Source at RAL



## Key Machine Parameters

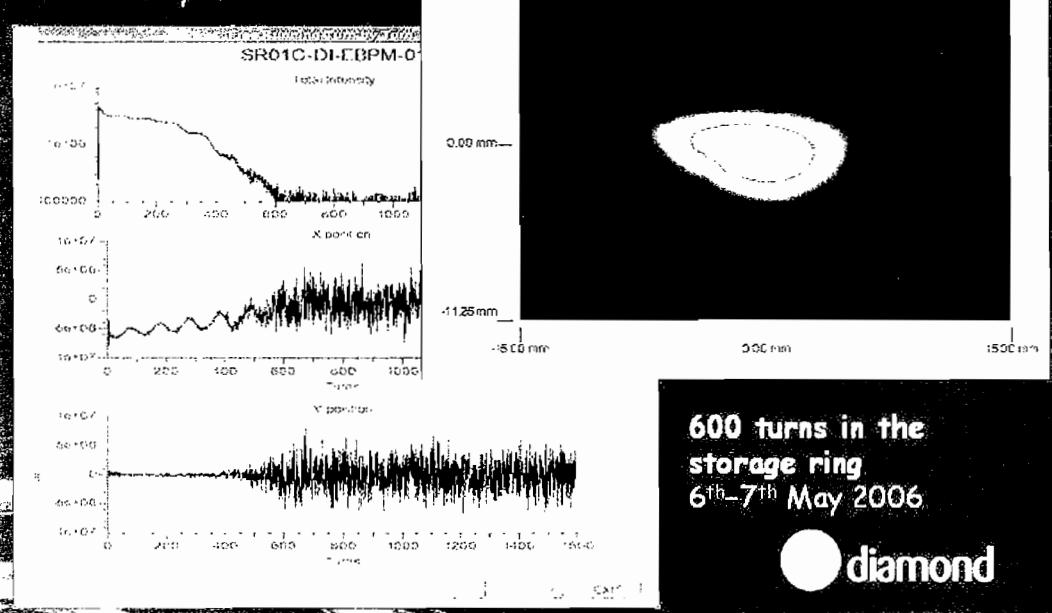


Electron Beam Energy	3 GeV
Circumference - Storage Ring	561.6 m
Number of cells	24 double-bend achromatic 6-fold symmetry
Insertion devices	4 x 8 m & 18 x 5 m
Dipole field	1.4 T
Beam current	300 mA (500 mA)
Emittance	2.74 nm rad (hor) 0.0274 nm rad (ver)
Beam life time	>10 h (20h)

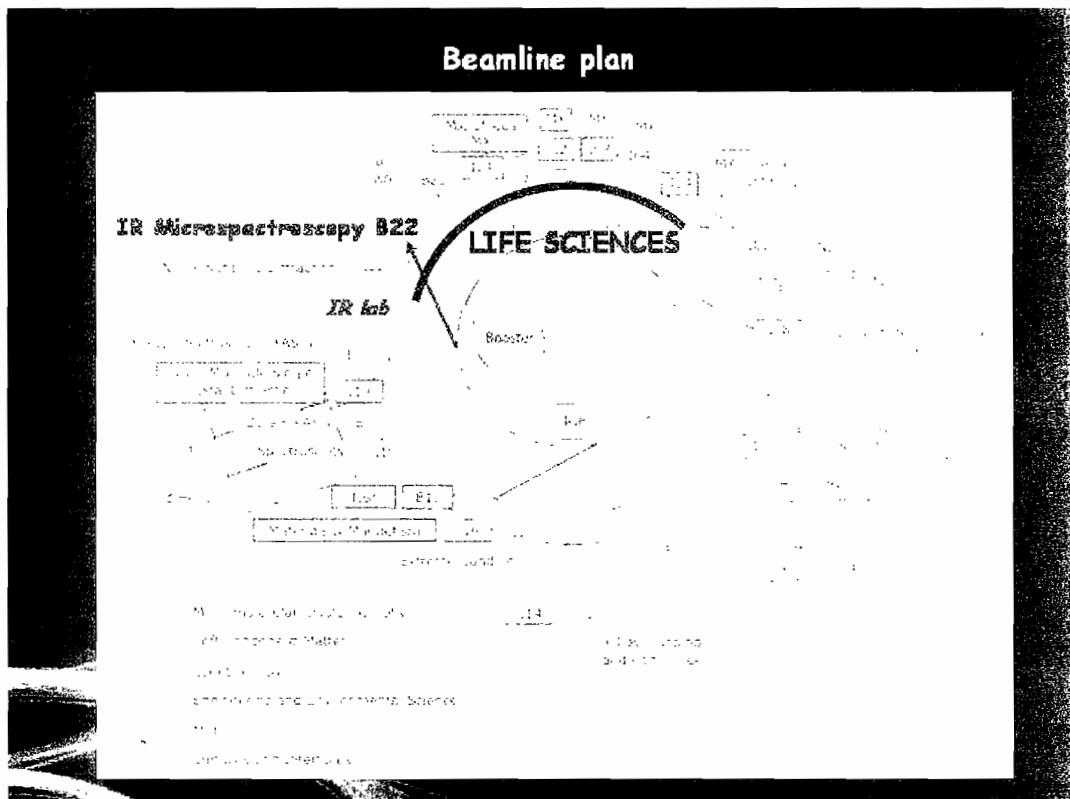
 diamond

## Beam in the storage ring

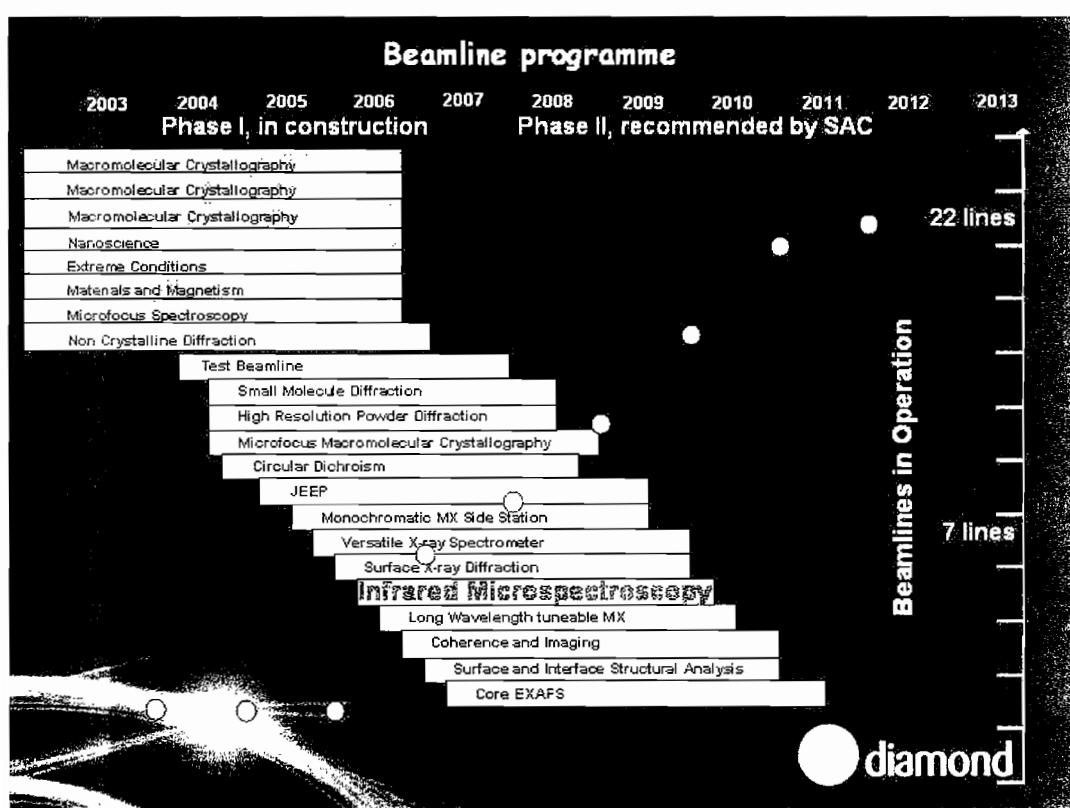
First circulating beam  
4th–5th May 2006



## Beamline plan



## Beamline programme



## Diamond SAC 2003: proposal of an Infrared Beamline for Microspectroscopic Analysis

Mike Chesters, University of Nottingham

Mark Tobin, Australian Synchrotron Project

Peter Hollins, University of Reading

Paul Dumas, Soleil

Liane Benning, University of Leeds

Andrea Russell, University of Southampton

Neil Everall, ICI, Wilton

Nick Terill, Diamond

### Conclusions:

- ❖ Performance in the mid-IR ( $500\text{-}4000\text{ cm}^{-1}$ ) will not be compromised by restricting the vertical acceptance angle to 11 mrad. Provision of a 30 mrad vertical opening angle is recommended.

- ❖ Flux in to a  $4\text{-}5\text{ }\mu\text{m}^2$  spot at  $2000\text{ cm}^{-1}$  compared to a conventional blackbody source:

(ex) SRS 13.3  $\times 20$

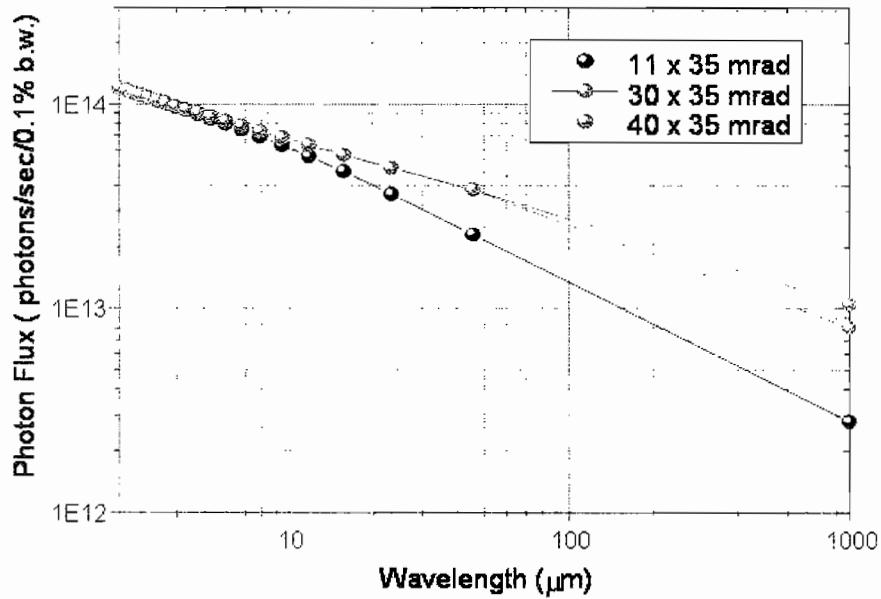
(till 2008) SRS 11.1  $\times 200$

**Diamond IMS**  $> 1500$

- ❖ Use of a wedge radiation to provide 2 beamlines at one bending magnet should be investigated.



## Diamond SAC 2003 IMS beamline proposal

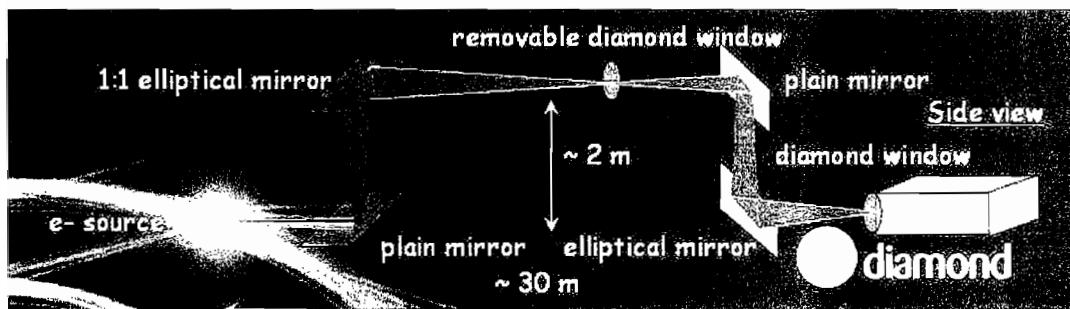
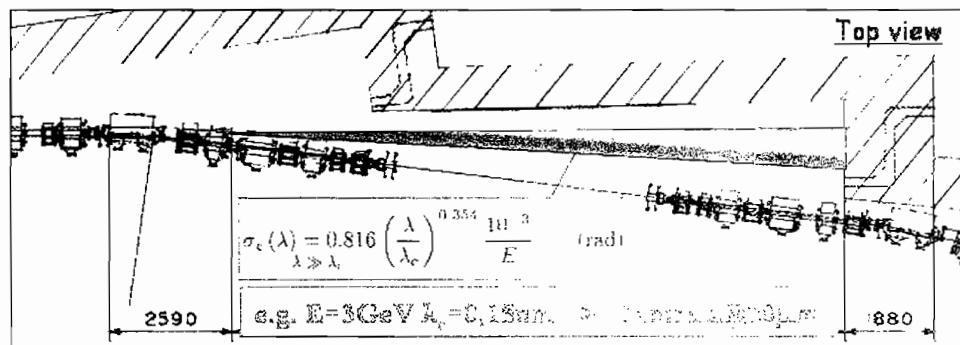


**Scientific case for the IR microspectroscopy beamline at Diamond**  
experienced UK community working on FTIR, IR microspectroscopy  
and SR in fields like:

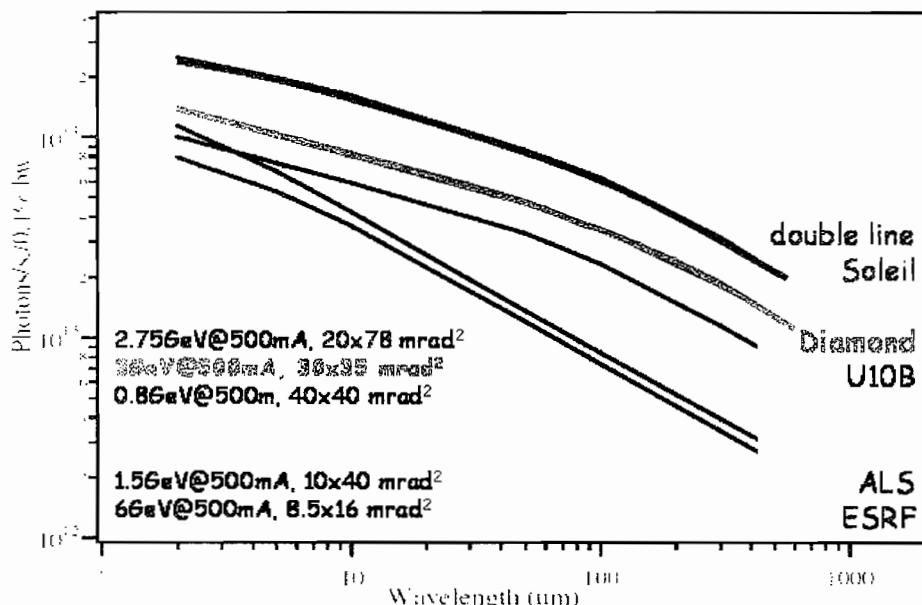
- Life Sciences and Biomedicine:
  - Single cell studies
  - Sub cellular analyses
  - Cancer diagnosis
- Solid state physical chemistry
  - Surfaces
  - Interfacial science
  - Catalysis
- to be explored
  - High pressure and FTIR
  - Archaeology and Fine Arts
  - Condensed matter science
  - Electronic science


**diamond**

Front end and optics sketch



## Diamond IR source



Pascal Chauvin, ALTES Infrared Beamline on the third Generation  
Synchrotron Radiation Facility SOLEIL, WIRMS,  
[http://www.synchrotron-soleil.fr/ancais/vie-scientifique/experiences/ailles/ALTESNEWS\\_01-06\\_img/WIRMS.html?openement](http://www.synchrotron-soleil.fr/ancais/vie-scientifique/experiences/ailles/ALTESNEWS_01-06_img/WIRMS.html?openement)

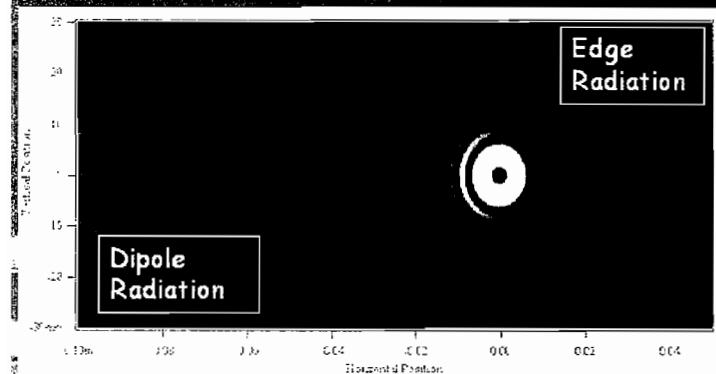
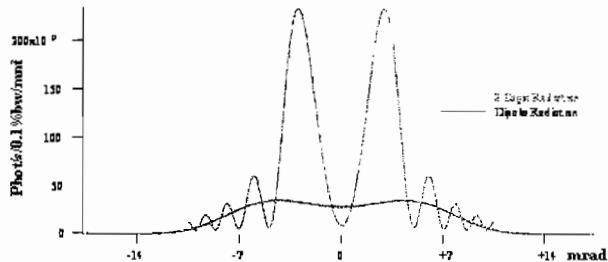


## Transition Edge Radiation as Far IR source

e.m. radiation by a relativistic charge in a rapidly changing magnetic field,  
e.g. bending magnet ends

$$\lambda_{edge}^c \approx \frac{L_{edge}}{\gamma^2} (\approx 3\text{nm})$$

$$\lambda_{BM}^c \approx \frac{R}{\gamma^3} (\approx 0.15\text{nm})$$

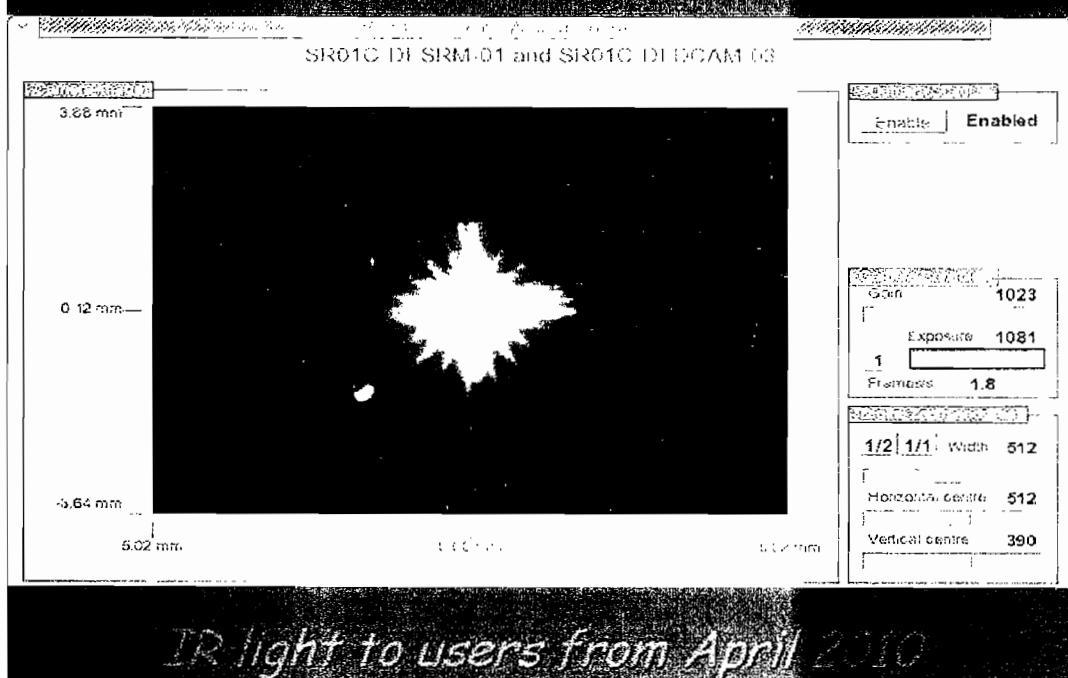


Assuming  $\lambda \gg \lambda_c$ :

- no flux on axis
- peak flux cone at  $1/\gamma$
- radially polarised

From J.A.Clarke,  
Edge Radiation,  
4th August 2000  
CLRC Accelerator Physics  
and New Sources Group

## First Synchrotron Light at Diamond 2 mA and 0.7 GeV      6<sup>th</sup>-7<sup>th</sup> May 2006



IR light to users from April 2010

<http://www.diamond.ac.uk/Beamlines/Beamlineplan/B22/default.htm>

gianfelice.cinque@diamond.ac.uk

Thank you

Vibrational Spectroscopy at Central Facilities

RAL, 31<sup>st</sup> August 2006



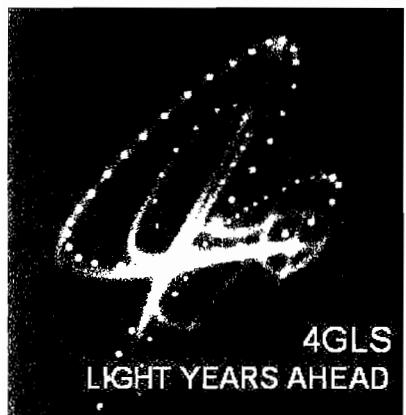


**4**

## The UK's energy recovery linac free electron laser facility

Vibrational Spectroscopy at Central Facilities

Elaine Seddon, August 2006



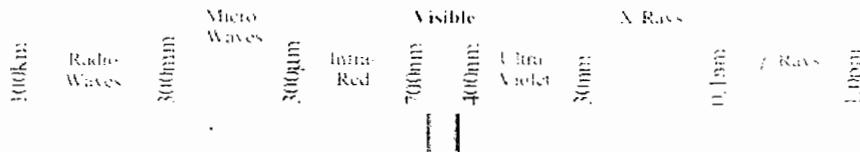
### Acknowledgements

- The 4GLS Team
- The 4GLS International Advisory Committee
- The 4GLS Steering Committee
- National and international scientific community
- Funding: OST/DTI, CCLRC, NWDA and EU

information <http://www.4gls.ac.uk>



- ❖ Milestones and hurdles
- ❖ 4GLS machine update (focus on longer wavelength systems)
- ❖ Flavour of some of the science potential of 4GLS



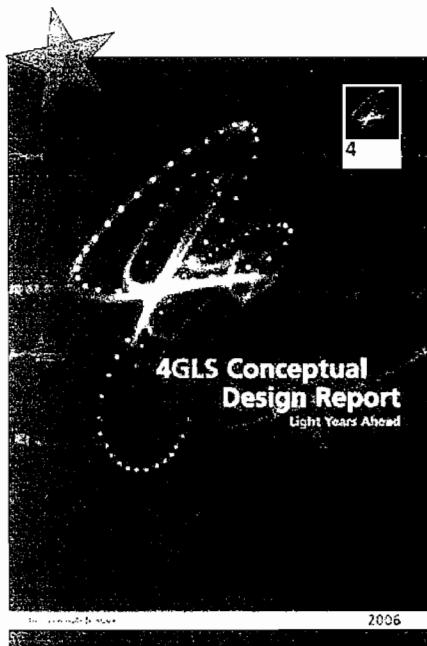
## 4GLS: timescales



- |                 |  |
|-----------------|--|
| ✓ April 02      | <b>Scientific case approved (Gateway 0)</b>  |
| ✓ Nov 02        | <b>Business case approved (Gateway 1)</b>  |
| ✓ April 03 & 04 | <b>£13.9 M funding for prototype accelerator (ERLP) and R&amp;D (OST £8 M, CCLRC £5.9 M)</b> |
| ✓ Feb 05        | <b>EUROFEL R&amp;D work funded (Euro 9M)</b>   |
| ✓ March 05      | <b>Funding for 4GLS Technical Design (CCLRC £1.6 M)</b>                                      |
| ✓ Nov 05        | <b>£3 M NWSF funding for ERLP science</b>  |
| ✓ Spring 06     | <b>4GLS CDR</b>  |
| <br>            |  |
| ▪ late 06       | <b>Prototype construction complete</b>   |
| ▪ 2007          | <b>Report on 4GLS phase I, ERLP operational, 4GLS TDR</b>                                    |
| ▪ 2008/09 ??    | <b>Approval for 4GLS procure and start build</b>   |
| ▪ 2012/13 ??    | <b>Facility starts to become available to researchers</b>                                    |



## 4GLS: CDR April 2006



- 4GLS Conceptual Design Report launched
- Available as a CD or on the web at <http://www.4gls.ac.uk>

CDR launch

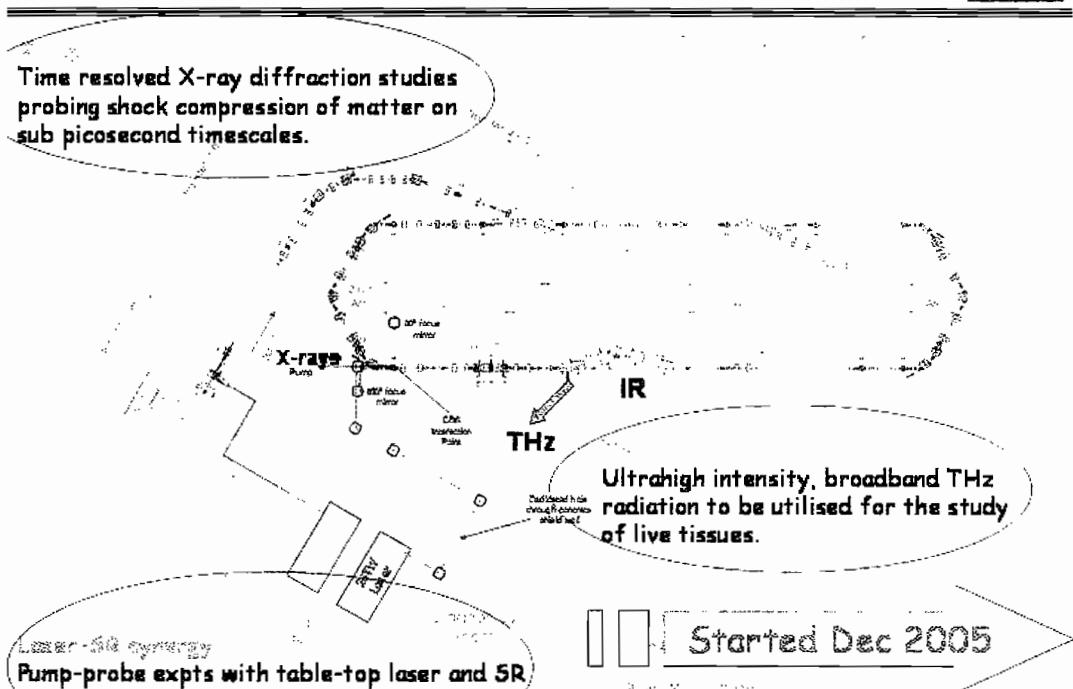


## 4GLS Phase I results:

First Beam from the ERLP Photoinjector August 2006



## ERLP photon science: NWSF £3M, 3 years



## 4GLS: timescales



- |                 |  |
|-----------------|--|
| ✓ April 02      | <b>Scientific case approved (Gateway 0)</b>  |
| ✓ Nov 02        | <b>Business case approved (Gateway 1)</b>  |
| ✓ April 03 & 04 | <b>£13.9 M funding for prototype accelerator (ERLP) and R&amp;D (OST £8 M, CCLRC £5.9 M)</b> |
| ✓ Feb 05        | <b>EUROFEL R&amp;D work funded (Euro 9M)</b>   |
| ✓ March 05      | <b>Funding for 4GLS Technical Design (CCLRC £1.6 M)</b>                                      |
| ✓ Nov 05        | <b>£3 M NWSF funding for ERLP science</b>  |
| ✓ Spring 06     | <b>4GLS CDR</b>  |
| ▪ late 06       | <b>Prototype construction complete</b>   |
| ▪ 2007          | <b>Report on 4GLS phase I, ERLP operational, 4GLS TDR</b>                                    |
| ▪ 2008/09 ??    | <b>Approval for 4GLS procure and start build</b>   |
| ▪ 2012/13 ??    | <b>Facility starts to become available to researchers</b>                                    |

## The Science Need...



Fundamental requirement to understand the *dynamic* behaviour of matter, often in very small (nm) units, on very fast (fs) timescales

Need not just to determine *structure* with high precision, but to understand *how these structures work*



http://www.sciencedaily.com/releases/2007/02/07-0205133112.htm

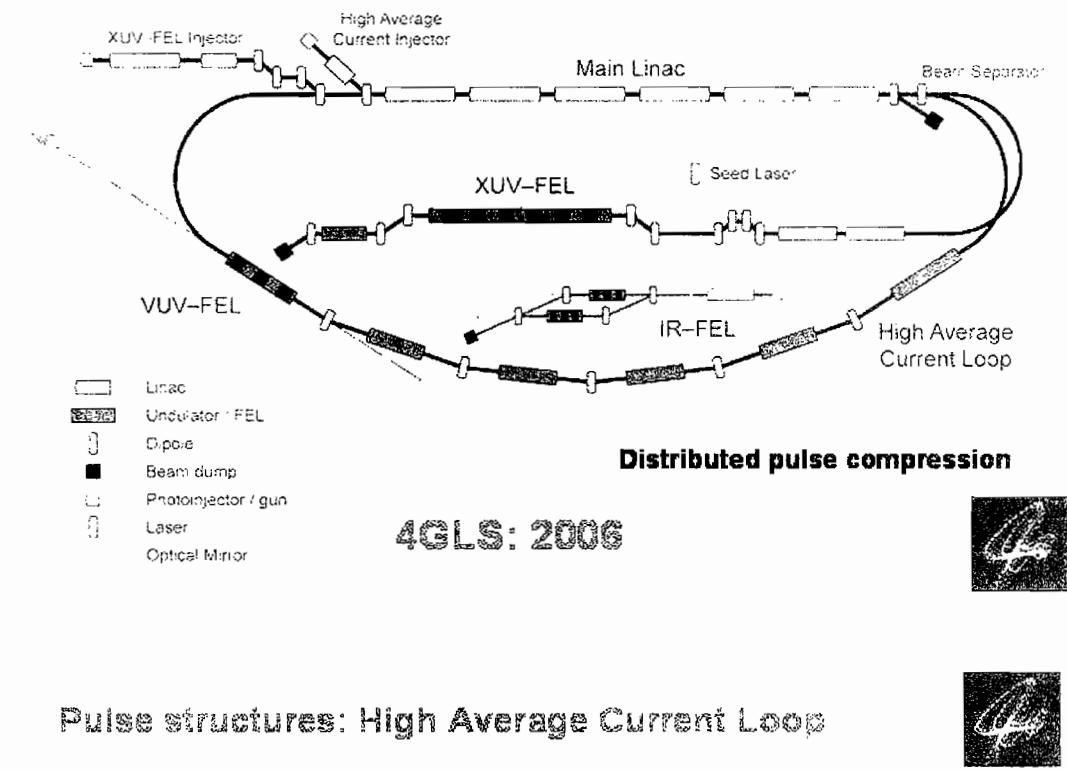
## The Science Need...



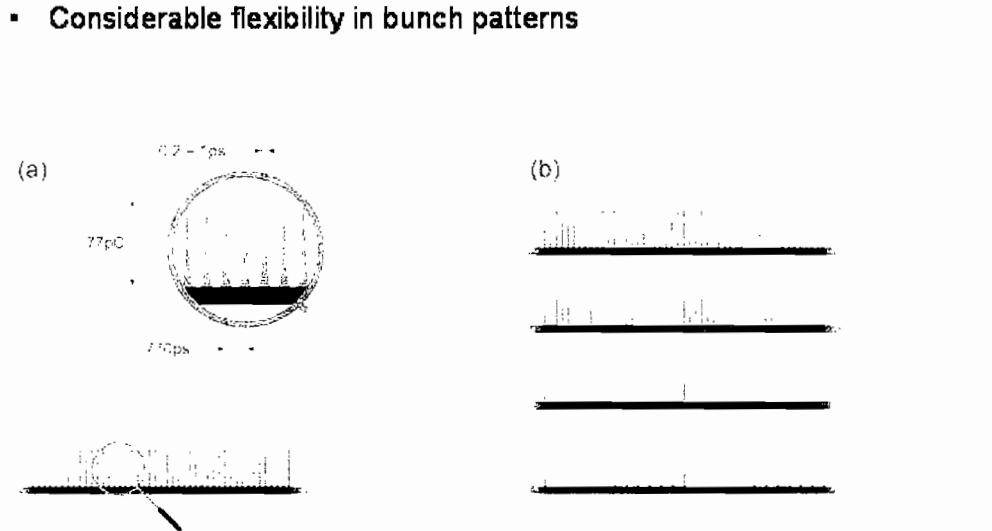
Require an *ultra-high brightness low energy facility that allows the use of ultra-short pulsed sources both individually and in combination*

**4GLS is being designed to meet this need**

4GLS combines superconducting ERL, SR, laser and FEL technology in a fully integrated multi-source, multi-user facility



#### Pulse structures: High Average Current Loop





## CDFR Layout

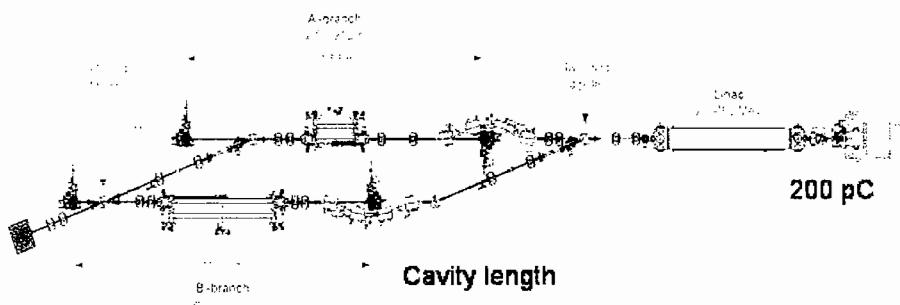


## Multiuser facility

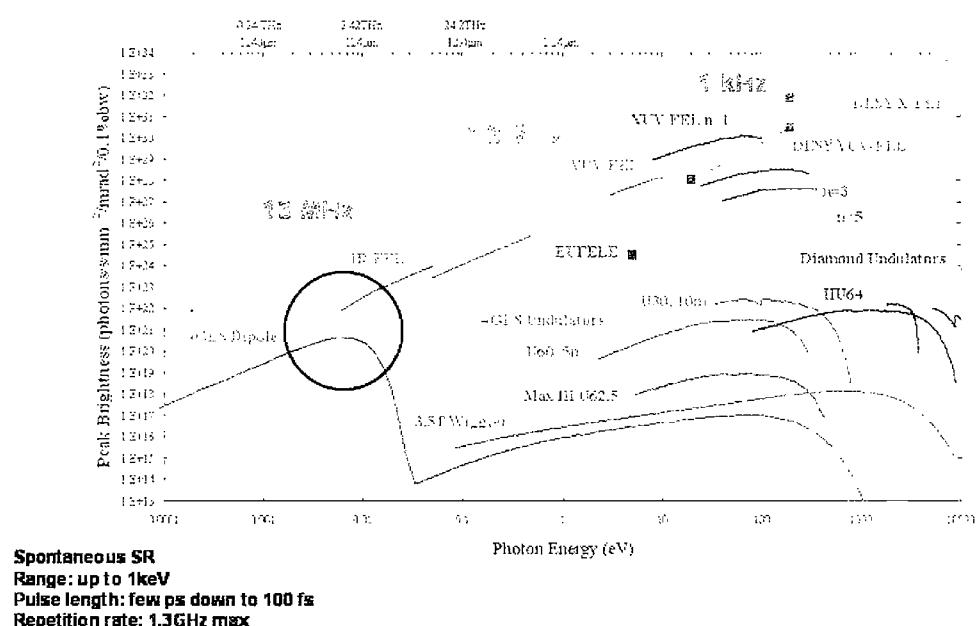
### The IR-FEL



- 2.5 to 200  $\mu\text{m}$
- Bunch length 1-10ps rms
- Variable polarisation
- SC linac > stability
- CW or macropulse
- Tuning - JLAB lased at 20-100W over 0.7 to 4.8  $\mu\text{m}$  in seconds
- Partial waveguiding to get to longer wavelengths?
- Max pulse energy  $\sim$ 50  $\mu\text{J}$

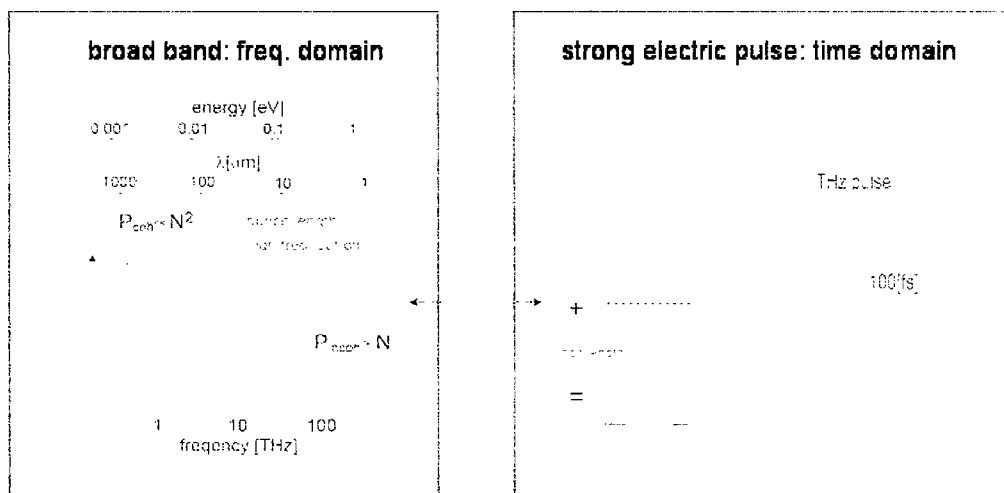


## 4GLS Photon Output



**Spontaneous SR**  
**Range: up to 1keV**  
**Pulse length: few ps down to 100 fs**  
**Repetition rate: 1.3GHz max**

## Broad band THz short pulse



**broad band photon source in frequency domain,  
strong electric field pulse in time domain**

Courtesy of A. Nilsson

## Coherence



Temporally coherent (transform limited) beams have:

$$\text{Spectral width} \times \text{pulse duration} \approx 2 \text{ eV fs}$$

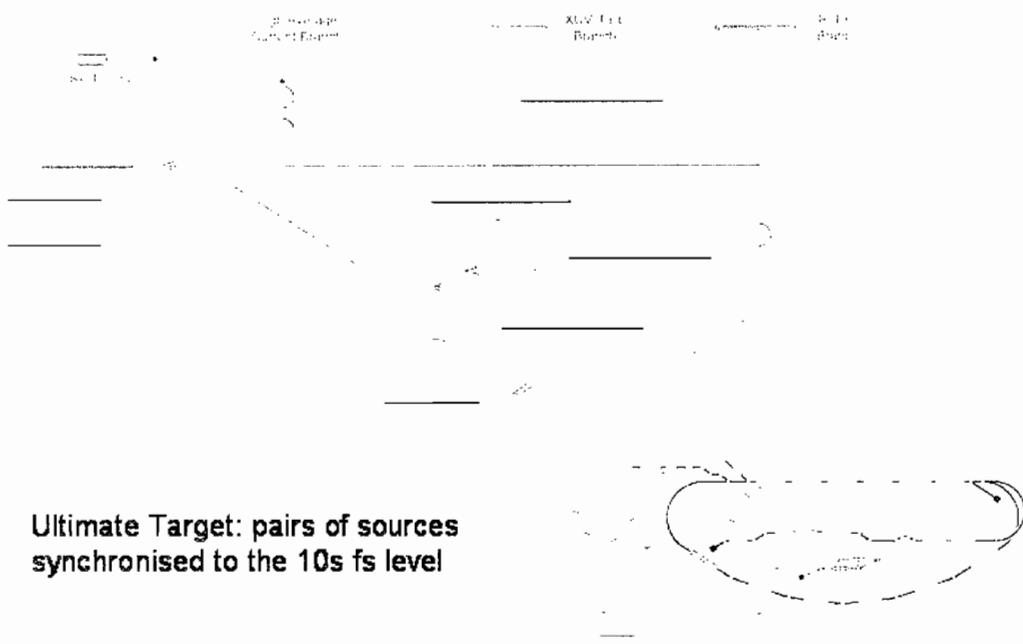
Spatially coherent (diffraction limited) beams have:

$$\text{Beam area} \times \text{divergence} \approx 1/(2E^2) \text{ mm}^2 \text{ mrad}^2$$

where E is the photon energy in eV

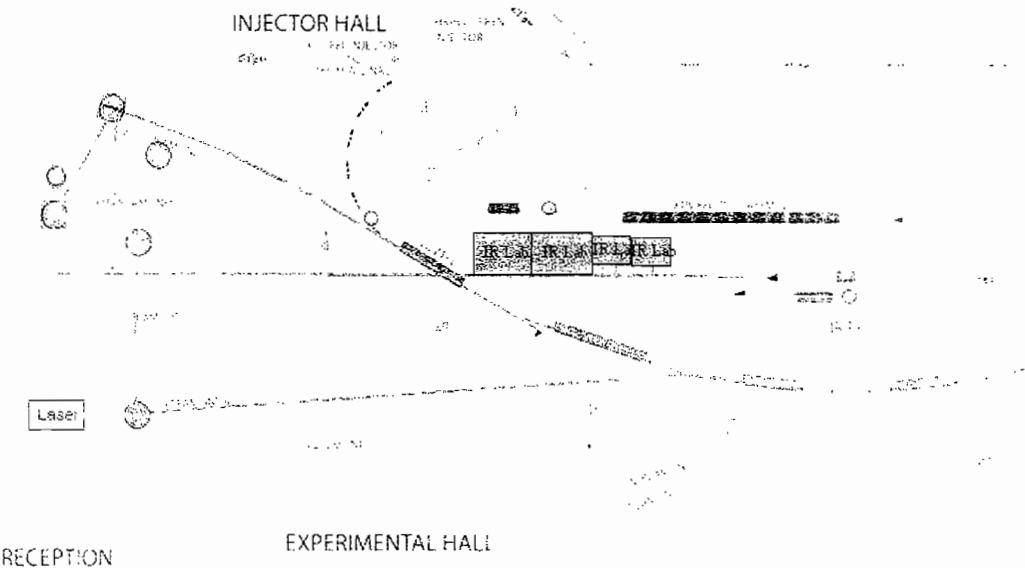
(Exact values depend on pulse and beam profiles)

## Combining Sources



Ultimate Target: pairs of sources  
synchronised to the 10s fs level

**Illustrative combinations: IR FEL and THz distribution added**



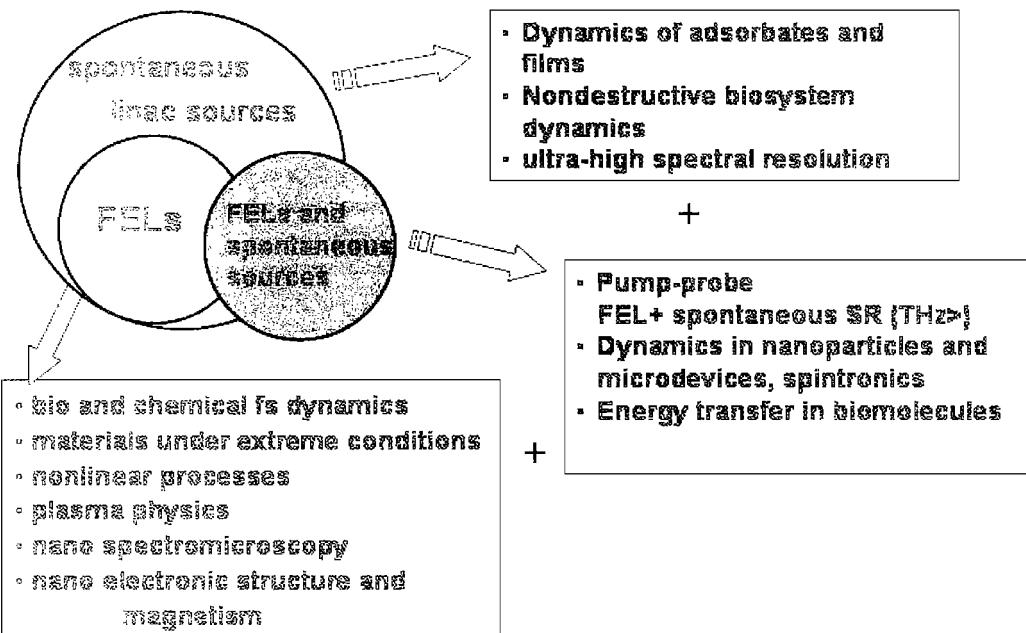
**A suite of light sources optimised for  
THz to soft X-ray wavelengths...**



- **free electron lasers**
- **undulators and bending magnets**  
**THz to soft X-ray**
- **combinations of sources**  
**internal and/or with conventional lasers**

**World leading combination -  
unique experimental  
flexibility and cost effective  
delivery**

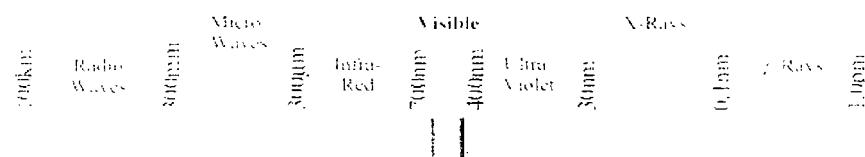
## 4GLS: Greater than the sum of the parts



## 4GLS Update



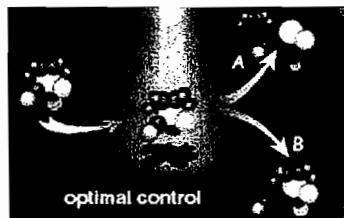
- ⇒ Milestones and hurdles
- ⇒ 4GLS machine update (focus on longer wavelength systems)
- ⇒ Flavour of some of the science potential of 4GLS





## Overview

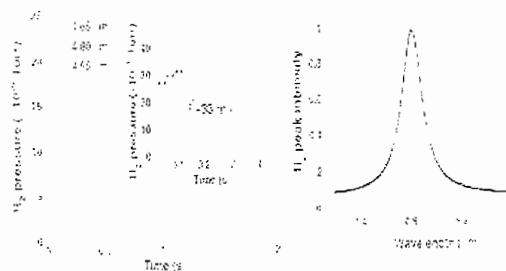
- Unprecedented probe of electron motion in atoms and molecules
- Capability to pioneer a new generation of ultra-fast time resolved experiments revealing short lived intermediates in catalytic reaction pathways



- The technology to underpin the development of the next generation of electronic devices charge and spin dynamics
- Development of innovative dynamic imaging techniques
  - on large scale for disease recognition
  - on nanoscale for biomolecular function in live cells

### FEL ALONE: Mode-selective control of chemical reactions, Vanderbilt IR FEL, Liu et al.

#### Desorption of hydrogen by resonant excitation of the Si-H vibrational stretch



Liu, Feldman, Tolk, Zhang and Cohen, Science **312** 1024 May 2006

Irradiation of a mix of 15%H 85%D atoms on Si(111)  
FEL fluence 0.8J/cm<sup>2</sup> per macropulse (100mJ per macropulse), 50-90cm<sup>-1</sup> bandwidth

Tuned from 4.2-5.6μm, quadratic laser intensity dependence  
No evidence of bulk heating

Desorption non-statistical 95 % is H<sub>2</sub> <5% HD or D<sub>2</sub>  
Peak in H<sub>2</sub> desorption at 4.8 μm (0.26 eV) ν(Si-H) terrace sites

FEL ALONE cont.: Mode-selective control of chemical reactions  
Vanderbilt IR FEL, Liu et al.



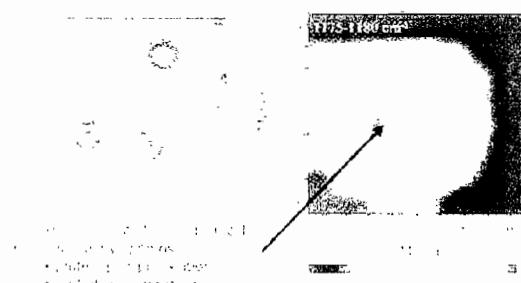
Conventional wisdom large molecules and molecules on surfaces expect many well coupled low frequency modes giving rapid energy randomisation (~ psec). Leads to thermal rather than selective results.

Power and tuneability of IR FEL enabled excitation of a particular vibrational mode and diverted reaction from thermal pathway

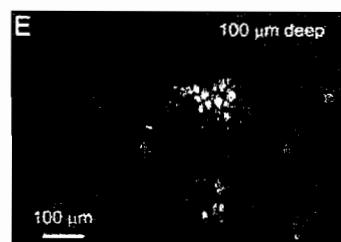
Potential impact on:

- 1 the storage, transport and delivery of hydrogen for the hydrogen economy
- 2 reactive chemistry on surfaces leading to room temperature refining

FEL ALONE cont.: Near-field Imaging:  
Sub-cellular IR Spectromicroscopy



Cell changes during apoptosis  
(P Dumas, SR IR, SOLEIL)



CARS image, mouse ear  
(lipid CH<sub>2</sub> symmetric stretch)  
Xie et al., PNAS, 102, 16807  
(2005)

- Overcome diffraction limit using near-field imaging/IR FEL:  
→ 30-50 nm resolution cf. current best (microns)

## Combined sources

### Double resonance Sum Frequency Spectroscopy and imaging: New Horizons

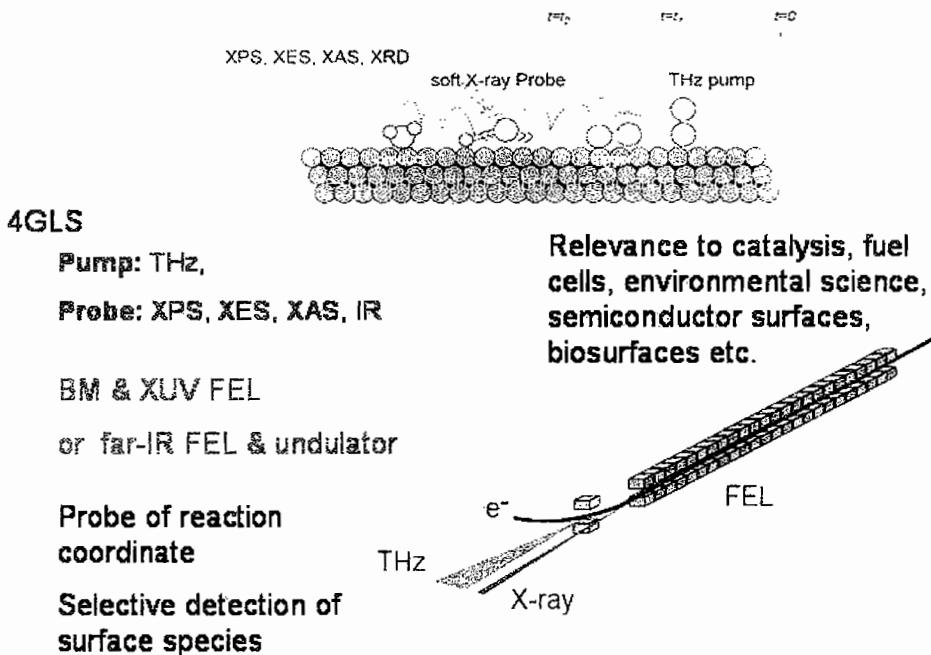
- Exploits tuneability of both IR FEL and VUV FEL
- Conformation of large admolecules
- Adsorbate dynamics
- Membrane rafts & proteins, lipid bilayers, oxide catalysts...
- Enormously widens range of surfaces and vibrations
- Imaging applications using near-field SFG signal (SNOM probe): below diffraction limit

IR  $\lambda > 10 \mu\text{m}$   
UV, tune to optimise non-resonant interaction

## Combined sources

### Probing elementary surface reactions, Anders Nilsson group and

collaborators



## 4GLS



- multiuser facility
- state-of-the-art sources by themselves (THz to soft X-ray)
- undulators and FELs
- source combinations including table-top laser
- Bringing together laser and SR expertise and skills
- fully optimised detectors and on line diagnostics crucial for full exploitation

 4GLS – the UK's 4th Generation Light Source

**4GLS: the next steps**  
An information and interaction meeting  
for potential users following publication  
of the Conceptual Design Report

**Daresbury Laboratory**  
**Friday 8th September 2006**



**Invited speakers:**

Markus Drescher (Universität Hamburg & DESY, Germany)  
Gwyn P Williams (Jefferson Laboratory, USA)  
John Sutherland (East Carolina University, USA)  
Norman H Tok (Wendover University, USA)  
Antonio Ciriello (ISM-CNR Roma, Italy)  
Cheuk-Yiu Ng (University of California, Davis, USA)

The purpose of the meeting is to inform, and consult with, potential users on the design of 4GLS following the recent publication of the Conceptual Design Report.

A number of international experts will give presentations describing the key science that will be achieved.

Discussion sessions will ensure that the evolving aspirations of the user community continue to be met as the detailed design parameters are confirmed.





## International Collaborations

### International development programmes

- JLab
- Cornell
- Stanford
- Rossendorf
- DESY
- FERMI@Elettra
- EUROFEL collaboration



### 4GLS funding

#### NWDA



northwest  
development agency

▪ Contribution to capital (ca. £4.5 M)

▪ Provision of 4GLS building thro'  
leaseback (ca. £24 M + vat)

- ca. £7.80-200 M to build & fit  
commission (not including VAT?)



## Linac-based light sources

